



## Monthly Return for Equity Issuer and Hong Kong Depositary Receipts listed under Chapter 19B of the Exchange Listing Rules on Movements in Securities

For the month ended: 31 August 2024

Status: New Submission

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer: Standard Chartered PLC

Date Submitted: 05 September 2024

**I. Movements in Authorised / Registered Share Capital** Not applicable

## II. Movements in Issued Shares and/or Treasury Shares

1. Class of shares	Ordinary shares	Type of shares	Not applicable	Listed on the Exchange (Note 1)	Yes	
Stock code (if listed)	02888	Description	Ordinary Shares mean Ordinary Shares of US\$0.50 each			
		Number of issued shares (excluding treasury shares)	Number of treasury shares	Total number of issued shares		
Balance at close of preceding month		2,551,790,045	0	2,551,790,045		
Increase / decrease (-)		-25,111,339	0			
Balance at close of the month		2,526,678,706	0	2,526,678,706		

2. Class of shares	Preference shares	Type of shares	Other type(specify in description)	Listed on the Exchange (Note 1)	No	
Stock code (if listed)	N/A	Description	99,250,000 8 1/4 per cent non-cumulative irredeemable preference shares of GBP1 each with no equity voting rights; 96,035,000 7 3/8 per cent non-cumulative irredeemable preference shares of GBP1 each with no equity voting rights			
		Number of issued shares (excluding treasury shares)	Number of treasury shares	Total number of issued shares		
Balance at close of preceding month		195,285,000	0	195,285,000		
Increase / decrease (-)		0	0			
Balance at close of the month		195,285,000	0	195,285,000		

3. Class of shares	Preference shares	Type of shares	Other type(specify in description)	Listed on the Exchange (Note 1)	No	
Stock code (if listed)	N/A	Description	7,500 6.409 per cent non-cumulative redeemable preference shares of US\$5 each with no equity voting rights; 7,500 7.014 per cent non-cumulative redeemable preference shares of US\$5 each with no equity voting rights			
		Number of issued shares (excluding treasury shares)	Number of treasury shares	Total number of issued shares		
Balance at close of preceding month		15,000	0	15,000		
Increase / decrease (-)		0	0			
Balance at close of the month		15,000	0	15,000		

### III. Details of Movements in Issued Shares and/or Treasury Shares

#### (A). Share Options (under Share Option Schemes of the Issuer)

1. Class of shares		Ordinary shares	Type of shares	Not applicable	Listed on the Exchange (Note 1)			Yes	
Stock code (if listed)		02888	Description						
Particulars of share option scheme		Number of share options outstanding at close of preceding month	Movement during the month		Number of share options outstanding at close of the month	Number of new shares issued during the month pursuant thereto (A1)	Number of treasury shares transferred out of treasury during the month pursuant thereto (A2)	Number of shares which may be issued or transferred out of treasury pursuant thereto as at close of the month	The total number of shares which may be issued or transferred out of treasury upon exercise of all share options to be granted under the scheme at close of the month
1).	2011 Discretionary Share Plan - Restricted Share Award	5,111,716	Others	-11,185	5,100,915	0	0	0	5,100,915
			Exercised						
			Granted	384					
General Meeting approval date (if applicable) 05 May 2011									
2).	2011 Discretionary Share Plan - Management Long Term Incentive Plan	6,548,126	Granted	214	6,548,340	0	0	0	6,548,340
General Meeting approval date (if applicable) 05 May 2011									
3).	2011 Discretionary Share Plan - Executive Long Term Incentive Plan	4,087,914	Lapsed	-197,606	3,890,308	0	0	0	3,890,308
General Meeting approval date (if applicable) 05 May 2011									
4).	Standard Chartered 2013 Sharesave Plan	8,499,464	Others	-7,740	8,451,043	0	0	0	8,451,043
			Exercised						
			Lapsed	-40,681					
General Meeting approval date (if applicable) 08 May 2013									
5).	2021 Discretionary Share Plan - Restricted Share Award	48,282,156	Lapsed	-76,788	48,291,686	0	0	0	48,291,686
			Granted	86,318					

General Meeting approval date (if applicable) <u>12 May 2021</u>									
6).	Standard Chartered 2023 Sharesave Plan	5,224,885	Others	-2,069	5,176,857	0	0	0	5,176,857
			Exercised						
			Lapsed	-45,959					
General Meeting approval date (if applicable) <u>03 May 2023</u>									

Increase in issued shares (excluding treasury shares): \_\_\_\_\_ 0 Ordinary shares (AA1)

Decrease in treasury shares: \_\_\_\_\_ 0 Ordinary shares (AA2)

Total funds raised during the month from exercise of options: GBP \_\_\_\_\_ 0

**(B). Warrants to Issue Shares of the Issuer**

Not applicable

**(C). Convertibles (i.e. Convertible into Shares of the Issuer)**

1. Class of shares		Ordinary shares	Type of shares	Not applicable	Listed on the Exchange (Note 1)	Yes		
Stock code (if listed)		02888	Description					
Description of the Convertibles		Currency	Amount at close of preceding month	Movement during the month	Amount at close of the month	Number of new shares issued during the month pursuant thereto (C1)	Number of treasury shares transferred out of treasury during the month pursuant thereto (C2)	Number of shares which may be issued or transferred out of treasury pursuant thereto as at close of the month
1).	SGD750,000,000 5.375% Resetting Perpetual Subordinated Contingent Convertible Securities	SGD	750,000,000		750,000,000			68,750,572
Type of the Convertibles		Others (Please specify)						
Ordinary Shares								
Stock code of the Convertibles (if listed on the Exchange) (Note 1)		05986						
Subscription/Conversion price		SGD 10.909						
General Meeting approval date (if applicable)		08 May 2019						
2).	US\$1 billion 6% Resetting Perpetual Subordinated Contingent Convertible Securities	USD	1,000,000,000		1,000,000,000			187,582,067
Type of the Convertibles		Others (Please specify)						
Ordinary Shares								
Stock code of the Convertibles (if listed on the Exchange) (Note 1)		40276						
Subscription/Conversion price		USD 5.331						
General Meeting approval date (if applicable)		06 May 2020						
3).	US\$1.25 billion 4.75% Resetting Perpetual Subordinated Contingent Convertible Securities	USD	1,250,000,000		1,250,000,000			196,757,437
Type of the Convertibles		Others (Please specify)						
Ordinary Shares								
Stock code of the Convertibles (if listed on the Exchange) (Note 1)		40518						

Subscription/Conversion price		USD	6.353					
General Meeting approval date (if applicable)		06 May 2020						
4).	US\$1.5 billion Fixed Rate Resetting Perpetual Subordinated Contingent Convertible Securities	USD	1,500,000,000		1,500,000,000			235,032,886
Type of the Convertibles		Others (Please specify)						
Ordinary Shares								
Stock code of the Convertibles (if listed on the Exchange) (Note 1)								
Subscription/Conversion price		USD	6.382					
General Meeting approval date (if applicable)		12 May 2021						
5).	US\$1.25 billion Fixed Rate Resetting Perpetual Subordinated Contingent Convertible Securities	USD	1,250,000,000		1,250,000,000			170,462,294
Type of the Convertibles		Others (Please specify)						
Ordinary Shares								
Stock code of the Convertibles (if listed on the Exchange) (Note 1)								
Subscription/Conversion price		USD	7.333					
General Meeting approval date (if applicable)		04 May 2022						
6).	US\$ 1 billion Fixed Rate Resetting Perpetual Subordinated Contingent Convertible Securities	USD	1,000,000,000		1,000,000,000			121,713,729
Type of the Convertibles		Others (Please specify)						
Ordinary Shares								
Stock code of the Convertibles (if listed on the Exchange) (Note 1)								
Subscription/Conversion price		USD	8.216					
General Meeting approval date (if applicable)		03 May 2023						

Increase in issued shares (excluding treasury shares): \_\_\_\_\_

Ordinary shares (CC1)

Decrease in treasury shares: \_\_\_\_\_ Ordinary shares (CC2)



**(D). Any other Agreements or Arrangements to Issue Shares of the Issuer, including Options (other than Share Option Schemes)**

Not applicable

**(E). Other Movements in Issued Shares and/or Treasury Shares**

1. Class of shares		Ordinary shares		Type of shares	Not applicable	Listed on the Exchange (Note 1)		Yes	
Stock code (if listed)		02888		Description					
Events	At price (if applicable)			Date of event (Note 2)	General Meeting approval date (if applicable)	Increase/ decrease (-) in issued shares (excluding treasury shares) during the month pursuant thereto (E1)	Increase/ decrease (-) in treasury shares during the month pursuant thereto (E2)	Number of shares redeemed or repurchased for cancellation but not yet cancelled as at close of the month (Note 3)	
	Currency	Amount							
1).	Repurchase of shares (shares repurchased and cancelled)				06 August 2024	10 May 2024	-3,166,652		
2).	Repurchase of shares (shares repurchased and cancelled)				07 August 2024	10 May 2024	-1,747,850		
3).	Repurchase of shares (shares repurchased and cancelled)				08 August 2024	10 May 2024	-1,861,224		
4).	Repurchase of shares (shares repurchased and cancelled)				09 August 2024	10 May 2024	-1,736,142		
5).	Repurchase of shares (shares repurchased and cancelled)				12 August 2024	10 May 2024	-1,698,215		
6).	Repurchase of shares (shares repurchased and cancelled)				13 August 2024	10 May 2024	-1,488,661		
7).	Repurchase of shares (shares repurchased and cancelled)				16 August 2024	10 May 2024	-3,355,298		
8).	Repurchase of shares (shares repurchased and cancelled)				20 August 2024	10 May 2024	-1,613,048		
9).	Repurchase of shares (shares repurchased and cancelled)				23 August 2024	10 May 2024	-3,867,411		
10).	Repurchase of shares (shares repurchased and cancelled)				28 August 2024	10 May 2024	-1,781,959		
11).	Repurchase of shares (shares repurchased and cancelled)				30 August 2024	10 May 2024	-2,794,879		
12).	Repurchase of shares (shares repurchased for cancellation but not yet cancelled)					10 May 2024			-2,723,135

Increase/ decrease (-) in issued shares (excluding treasury shares): -25,111,339 Ordinary shares (EE1)

Increase/ decrease (-) in treasury shares: \_\_\_\_\_ Ordinary shares (EE2)

## Remarks:

- (1) A total of 3,166,652 ordinary shares (previously repurchased on market from 1 and 2 August 2024) were cancelled on 6 August 2024.  
(2) 1,747,850 ordinary shares (previously repurchased on market on 5 August 2024) were cancelled on 7 August 2024.  
(3) 1,861,224 ordinary shares (previously repurchased on market on 6 August 2024) were cancelled on 8 August 2024.  
(4) 1,736,142 ordinary shares (previously repurchased on market on 7 August 2024) were cancelled on 9 August 2024.  
(5) 1,698,215 ordinary shares (previously repurchased on market on 8 August 2024) were cancelled on 12 August 2024.  
(6) 1,488,661 ordinary shares (previously repurchased on market on 9 August 2024) were cancelled on 13 August 2024.  
(7) A total of 3,355,298 ordinary shares (previously repurchased on market from 12 to 14 August 2024) were cancelled on 16 August 2024.  
(8) A total of 1,613,048 ordinary shares (previously repurchased on market from 15 and 16 August 2024) were cancelled on 20 August 2024.

- (9) A total of 3,867,411 ordinary shares (previously repurchased on market from 19 to 21 August 2024) were cancelled on 23 August 2024.  
 (10) A total of 1,781,959 ordinary shares (previously repurchased on market from 22 and 23 August 2024) were cancelled on 28 August 2024.  
 (11) A total of 2,794,879 ordinary shares (previously repurchased on market from 27 to 28 August 2024) were cancelled on 30 August 2024.  
 (12) Repurchases of a total of 2,723,135 ordinary shares were made from 29 and 30 August 2024 but such shares are not yet cancelled as at 31 August 2024.

Total increase/ decrease (-) in issued shares (excluding treasury shares) during the month (i.e. Total of AA1 to EE1):	-25,111,339	Ordinary shares
Total increase/ decrease (-) in treasury shares during the month (i.e. Total of AA2 to EE2):	0	Ordinary shares

**IV. Information about Hong Kong Depositary Receipt (HDR)** Not applicable

## V. Confirmations

Pursuant to Main Board Rule 13.25C / GEM Rule 17.27C, we hereby confirm to the best knowledge, information and belief that, in relation to each of the securities issued, or the treasury shares sold or transferred by the issuer during the month as set out in Parts III and IV which has not been previously disclosed in a return published under Main Board Rule 13.25A / GEM Rule 17.27A, it has been duly authorised by the board of directors of the listed issuer and carried out in compliance with all applicable listing rules, laws and other regulatory requirements and, insofar as applicable:

(Note 4)

- (i) all money due to the listed issuer in respect of the issue of securities, or sale or transfer of treasury shares has been received by it;
- (ii) all pre-conditions for listing imposed by the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited under "Qualifications of listing" have been fulfilled;
- (iii) all (if any) conditions contained in the formal letter granting listing of and permission to deal in the securities have been fulfilled;
- (iv) all the securities of each class are in all respects identical (Note 5);
- (v) all documents required by the Companies (Winding Up and Miscellaneous Provisions) Ordinance to be filed with the Registrar of Companies have been duly filed and that compliance has been made with all other legal requirements;
- (vi) all the definitive documents of title have been delivered/are ready to be delivered/are being prepared and will be delivered in accordance with the terms of issue, sale or transfer;
- (vii) completion has taken place of the purchase by the issuer of all property shown in the listing document to have been purchased or agreed to be purchased by it and the purchase consideration for all such property has been duly satisfied; and
- (viii) the trust deed/deed poll relating to the debenture, loan stock, notes or bonds has been completed and executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies.

Submitted by: Wong Yung Cindy

Title: Assistant Company Secretary

(Director, Secretary or other Duly Authorised Officer)

## Notes

1. The Exchange refers to The Stock Exchange of Hong Kong Limited.
2. In the case of repurchase of shares (shares repurchased and cancelled) and redemption of shares (shares redeemed and cancelled), "date of event" should be construed as "cancellation date".  
In the case of repurchase of shares (shares held as treasury shares), "date of event" should be construed as "date on which shares were repurchased and held by the issuer in treasury".
3. The information is required in the case of repurchase of shares (shares repurchased for cancellation but not yet cancelled) and redemption of shares (shares redeemed but not yet cancelled). Please state the number of shares repurchased or redeemed during the month or in preceding month(s) but pending cancellation as at close of the month as a negative number.
4. Items (i) to (viii) are suggested forms of confirmation. The listed issuer may amend the item(s) that is/are not applicable to meet individual cases. Where the issuer has already made the relevant confirmations in a return published under Main Board Rule 13.25A / GEM Rule 17.27A in relation to the securities issued, or the treasury shares sold or transferred, no further confirmation is required to be made in this return.
5. "Identical" means in this context:
  - the securities are of the same nominal value with the same amount called up or paid up;
  - they are entitled to dividend/interest at the same rate and for the same period, so that at the next ensuing distribution, the dividend/interest payable per unit will amount to exactly the same sum (gross and net); and
  - they carry the same rights as to unrestricted transfer, attendance and voting at meetings and rank pari passu in all other respects.