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金融街證券股份有限公司
Financial Street Securities Co., Limited

(A joint stock company incorporated in the People's Republic of China with limited liability (formerly known as "恒泰证券股份有限公司") and carrying on business in Hong Kong as "恒投證券" (in Chinese) and "HENGTOU SECURITIES" (in English) as formerly known)

(Stock Code: 01476)

ANNOUNCEMENT MADE PURSUANT TO LISTING RULES 13.51(2) AND 13.51B(2)

This announcement is made by Financial Street Securities Co., Limited (the "**Company**") pursuant to Rule 13.51(2) and Rule 13.51B(2) of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited (the "**Listing Rules**").

The Company noted that in the announcement published by TF Securities Co., Ltd. ("**TF Securities**") on 14 February 2026, regarding the receipt of the Notice of Intended Administrative Penalty issued by the Hubei Bureau of the China Securities Regulatory Commission (the "**CSRC Hubei Bureau**"), the CSRC Hubei Bureau issued the Notice of Intended Administrative Penalty (Hubei Penalty No. [2026] 7) to TF Securities, which includes penalty provisions concerning Mr. Wang Linjing ("**Mr. Wang**"), a non-executive director of the Company. The key details are as follows:

The CSRC Hubei Bureau is of the view that TF Securities' provision of financing to Wuhan Dangdai Technology Industry Group Co., Ltd. (武漢當代科技產業集團股份有限公司) ("**Dangdai Group**"), Wuhan Guanggu Finance Lease Limited (武漢光谷融資租賃有限公司), and an individual surnamed Xue allegedly violated Article 130(2) of the Securities Law of the People's Republic of China (the "**Securities Law**") promulgated in 2014 and Article 123(2) of the Securities Law enacted in 2019, thereby constituting a violation as stipulated under Article 205 of the 2019 Securities Law. Furthermore, TF Securities' unlawful acts relating to information disclosure allegedly breached Article 63 and Article 67(1) of the 2014 Securities Law, as well as Article 78(1) and (2) of the 2019 Securities Law, thus constituting violations as set forth under Article 197 of the 2019 Securities Law.

The directors and senior management personnel involved are alleged to have violated Item 3 to Article 68 of the 2014 Securities Law and Item 3 and Item 4 to Article 82 of the 2019 Securities Law, thereby constituting "directly responsible principal personnel and other directly responsible personnel" as referred to in Articles 197 and 205 of the 2019 Securities Law.

Mr. Wang, who served at the time as a director and president of TF Securities, was aware of Dangdai Group's financing requests to TF Securities, and still signed TF Securities' annual reports for 2020 and 2021, as well as related bond offering circulars. He is therefore deemed as "other directly responsible personnel" with respect to the aforementioned unlawful information disclosure acts. In relation to TF Securities' unlawful information disclosure, Mr. Wang is hereby issued a warning and fined RMB3 million pursuant to Item 2 of Article 197 of the 2019 Securities Law.

Pursuant to Article 45, Article 63, and Article 64 of the Administrative Penalty Law of the People's Republic of China and the relevant provisions of the CSRC Rules on Administrative Penalty Hearings, TF Securities and the relevant personnel are entitled to make statements, present defenses, and request a hearing regarding the administrative penalties proposed by the CSRC Hubei Bureau. Any facts, arguments, and evidence submitted by TF Securities and the relevant personnel that are verified and upheld by the CSRC Hubei Bureau upon review will be accepted. Should TF Securities and the relevant personnel waive their rights to make statements, present defenses, or request a hearing, the CSRC Hubei Bureau will issue a formal administrative penalty decision based on the aforementioned facts, grounds, and legal basis.

Based on the information available to the board of directors of the Company (the "**Board**", other than Mr. Wang) as at the date of this announcement, the abovementioned incident does not relate to the affairs of the Group or the directors and senior management of the Company (other than Mr. Wang), nor would it impact on the business operations of the Group. Save as disclosed in this announcement, the Company is not aware of any other matters that need to be brought to the attention of the shareholders of the Company.

The Company will continue to monitor the progress of this matter and will issue further announcements in due course as required under the Listing Rules.

By order of the Board
Zhu Yanhui
Chairman

Beijing, the PRC
16 February 2026

As at the date of this announcement, the Board comprises Mr. Zhu Yanhui and Mr. Yin Guohong as executive Directors; Mr. Pang Jiemin, Mr. Wang Linjing, Mr. Li Yanyong, Mr. Xie Xin and Mr. Zhou Lijun (Employee Representative Director) as non-executive Directors; Mr. Chen Xin, Mr. Xu Hongcai, Ms. Cheng Zhuo and Mr. Qi Liang as independent non-executive Directors.