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# 中信建投証券股份有限公司 CSC FINANCIAL CO., LTD.

*(A joint stock company incorporated in the People's Republic of China with limited liability)*  
(Stock Code: 6066)

## CHANGE OF JOINT COMPANY SECRETARY, AGENT FOR SERVICE OF PROCESS; AND WAIVER FROM STRICT COMPLIANCE WITH RULES 3.28 AND 8.17 OF THE LISTING RULES

### CHANGE OF JOINT COMPANY SECRETARY AND AGENT FOR SERVICE OF PROCESS

The board (the “**Board**”) of directors of CSC Financial Co., Ltd. (the “**Company**”) hereby announces that Ms. WONG Wai Ling (“**Ms. WONG**”) has, due to a change in her work arrangements, resigned as the joint company secretary of the Company (the “**Joint Company Secretary**”), and the agent for service of process of the Company (the “**Agent for Service of Process**”) for the acceptance of service of legal process and notice on behalf of the Company in Hong Kong under Part 16 of the Companies Ordinance (Cap. 622 of the Laws of Hong Kong) and under Rule 19A.13(2) of the Rules Governing the Listing of Securities (the “**Hong Kong Listing Rules**”) on The Stock Exchange of Hong Kong Limited (the “**Stock Exchange**”), with effect from March 26, 2026.

Ms. WONG has confirmed that she has no disagreement with the Board and there is no matter in relation to her change in work arrangements that needs to be brought to the attention of the Stock Exchange and the shareholders of the Company (the “**Shareholders**”).

The Board hereby announces that Ms. MAK Po Man Cherie (“**Ms. MAK**”) has been appointed as the Joint Company Secretary and the Agent for Service of Process with effect from March 26, 2026. Mr. LIU Naisheng (“**Mr. LIU**”) will continue to be the other Joint Company Secretary. As the Joint Company Secretary, Ms. MAK will work closely with Mr. LIU and assist him in discharging his duties as the Joint Company Secretary and gaining relevant experience as required under Rule 3.28 of the Hong Kong Listing Rules.

The biographical details of Ms. MAK are set out below:

Ms. MAK is currently the Vice President of SWCS Corporate Services Group (Hong Kong) Limited. She is a member of the Hong Kong Institute of Certified Public Accountants and a fellow member of the Association of Chartered Certified Accountants. She also holds professional qualifications as a fellow of The Hong Kong Chartered Governance Institute and The Chartered Governance Institute. She has worked for various professional firms and listed companies in Hong Kong, with over 20 years of experience in the fields of audit, accounting, corporate finance, compliance and corporate secretarial matters. Ms. MAK obtained a Master of Corporate Governance degree from The Hong Kong Polytechnic University.

The biographical details of Mr. LIU are set out below:

Mr. LIU is a member of Party Committee, a member of the Executive Committee, the Secretary of the Board and the Chairman of the Investment Banking Business Management Committee of the Company. Mr. LIU joined the Company in March 2006, and has been serving as a member of the Executive Committee since January 2014, as the Chairman of the Investment Banking Business Management Committee since January 2021, and as the Secretary of the Board of the Company since July 2024. In addition, he also serves as a director of China Securities (International) Finance Holding Company Limited, a director of Beijing Equity Trading Center Co., Ltd. and a director of Beijing Shunlong Zhiyuan Enterprise Management Consulting Co., Ltd. Mr. LIU currently also serves as the vice chairman of Investment Banking Committee of the Securities Association of China, a member of the Mergers and Acquisitions Financing Professional Committee of the China Association for Public Companies, and a member of the Market Microstructure Professional Committee of the China Capital Market Society.

Mr. LIU worked at China Xinxing Corporation (Group), China Science and Technology International Trust and Investment Co., Ltd. and China Science and Technology Securities Co., Ltd., and served as the administrative head of the Investment Banking Department, the director of the Investment Banking Business Committee and the administrative head of the Executive Office of the Company.

Mr. LIU obtained a bachelor's degree in Engineering from Beijing Institute of Machinery (currently known as Beijing Information Science & Technology University) and a master's degree in Business Administration from Tsinghua University, and was qualified as a sponsor representative of A Shares.

## WAIVER FROM STRICT COMPLIANCE WITH RULES 3.28 AND 8.17 OF THE HONG KONG LISTING RULES

Reference is made to the announcements of the Company dated July 8, 2024 and July 12, 2024 in relation to the appointment of Mr. LIU as a Joint Company Secretary and the existing waiver from strict compliance with Rules 3.28 and 8.17 of the Hong Kong Listing Rules granted by the Stock Exchange (the “**Existing Waiver**”) for a period of three years commencing from the date on which Mr. LIU was appointed as a Joint Company Secretary, i.e. July 12, 2024, to July 11, 2027 (the “**Waiver Period**”). Pursuant to the Existing Waiver, if Mr. LIU is no longer assisted by Ms. WONG during the Waiver Period, the Existing Waiver will be withdrawn.

On the basis of the foregoing, the Company has applied for, and the Stock Exchange has granted, a new waiver (the “**New Waiver**”) from strict compliance with Rules 3.28 and 8.17 of the Hong Kong Listing Rules for the remaining Waiver Period (i.e. from the date of Ms. Mak’s appointment as a Joint Company Secretary to July 11, 2027) (the “**Remaining Waiver Period**”), on the following conditions:

- (a) Mr. LIU must be assisted by Ms. Mak during the Remaining Waiver Period; and
- (b) the New Waiver could be revoked if there are material breaches of the Hong Kong Listing Rules by the Company.

The Stock Exchange may withdraw or change the New Waiver if the Company’s situation changes.

The Board hereby would like to express its gratitude to Ms. WONG for her positive contribution to the Company during her tenure of office and would like to take this opportunity to welcome Ms. MAK for her new appointment.

By order of the Board  
**CSC Financial Co., Ltd.**  
**Liu Cheng**  
*Chairman*

Beijing, the PRC  
March 26, 2026

*As at the date of this announcement, the Executive Directors of the Company are Mr. LIU Cheng and Mr. JIN Jianhua; the Non-executive Directors of the Company are Mr. LI Min, Mr. ZHU Yong, Mr. YAN Xiaolei, Mr. WANG Guanglong, Mr. YANG Dong, Ms. HUA Shurui, Ms. WANG Hua and Mr. DAI Bo; and the Independent Non-executive Directors of the Company are Mr. PO Wai Kwong, Mr. LAI Guanrong, Mr. ZHANG Zheng, Mr. WU Xi and Mr. ZHENG Wei.*