



海通证券股份有限公司
HAITONG SECURITIES CO., LTD.*

(A joint stock limited company incorporated in the People's Republic of China with limited liability)
(Stock Code: 6837)

LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS

The Board of Directors of Haitong Securities Co., Ltd. comprises 11 Directors as follows:

Executive Directors:

Mr. Zhou Jie (*Chairman*)
Mr. Li Jun (*General Manager*)
Mr. Han Jianxin

Non-executive Directors:

Mr. Tu Xuanxuan
Mr. Shi Lei
Ms. Xiao Hehua
Mr. Xu Jianguo

Independent Non-executive Directors:

Mr. Zhou Yu
Mr. Fan Ren Da Anthony
Mr. Mao Fugen
Mr. Mao Huigang

There are four Board committees. The table below provides membership information of these committees on which the Board member serves.

Board Committees		Nomination, Remuneration and Assessment Committee	Development Strategy and ESG Management Committee	Compliance and Risk Control Committee
Directors	Audit Committee			
Mr. Zhou Jie			C	
Mr. Li Jun				C
Mr. Han Jianxin			M	
Mr. Tu Xuanxuan		M	M	
Mr. Shi Lei		M	M	
Ms. Xiao Hehua	M			M
Mr. Xu Jianguo	M			M
Mr. Zhou Yu	M		M	
Mr. Fan Ren Da Anthony	M	M		
Mr. Mao Fugen	C	M		M
Mr. Mao Huigang		C		M

Notes:

- C Chairman of the relevant Board committees
- M Member of the relevant Board committees

12 June 2024

* For identification purpose only