

## **LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS**

The members of the board (the “**Board**”) of directors (the “**Directors**”) of China Galaxy Securities Co., Ltd. are as follows:

### **Executive Directors:**

Mr. Wang Sheng (*Chairman*)

Mr. Xue Jun (*Vice Chairman and President*)

### **Non-executive Directors:**

Mr. Yang Tijun

Ms. Li Hui

Ms. Liu Chang

Mr. Liu Zhihong

### **Independent Non-executive Directors:**

Ms. Liu Chun

Mr. Law Cheuk Kin Stephen

Mr. Liu Li

Mr. Ma Zhiming

There are 4 Board committees. The table below provides membership information of these committees on which the Board member serves.

<b>Board Committee</b> <b>Directors</b>	<b>Strategy and Development Committee</b>	<b>Audit Committee</b>	<b>Nomination and Remuneration Committee</b>	<b>Compliance and Risk Management Committee</b>
Mr. Wang Sheng	C			
Mr. Xue Jun	M			M
Mr. Yang Tijun	M	M		C
Ms. Li Hui		M	M	M
Ms. Liu Chang	M		M	
Mr. Liu Zhihong	M			
Ms. Liu Chun		C	M	M
Mr. Law Cheuk Kin Stephen		M	M	
Mr. Liu Li	M	M	C	

*Notes:*

C Chairman of the relevant Board committees

M Member of the relevant Board committees

28 June 2024