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(A joint stock company incorporated in the People's Republic of China with limited liability)
(Stock code: 06881)

ANNOUNCEMENT CHANGES IN SENIOR MANAGEMENT

The board of directors (the “**Board**”) of China Galaxy Securities Co., Ltd. (the “**Company**”) announces that, due to the adjustment of work arrangements, Mr. LIANG Shipeng has ceased to serve as a member of the Executive Committee, the Chief Compliance Officer, the Chief Risk Officer and other related positions in the Company, with effect from 30 March 2026. Upon resignation from the above positions, Mr. LIANG Shipeng no longer holds any position in the Company or its subsidiaries. Mr. LIANG Shipeng has confirmed that he has no disagreement with the Board and there are no other matters relating to his resignation that need to be brought to the attention of the shareholders and creditors of the Company.

The Board further announces that, with effect from 30 March 2026, Ms. GUO Chen has been appointed as a Vice President, a member of the Executive Committee and the Chief Risk Officer of the Company, and Ms. SUN Jing has been appointed as a Vice President and a member of the Executive Committee of the Company.

The biographical details of Ms. GUO Chen and Ms. SUN Jing are set out below:

GUO Chen, female, born in February 1975, holds a master's degree in economics. Ms. GUO Chen started her career in August 1998. From July 2005 to September 2007, she successively served as a staff member and senior deputy manager of the ICBC Equity Management Department of Central Huijin Investment Ltd. From September 2007 to July 2014, she successively served as a staff member, first-level manager, and senior deputy manager of the Risk Management Department of China Investment Corporation. From July 2014 to November 2025, she successively served as the leader of the Market Risk Assessment Team and the senior deputy manager of the Risk Management Department, the leader of the Market Risk Assessment Team and the senior manager of the Risk Management Department, the leader of the Overall Portfolio Risk Team of the Risk Management Department, and the leader of the Overall Portfolio Risk/Modeling Team of the Risk Management Department of China Investment Corporation. From April 2024 to December 2025, she served as the deputy director of the Risk Management Department of China Investment Corporation. She has been serving in the Company since December 2025.

SUN Jing, female, born in June 1980, holds a master's degree in management. Ms. SUN Jing started her career in August 2004. From August 2004 to January 2023, she successively served as an employee of the Capital Markets Department, an employee of the Corporate Management Department, and the head of the Operations Support Department of China International Capital Corporation Limited, as well as the deputy general manager and the general manager of CICC Fund Management Co., Ltd. From January 2023 to September 2024, she served as the executive head of the Asset Management Department of China International Capital Corporation Limited. From September 2024 to March 2026, she served as the head of the Asset Management Department of China International Capital Corporation Limited. She has been serving in the Company since March 2026.

The Board would like to express its sincere gratitude to Mr. LIANG Shipeng for his contribution to the Company during his tenure of service, and welcome Ms. GUO Chen and Ms. SUN Jing to the Company.

By order of the Board
China Galaxy Securities Co., Ltd.
WANG Sheng
Chairman and Executive Director

Beijing, the PRC
30 March 2026

As at the date of this announcement, the executive directors of the Company are Mr. WANG Sheng (Chairman), Mr. XUE Jun (Vice Chairman and President) and Ms. QU Yanping; the non-executive directors are Mr. YANG Tijun, Ms. LI Hui, Ms. HUANG Yan and Mr. SONG Weigang; and the independent non-executive directors are Mr. LAW Cheuk Kin Stephen, Mr. LIU Li, Mr. MA Zhiming and Ms. FAN Xiaoyun.