(A joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name "东方证券股份有限公司" and carrying on business in Hong Kong as "東方證券" (in Chinese) and "DFZQ" (in English))

(Stock Code: 03958)

LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS

The members of the board of directors (the "**Board**") of 東方證券股份有限公司(the "**Company**") are set out below:

Executive Directors
Mr. ZHOU Lei *(Chairman)*
Mr. LU Weiming (*Vice Chairman*)
Mr. LU Dayin

Non-executive Directors
Mr. LIU Wei
Mr. YANG Bo
Mr. SHI Lei
Ms. LI Yun
Mr. XU Yongmiao
Mr. REN Zhixiang
Mr. SUN Weidong (*Employee Director*)

Independent Non-executive Directors
Mr. WU Hong
Mr. FENG Xingdong
Mr. LUO Xinyu
Mr. CHAN Hon
Mr. ZHU Kai

There are four Board committees. The table below provides membership information of these committees on which Board members serve.

Director \ Board Committee	Strategy and Sustainable Development Committee	Compliance and Risk Management Committee	Audit Committee	Remuneration and Nomination Committee
Mr. ZHOU Lei	C			M
Mr. LU Weiming	M	C		
Mr. LU Dayin	M			
Mr. LIU Wei			M	
Mr. YANG Bo	M			
Mr. SHI Lei			M	
Ms. LI Yun				M
Mr. XU Yongmiao		M		
Mr. REN Zhixiang	M			
Mr. SUN Weidong				
Mr. WU Hong		M		C
Mr. FENG Xingdong			M	M
Mr. LUO Xinyu		M		M
Mr. CHAN Hon		M	M	
Mr. ZHU Kai			C	

Notes:

C Chairman of the relevant Board committees
M Member of the relevant Board committees

Shanghai, PRC
March 20, 2026