金融街证券
FINANCIAL STREET SECURITIES

2025 Annual

Environmental, Social and Governance Report

Financial Street Securities Co., Limited

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About This Report

Brief Introduction

Financial Street Securities Co., Limited, a joint stock company incorporated in the People's Republic of China with limited liability (the "Company"), and its subsidiaries (the "Group" or "We") are pleased to publish our Environmental, Social and Governance ("ESG") Report. The Report summarizes the Company's work in implementing the concept of sustainable development and fulfilling corporate social responsibility.

Reporting Scope

The Report focuses on the core businesses of the Company and the overall sustainability performance from 1 January 2025 to 31 December 2025 (the "Year"). Unless otherwise specifically stated, the Report covers the businesses directly controlled by the Company. For detailed information on corporate governance of the Company, please refer to the section headed "Corporate Governance Report" of annual report of the Company in 2025 or visit the Company's official website (http://www.jrjzq.com.cn).

Reporting Standards

The Report is prepared in accordance with the Appendix C2 Environmental, Social and Governance Reporting Guide (the "Guide") to the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited (the "Listing Rules") of The Stock Exchange of Hong Kong Limited (the "Hong Kong Stock Exchange"). The Report has complied with all the "comply or explain" provisions and reporting principles in the ESG Guide. Readers may refer to the Appendix II: Hong Kong Stock Exchange "ESG Reporting Guide" Content Index to this report for quick reference. The Report should be read in conjunction with the section headed "Corporate Governance Report" in annual report for a comprehensive understanding of the Company's environmental, social and governance performance.

Reporting Boundary and Data Calibre: The organizational boundary of the Report is consistent with the consolidation scope of the financial statements. The disclosure boundary, statistical methods, conversion factors and key assumptions for environmental data are subject to the appendices and definitions. Should there be any adjustments to the statistical methodology during the reporting period, the reasons for such changes and their impact on comparability will be explained in the relevant sections.

Forward-Looking Statements: The statements in the Report concerning objectives, plans, and

outlook constitute forward-looking information, which may be subject to adjustments due to changes in macroeconomic environment, regulatory policies, and operational conditions, and do not constitute guarantees or commitments regarding future performance.

Reporting Principles

The reporting principles of "Materiality", "Quantitative", "Balance" and "Consistency", have been followed in the preparation of this ESG Report.

Materiality	The Report has identified and disclosed the procedures and standards for selecting the ESG factors, as well as the description of stakeholders and their participation and results.
Quantitative	The Report contains standards, methods, assumptions and/or calculation tools for the emission/energy consumption (as applicable) statistics, and the source of conversion factors, all of which are explained in the definition of the Report.
Balance	The Report provides an unbiased picture of the Company's performance, and avoids selections, omissions, or presentation formats that may inappropriately influence a decision or judgment by the report reader.
Consistency	The Report uses consistent statistical method for disclosing the data. Changes (if any) are clearly explained in the Report.

Reporting Languages

This Report is published in both traditional Chinese and English. In case of discrepancies, the traditional Chinese version shall prevail.

Access to the Report

The Report is published in electronic version, which can be downloaded from HKEX and the Company's website.

Feedback on the Report

If you have enquiries and suggestions about the Report or the Company's sustainability strategy, please feel free to contact us by email (dongban@jrjzq.com.cn).

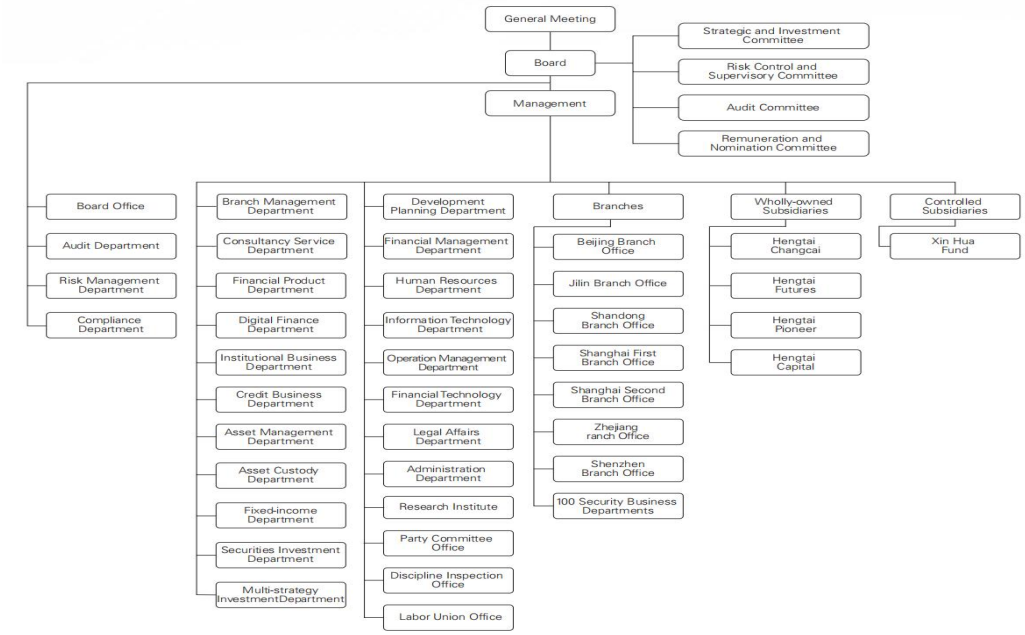
1. About the Company

1.1 Company Overview

Financial Street Securities Co., Limited (1476.HK, hereinafter referred to as "Financial Street Securities" or the "Company") was established in 1992. It is a comprehensive, fully licensed securities company approved by the China Securities Regulatory Commission (中國證券監督管理委員會) and was listed in Hong Kong in 2015. The Company has established 107 branch offices nationwide (including 7 branches). Its business scope covers a full range of licensed activities, including brokerage and wealth management, asset management, asset securitization, capital intermediation, asset custody, and proprietary trading.

The Company remains committed to the fundamental mission of serving the real economy through financial services and firmly upholds the political and people-oriented nature of financial work. The Company adheres to the principle of seeking progress while maintaining stability and promoting stability through progress, and pursues a development path combining light and heavy capital businesses. The Company has formed the business extended area as the core of Beijing, Inner Mongolia Autonomous Region and three regions in northeast China, actively aligning with the national strategy for coordinated regional development and providing in-depth services to the real economy in these regions. It strives to become an investment bank with distinct brand advantages and strong regional influence, providing clients with more diversified, professional and attentive comprehensive financial services.

1.2 Organizational Structure



1.3 Awards and Honors

During the year, the Company has been widely recognized for its achievements in sustainable development areas including prudent operations, customer services and investor education, with major accolades including:

Awarding organization	Award or honor
Inner Mongolia Securities Regulatory Bureau	Excellence Rating in Evaluation of 2024-2025 Internet Investor Education Base
Inner Mongolia Securities and Futures Association	“Lighthouse” Unit for Investor Education and “Spark” Unit for Industry Information Dissemination
Securities Times	Outstanding Financial Innovation Case during the “14th Five-Year Plan” Period
Cailian Press	Wealth Management – Huazun Award
Cailian Press	“Evergreen Wealth Award” and “Financial Innovation Service Award”
Cailian Press	“Innovative Internet Investor Education Base Award”
JRJ.com	“Outstanding Benchmark Institution for Consumer Rights Protection Award”
JRJ.com	“Outstanding Physical Investor Education Base” and “Outstanding Investor Education Work”
China Securities Investor Services Center	“2025 Annual Case Contribution Liaison Unit”

Chapter Introduction:

The Company drives high-quality development through ESG governance, embedding ESG principles into strategic formulation, compliance operations, risk management, and business operations. Centered on material issues, the Company has established a closed-loop management framework of "governance—strategy—impact, risk and opportunity management—metrics and targets", ensuring that sustainable development requirements are effectively implemented within the organization and remain measurable and traceable.

Key ESG Management Priorities for 2025 (corresponding to the chapters of the Report): ①Technology Finance, Supporting the National Innovation Strategy (Chapter 3); ②Green Operations and Climate Risk Identification (Chapter 4); ③Employee Development and Occupational Health & Safety (Chapter 5); ④Serving the Real Economy, Rural Revitalization, and Public Welfare Practices (Chapter 6); ⑤Compliance Operations, Anti-Money Laundering, and Integrity Business (Chapter 7); ⑥Customer Rights Protection, Investor Education, and Information Security (Chapter 8).

2. ESG Governance and Sustainability Strategy

2.1 Board Statement on ESG Management

The year 2025 marks a pivotal year for fully implementing the guiding principles of the Central Financial Work Conference and further advancing the high-quality development of the financial sector. The Board of Directors of Financial Street Securities attaches great importance to the development of the Environmental, Social and Governance ("ESG") framework and has deeply integrated ESG principles into the Company's development strategy and daily compliant operations.

As the highest decision-making body for ESG matters, the Board assumes overall responsibility for leading and overseeing the Company's ESG work. The Board is responsible for deliberating and establishing the Company's ESG policies and strategies, and regularly assessing and reviewing the risks and opportunities associated with key ESG issues, including climate change, green operations, inclusive finance, and compliance and risk management. In alignment with the national "dual carbon" strategy and relevant regulatory guidelines, the Company has established directional environmental protection targets and will regularly review the progress of these targets. Looking ahead, the Board will continue to take the Sustainability Reporting Guideline issued by the Shanghai Stock Exchange and the Shenzhen Stock Exchange, together with the Environmental, Social and Governance Reporting Guide issued by the Stock Exchange of Hong Kong Limited, as key benchmarks to continuously enhance the Company's sustainable governance standards, ensuring that while serving national strategies and creating social value, the Company also achieves long-term and sustainable development.

2.2 Party Building Leading the Consolidation of the Foundation for Development

As a state-owned financial enterprise, the Party Committee of the Company consistently adheres to the guidance of Xi Jinping Thought on Socialism with Chinese Characteristics for a New Era and integrates the Party's leadership throughout the entire process of the Company's corporate governance and business development. The Company strictly implements the "First Agenda" mechanism, promoting the coordinated advancement and mutual reinforcement of Party building and business operations. Leveraging Party building initiatives such as the "Rongxin Juli (融心聚力)" programme, the Company has advanced the standardized development of grassroots Party organizations and fully leveraged the leading role of Party building in supporting rural revitalization, investor education, ecological protection, and compliant and integrity-based professional conduct. In doing so, the Company continuously transforms the strengths of Party building into governance effectiveness and strong momentum for high-quality development.

2.3 Robust ESG Governance Structure

To ensure the effective implementation and execution of ESG-related tasks, the Company has established and continuously improved a three-tier ESG governance structure comprising the Board – ESG working group – implementation level. This structure clearly defines the responsibilities and authorities at each level and forms a top-down ESG management loop.

Level	Lead/Form	Primary Responsibilities
Decision-making Level **(The Board)**	The Board	● Responsible for reviewing and managing material ESG issues, risks, and opportunities. ● Examining and approving ESG management approach, strategies, and targets. ● Regularly reviewing progress against targets and ESG performance. ● Examining and approving the annual ESG report.
Management level **(ESG Working Group)**	Led by the secretary of the Board and spearheaded by the Board Office	● Responsible for identifying and assessing material ESG issues, risks and opportunities for the Board's approval. ● Formulating ESG strategies, annual plans and targets. ● Overseeing the preparation of the annual ESG report while maintaining close communication with internal and external stakeholders.
Implementation Level **(Various Departments)**	Comprising the development planning department, human resources department, administration management department, compliance management department, trade union office, and branch management department, etc.	● Responsible for translating ESG concepts into concrete actions and advancing the implementation of various ESG initiatives. ● Collecting and reporting key ESG performance indicators. ● Implementing various internal ESG policies and systems.

2.4 Integrated Practice of ESG and the "Five Great Articles"

The Company actively responds to the goal of building China into a financial powerhouse and closely follows regulatory guidance. Leveraging its full-licence business advantages, the Company

advances in a coordinated manner the "Five Great Articles"—technology finance, green finance, inclusive finance, pension finance, and digital finance—while deeply integrating key ESG topics with its business development directions:

Inclusive Finance (Rooted at the Grassroots, Growing with Social Good): The Company regards inclusive finance as a core approach to fulfilling its social responsibilities. It has deeply implemented the "One Company, One County" targeted assistance programme, covering five regions including Chahar Right Middle Banner in Inner Mongolia, with more than RMB1.23 million in dedicated support funds invested during the year to empower rural industries and improve people's livelihoods. Meanwhile, leveraging its national-level investor education base, the Company has continuously organized initiatives such as "Investor Education Entering Hundreds of Schools" and community campaigns on preventing illegal financial activities and telecom fraud. These activities reached more than 10,000 participants both online and offline, effectively enhancing the accessibility of financial services and improving public financial literacy. The Company also underwrote several bonds supporting small and medium-sized enterprises (SMEs), with proceeds used to support the development of SMEs. During the year, the Company additionally participated in the issuance of rural revitalization bonds, raising funds for projects including the renovation of model villages, rural tourism upgrades, and the development of rural revitalisation cultural and tourism complexes.

Green Finance (Low-Carbon Operations, Safeguarding the Ecosystem): The Company has internalized the concept of green development in its operations. On the operational side, it strictly promotes green office practices characterized by reduction, harmless treatment, and resource utilization, while conducting greenhouse gas inventories and climate change risk assessments. On the ecological side, the Company has innovatively explored a model combining "public welfare donations + volunteer actions", providing dedicated funding to the Hohhot Hongyan Wetland Ecological Protection Association and organizing voluntary tree-planting activities. These initiatives support biodiversity conservation and contribute to the development of a Beautiful China.

Technology Finance and Digital Finance (Dual Drivers Empowering Quality Enhancement): The Company encourages the use of technology to empower investment research, risk management, and operational management. During the year, the Company underwrote equity financing projects for technology enterprises, guiding capital towards the development of new quality productive forces. At the same time, the Company comprehensively advanced digital transformation and information security management, optimized the service experience of the 956088 customer service hotline and online platforms, and developed a backstage management system for investor education. Through technological means, the Company strictly safeguards data security and customer privacy.

Pension Finance (Wealth Management with Prudence and Compliance): In response to the growing demand for retirement planning and wealth management among residents, the Company continues to optimize its wealth management system. Through rigorous suitability management, full-cycle customer follow-up visits (with more than 570,000 follow-up records conducted during the year), and transparent and compliant product distribution mechanisms, the Company safeguards clients' financial interests and contributes professional wealth management capabilities to addressing the challenges of an ageing society.

2.5 Aligning with the United Nations Sustainable Development Goals (SDGs)

The Company recognizes that the grand vision of global sustainable development hinges on the practices of micro-level business entities. In 2025, we comprehensively aligned our ESG strategy and business initiatives with the UN Sustainable Development Goals (SDGs):

• SDG 1 No Poverty & SDG 10 Reduced Inequalities: Deepened the "One Branch for One County" pairing-up assistance programme by procuring agricultural products from formerly impoverished regions and supporting coordinated regional development.

• SDG 4 Quality Education: Leveraged our national-level investor education base to advance the "Investor Education in 100 Schools" initiative and launched a series of financial literacy courses for children.

• SDG 8 Decent Work and Economic Growth: Provided equal employment opportunities with competitive compensation and benefits, safeguarding employees' occupational safety and career development.

• SDG 9 Industry, Innovation and Infrastructure: Issued science and technology innovation bonds (the "STI Bonds") to channel funds into AI infrastructure, innovative pharmaceuticals, and other technology-driven industrial chains.

• SDG 13 Climate Action: Conducted greenhouse gas inventories, implemented energy conservation and consumption reduction measures in office premises, and actively addressed climate-related risks.

2.6 Stakeholders Engagement

The Company recognizes that listening to and addressing stakeholder concerns is pivotal to achieving sustainable development. We have established regular, multi-tiered communication

mechanisms to identify key stakeholders, including government and regulatory authorities, shareholders/investors, customers, employees, suppliers, and communities/environment. Close interactions are maintained through various channels such as general meetings, customer service hotlines, staff congresses, and investor education initiatives.

Key Stakeholders	Key Issues of Concern	Communication Channels
Shareholders/Investors	Corporate governance Comprehensive risk management Investment and financing ESG risk management	Annual general meeting Interim report and annual report Corporate newsletter Result announcement Shareholder visiting activities Establishment of investor education base Promotional activity for investor education Phone
Customers	Customer service quality Anti-unfair competition Information security and customer privacy protection FinTech applications Investor education Serving national strategies ESG risk management in investment and financing	Daily operations/communication Customer service center Customer consultation group Customer relation manager visit Online service platform Phone Mailbox
Employees	Employee diversity and inclusiveness Employee rights and welfare protection Employee training and development	Performance interview/appraisal Group discussion/meeting Employee suggestion box Publications/business presentation Employee intranet
Suppliers	Integrity and anti-corruption compliance Supplier management	Supplier management procedure Supplier/Contractor assessment system Tendering system and process
Regulators	Regulatory compliance Comprehensive risk management Integrity in business conduct and anti-corruption Anti-Money laundering Green operations Serving national strategies Green finance Industry culture development	Compliance report Submission of regulatory document Written response to public consultation Meeting
Media	Company operating performance Disclosure of significant events	Press release Senior management visit Results announcement Official Website

		WeChat Official Account
Communities/non-governmental organizations	Social contributions Rural revitalization Green operations Climate change mitigation	Seminar/talk/workshop Meeting Promotional activity for investor education

2.7 Materiality Assessment

In order to further clarify the focus of sustainable development work during the Year, the Company conducted a rigorous materiality assessment by referring to the HKEX's ESG Reporting Guide and the latest industry regulatory trends, and adopting the "double materiality" principle (i.e. assessing both the impacts of issues on the Company's finances and business, as well as the external impact of the Company's operations on the economy, environment, and society):

Materiality	Core Issue	Management Achievements in 2025
Highly Material	1. Serving the real economy and national strategies	Issuing Sci-Tech Innovation Bonds to directly channel funds into technology innovation industrial chains (See Chapter 3 for details)
Highly Material	2. Compliance operation and comprehensive risk management	Strengthening compliance culture and exploring ESG investment risk screening mechanisms (See Chapter 7 for details)
Highly Material	3. Business ethics and anti-corruption	"Zero Tolerance" Policy and Full-Process Integrity Compliance Management (See Chapter 7 for details)
Highly Material	4. Anti-money laundering management	Full implementation of the new "Law on Anti-money Laundering" and closed-loop self-inspection rectification (See Chapter 7 for details)
Highly Material	5. Investor rights protection and education	Establishment of national-level investor education bases and anti-fraud awareness campaigns (See Chapter 8 for details)
Highly Material	6. Information security and privacy protection	Strict access control, encryption and masking of core customer data (See Chapter 8 for details)
Moderately Material	7. Green finance and responsible investment	Seed funds and direct investments in Beijing Stock Exchange focusing on new energy sectors (See Chapter 4 for details)
Moderately Material	8. Climate change	Physical and transition risk assessment & extreme weather contingency

Materiality	Core Issue	Management Achievements in 2025
	response	(See Chapter 4 for details)
Moderately Material	9. Talent attraction and retention	Systematic compensation governance and employee benefits including enterprise annuity and medical coverage (See Chapter 5 for details)
Moderately Material	10. Employee training and development	Conducted 213 training sessions with 100% coverage rate and ai empowerment (See Chapter 5 for details)
Moderately Material	11. Supporting rural revitalization and regional development	"One Branch for One County" million-RMB assistance program, multi-dimensional collaboration in inner Mongolia/Beijing (See Chapter 6 for details)
Moderately Material	12. Inclusive financial services	Facilitating financing for SMEs, optimizing wealth management for the public (See Chapter 6 and 8 for details)
General Material	13. Green operations	Promoting paperless offices, conserving water and electricity consumption, and conducting greenhouse gas inventories (See Chapter 4 for details)
General Material	14. Waste management	Standardized recycling of electronic consumables and environmental disposal by professional agencies (See Chapter 4 for details)
General Material	15. Occupational health and safety	Safety alerts, fire drills, and 100% health check coverage (See Chapter 5 for details)
General Material	16. Sustainable supply chain	Implementing integrity and confidentiality commitments, prioritizing the procurement of green and environmentally friendly products (See Chapter 7 for details)

3. Technology Finance: Supporting the National Innovation Strategy

Technological innovation serves as the core driving force in leading the development of a modern industrial system. Financial Street Securities deeply understands the political and people-oriented nature of financial work, actively responds to national calls, and regards "Technology Finance" as a crucial component in serving national strategies. Leveraging capital markets as a link, we fully harnessed the synergies among investment banking, investment and market-making businesses to channel more financial resources towards "specialized, sophisticated, distinctive and innovative" enterprises and high-tech enterprises. We are committed to becoming a professional financial partner that accompanies technology-based enterprises throughout their lifecycle, contributing to the development of new quality productive forces through concrete actions.

3.1 Facilitating Capital Market Access for Technology-based Enterprises

Guided by the principles of "serving new quality productive forces and increasing the proportion of direct financing," the Company has prioritized technology finance within its investment banking business. We concentrated on addressing the capital market access needs of technology enterprises, including "specialized, sophisticated, distinctive and innovative" enterprises and high-tech enterprises, assisting them in securing public market financing for R&D investments, capacity expansion and technological upgrades. Throughout project execution, the Company maintained equal emphasis on regulatory compliance/prudence and investor protection. We strengthened due diligence and information disclosure quality management while refining end-to-end processes covering "project initiation - internal review - risk control - issuance - continuous supervision", thereby enhancing the professionalism and sustainability of our real economy services. Concurrently, the Company continuously explored integrating ESG factors into investment banking practices: During industry selection, client screening, fundraising purpose evaluation and material risk identification, we prioritized key elements including corporate governance standards, regulatory compliance, information security and environmental conformity, while maintaining dynamic assessments of external market environment changes. The Company has integrated its technology-focused financial investment banking business with regional industrial development priorities, concentrating on advanced manufacturing, next-generation information technology, biopharmaceuticals, new energy, and advanced materials. This approach facilitates the aggregation of financial resources toward critical areas of high-quality development while enhancing the breadth and precision of services to the real economy.

During the Reporting Period, the Company's investment banking business maintained strong

momentum in technology finance, successfully assisting multiple high-quality targets in securing direct financing. For instance, within the year, the Company provided professional underwriting services for a “specialized, sophisticated, distinctive and innovative” SME (also qualifying as a high-tech enterprise) in the pharmaceutical manufacturing sector, as well as a high-tech enterprise specializing in special electromechanical equipment, thereby facilitating capital infusion for these technology-driven firms. By precisely aligning with capital markets, the Company effectively addressed funding gaps for technology innovation enterprises during their core technology breakthroughs and industrial upgrading processes.

3.2 Science and Technology Innovation Bonds: Supporting Technological Innovation Through Debt Financing Instruments

During the Year, the Company actively responded to the national innovation-driven development strategy, aligned with regulatory policies supporting financial institutions in issuing technology innovation bonds, and successfully advanced the issuance of RMB1,000 million in subordinated bonds and corporate bonds (hereinafter collectively referred to as "Science and Technology Innovation Bonds").

This issuance not only fulfilled the Company's business development and capital replenishment needs but also stood as a benchmark initiative demonstrating the Company's commitment to supporting technological innovation and fulfilling its social responsibilities as a state-controlled securities firm. We pledge to allocate no less than 70% of the issuance proceeds to investments in the technology innovation sector. In practical implementation, the Company's business units adopted diversified investment strategies, channeling raised funds precisely into high-tech sectors. Through the integrated approach of "investment banking + investment + market making," the Company effectively established a virtuous cycle between financial capital and the technology innovation industry, achieving dual objectives of preserving and enhancing state-owned assets while advancing high-level technological self-reliance.

4. Green Operations: Embracing Low-Carbon Development Principles

Finance Street Securities actively responds to the national strategic goals of "Carbon Peak and Carbon Neutrality", integrating green and environmental protection concepts into all aspects of corporate daily operations and management. We fully recognize that financial institutions are not only promoters of green finance but also practitioners of green operations. The Company strictly complies with the "Environmental Protection Law of the People's Republic of China" and other relevant laws and regulations, comprehensively implements paperless office practices and energy-saving and emission-reduction measures, and continuously enhances the efficiency of water and energy usage. Meanwhile, we proactively identify and address potential risks arising from climate change, committing to contribute financial strength to safeguarding clear waters and lush mountains and building a beautiful China through our own low-carbon transformation.

We minimize the environmental impact caused by the business management activities. We strictly comply with the Environmental Protection Law of the People's Republic of China (《中華人民共和國環境保護法》), the Energy Conservation Law of the People's Republic of China (《中華人民共和國節約能源法》), the Law of the People's Republic of China on the Prevention and Control of Environmental Pollution by Solid Waste (《中華人民共和國固體廢棄物污染環境防治法》), the Water Pollution Prevention and Control Law of the People's Republic of China and other laws and regulations (《中華人民共和國水污染防治法》), and encourage employees to protect the environment and improve their environmental awareness. We have formulated Management Measures of Office Environment and Premises of Finance Street Securities Co., Ltd. (《金融街證券股份有限公司辦公環境及場所管理辦法》) to encourage employees to improve resource usage efficiency, save energy and water, and reduce waste generation. The Company implemented the principle of "reduction, harmlessness and resource utilization" for waste treatment. In office activities, anchoring on the source of procurement and the green standardization of suppliers, the Company gave priority to purchasing and using state-specified environmental-friendly and green energy saving products, promoted energy conservation, reduced the generation and discharge of pollutants, saved office expenses and creates a green office environment.

During the Year, the Company has not violated any laws and regulations related to environmental protection or caused major accidents affecting the environment and natural resources, nor has it received any notice of penalties or lawsuits related to the environment.

The Company has continued the reporting scope of environmental key performance (Hohhot Office) consistent with previous years, we have set the environmental targets during the Year as maintaining or

gradually reducing consumption on the basis of previous years, and have considered setting more specific quantitative environmental targets during a suitable time. The details are as follows:

Environmental Aspects	Targets
Energy Efficiency	Maintain or gradually reduce power consumption on the basis of the energy consumption intensity of 329.2 kWh/square meter of 2024. It will be actively implemented according to the Company's energy saving measures.
Water Efficiency	Maintain or gradually reduce water consumption based on the water consumption intensity of 0.651 cubic meters per square meter of 2024. It will be actively implemented according to the Company's water saving measures.
Waste Reduction	Maintain or gradually reduce waste generation on the basis of the non-hazardous waste generation intensity of 6.91 kg/person of 2024. It will be actively implemented according to the Company's material-saving and waste sorting measures.
Greenhouse Gas Emission Reduction[1]	Maintain or gradually reduce direct greenhouse gas (Scope 1) and indirect greenhouse gas (Scope 2) emissions based on the greenhouse gas emission intensity of 0.229 metric tons CO_2e/person of 2024. It will be actively implemented according to the Company's energy-saving and greenhouse gas emission reduction measures.

Scope 1: Direct greenhouse gas emissions from stationary equipment fuel consumption, motor vehicle fuel consumption and refrigerants. Scope 2: Greenhouse gas emissions indirectly caused by electricity consumption.

4.1 Focusing on Green Industry Investments

The Company has internalized social responsibility as an integral part of its business operations, achieving resonance between commercial value and social value — from incorporating ESG indicators into core screening criteria at the initial investment decision stage, to promoting green operations among investee companies' post-investment, and to actively participating in public welfare practices such as industrial poverty alleviation and investor education. In its private equity investment business, the Company deepens its involvement in mining, intelligent manufacturing and green industries, linking capital with industrial entities from a long-term perspective. Its private equity fund subsidiary is actively preparing a new energy zero-carbon fund to channel social capital towards green fields on an ongoing basis.

4.2 Emission Management

As a responsible enterprise, we actively cooperate with various national policies and measures to achieve the goal of "2060 Carbon Neutrality". We implement low-carbon operations to reduce greenhouse gas emissions.

Greenhouse gases and other emissions in Scope 1 are primarily from the vehicles owned by the Company. We regularly carry out maintenance to our fleets to maintain vehicle performance, check tire wear and maintain proper tire inflation, and promptly eliminate vehicles that do not meet the environmental standards.

Greenhouse gases of Scope 2 mainly come from the Company's purchased electricity. Please refer to the "Energy Conservation" section for energy saving measures.

We carried out greenhouse gas inventory with reference to the Greenhouse Gas Protocol (《溫室氣體盤查議定書》) developed by the World Resources Institute and the World Business Council for Sustainable Development, and to the ISO14064–1 standard from the International Organization for Standardization. The greenhouse gas emissions from the Company's headquarter office (Hohhot) are as follows:

	Unit	Quantized Value of 2025	Quantized Value of 2024
Direct greenhouse gas emission (Scope 1)	Tonnes	58.70	53.75
Indirect greenhouse gas emission (Scope 2)	Tonnes	3,282.81	3,156.62
Total greenhouse gas emission	Tonnes	3,341.51	3,210.37
Greenhouse gas emission per capita	Tonnes/m^2	0.238	0.229

We are committed to improving the efficiency of resource use, adhering to the principle of "reduction, harmlessness, and resource utilization", and encourage employees to use reusable products as much as possible. We will carry out stocktaking and amount of materials used, and purchase them when needed. Our office wastes including office consumables and electronic wastes including computer consumables, have been handled in a reasonable and environmentally friendly way. We have also signed an agreement with a food waste collection service company to handle food waste professionally.

During the Year, the total amount of non-hazardous waste generated by the Company's headquarter office (Hohhot) was 2,400.00 kg, and the intensity of non-hazardous waste generation was 6.85 kg per employee; hazardous waste included 980 batteries and 185 used ink cartridges/used toner cartridges. Comparing to 2024, the intensity of non-hazardous waste generation of the Company decreased by 0.8% from 6.91 kg in 2024, which is mainly due to the promotion and advocation of harmless office work in 2025 to reduce the generation of office waste.

4.3 Energy Conservation

The Company's primary electricity consumption stems from data center equipment, Install lights with high energy efficiency. We have implemented the following measures to save electricity:

Air-conditioning Systems	The air conditioning system is centrally managed by the Property Management Office, with an internal switch
	Regular cleaning of filters to minimize unnecessary energy consumption
	Avoid installing air conditioners in locations that are directly exposed to the sun
	Allow employees to wear casual clothing for work in hot weather and on Fridays
Lighting system	Make full use of natural light to reduce power consumption
	Install lights with high energy efficiency
	Install independent lights in meeting rooms to avoid unnecessary long-term lighting in those areas
	Regular clean lights to maintain performance
	Develop employees' habit of turning off when not using

We regularly monitor energy usage efficiency to timely adjust energy-saving measures. During the year, the total electricity consumption at the Company's headquarters office (Hohhot) during operation is 4,827,673.00 kWh, and the total energy consumption intensity is 344.8 kWh per square meter, comparing to the data of 2024, the energy consumption intensity of the Group increases by 4.73%, primarily due to the phased deployment and operation of 120 Syntron servers in the second half of 2025.

4.4 Water Conservation

The Company does not have any issues in sourcing water intake. We proactively take a number of measures, including applying sensor faucets to reduce water pressure to the lowest practicable level, regularly checking water meter readings to monitor abnormal water usage and perform necessary maintenance, conducting periodic leak inspections and regular leak testing of concealed water pipes, using water-saving toilet tools and raising employees' awareness of water conservation, in order to reduce the Company employees' water consumption. During the year, optimization and inspection of equipment humidification scheme in computer room were carried out, resulting in reduced abnormal water usage. The total water consumption of the Company's headquarters office (Hohhot) was 6,756.00 cubic meters, and the water consumption intensity was 0.482 cubic meters per square meter.

4.5 Climate Change Risk Management

The Company fully understands that climate change will have deep impacts on the environment and society, and bring risks to the financial system. Therefore, during the Year, the Company carried out climate change risk identification. With reference to international standards, relevant national rules and regulations and industry development, the Group assessed the possible impacts on business from the aspects of climate change physical risks and climate change transition risks. After the assessment, the impact of climate change on the Company's business is relatively low. If affected by extreme weather, we will actively follow the relevant policies of the local government and make emergency plans beforehand to ensure the safety of employees. In the future, the Company will continue to monitor climate change risks and take corresponding measures.

5. People-Oriented Approach, Strengthening Talent Development

"Talent is the primary resource," and an outstanding workforce serves as the Company's core engine for achieving high-quality development. Financial Street Securities has consistently upheld a "people-oriented" talent development philosophy, committed to fostering a work environment that is equitable, inclusive, diverse, and safe. We have continuously refined a market-competitive compensation and benefits system, streamlined career advancement pathways for employees, and established a multi-tiered, comprehensive training and empowerment framework to align individual aspirations with corporate strategic objectives. We remained vigilant about employee well-being, actively listened to their voices, and collaborated with our entire workforce to build an enduring enterprise.

The Company strictly complies with the Law of the People's Republic of China on the Protection of Minors (《中華人民共和國未成年人保護法》), the Provisions on Prohibition of Child Labour (《禁止使用童工規定》), the Labour Law of the People's Republic of China (《中華人民共和國勞動法》), the Labour Contract Law of the People's Republic of China (《中華人民共和國勞動合同法》), Implementing Regulations of the People's Republic of China Employment (《中華人民共和國勞動合同法實施條例》) and other laws and regulations in the operating region. We have formulated the Employee Handbook (《员工手册》), Measures on Welfare (《福利管理辦法》), Measures on Attendance and Leave (《考勤與休假管理辦法》), etc., aiming to provide employees with an equal, inclusive, anti-discrimination and safe working environment.

5.1 Compliant Employment and Rights Protection

For an effective talent acquisition, we formulated the Administrative Measures for Staff Recruitment (《員工招聘管理辦法》). The Group adheres to the employment principles of "openness, fairness, strategic orientation, and selection of the best", by means of written tests, interviews, personality assessments, background checks, integrity file inquiries, integrity verification and money laundering risk assessments, etc. We evaluated candidates across multiple dimensions, including work experience, education, integrity, honesty, and other qualifications required for the posts. Various approaches and methods are used to acquire talents by verifying the applicants' identity documents, resumes and academic certificates, integrity file documents, and certificates of integrity of service companies in the past, to assess the authenticity and compliance of the information as well as the suitability for the position, to ensure that all of the recruited employees comply with laws and regulations and prevent the use of child labour. The qualified recruits will have to legally sign binding

contracts of Labour Contract (《勞動合同》), Non-disclosure Agreement (《保密協議》) and Employee Handbook Reading Confirmation Form (《員工手冊閱讀確認表》), and other documents, protecting the rights and interests of both sides, thus to eliminate forced labor from happening.

The Administrative Measures for Staff Separation (《員工離職管理辦法》) clearly outlines the types of employee separations and the corresponding procedures. Our Human Resources Department conducts exit interviews with personnel in key positions to understand their reasons for leaving and facilitates the separation process for departing employees. Departing employees are also required to complete work handovers in accordance with regulations and cooperate with the Human Resources Department's separation arrangements. To ensure no incidents of forced labor occur, our Employee Handbook (《員工手冊》) specifies working hours and job requirements. Upon identification of any violations, the Company will take appropriate actions in accordance with the clearly stipulated circumstances outlined in the Employee Handbook (《員工手冊》). During the Reporting Period, the Company did not violate any laws or regulations pertaining to compensation and dismissal, recruitment and promotion, working hours, equal opportunity, diversity, anti-discrimination, or the prevention of child labor or forced labor. No instances of child labor or forced labor were identified within the Company. As at 31 December 2025, the Company had a total of 2,068 employees on its payroll.

5.2 Compensation Incentives and Employee Welfare

The Company is concerned about the well-being of its employees and has formulated the Welfare Management Measures (《福利管理辦法》) and the Administrative Regulations on Employee Social Security and Housing Provident Fund (《員工社會保險及住房公積金管理規定》)and the Implementation Rules for the Enterprise Annuity Scheme (《企業年金方案實施細則》), hoping to attract and retain talents with competitive salaries and benefits. Regarding holidays, in addition to statutory holidays, employees may enjoy sick leave, work injury leave, personal leave, annual leave, marriage leave, bereavement leave, prenatal check-up leave, maternity leave, paternity leave, and breastfeeding leave. Regarding subsidies, in addition to the welfare of “five social insurances and one housing provident fund”, we also provide enterprise annuity, annual body check, complementary medical care, festival fees, and team activity fees, heating fees, heat stroke prevention and cooling fees, lunch subsidy, communication subsidies, off-site work subsidies, etc.

The Company has established a systematic and standardized compensation governance framework, strictly implementing policies such as the Compensation Management Measures (《薪酬管理辦法》) and Performance Management (《績效管理辦法》). Employee remuneration consists of fixed and variable components. Fixed compensation is determined according to their job positions, ranks and their

professional qualifications, and is adjusted according to the overall performance of the year. Floating compensation is deeply tied to performance management. Our performance management is composed of four steps, including performance planning and goal setting, performance monitoring and guidance, performance appraisal and feedback, and performance results and application. Annual performance bonuses and special incentives are awarded based on performance evaluation results, achieving an organic integration of basic salary protection and value-driven motivation.

5.3 Talent Development and Career Growth



Training Group Photo

The Group highly values talent development. In alignment with the business development needs of the Company, we formulated the 205 Training Plan (《2025 年度培訓計劃》) with core objectives to "enhance organizational effectiveness, strengthen talent pipelines, and enrich learning resources." We established a sub-regional, classified, hierarchical and multiform training and empowerment system, to create a knowledge-based organizations—drawing insights from industry leaders, subject experts, and colleagues—while deepening talent supply chain development to foster long-term competitiveness. The training work was based on the requirements of industry regulation, the company's strategic development and the needs of employees to enhance their capabilities, and combined with the results of a survey on the training needs of employees at all levels of the Company, we deliver the training for management personnel and reserve talents through both online and offline channels to strengthen leadership pipelines and expand talent pools. Specialized business empowerment training is implemented to promote business development through skill development. Organizing induction training for new employees, to enhance the trainees' cohesion and sense of identity, and to reinforce their professional qualities and expertise; AI-enabled training projects are conducted to embrace technological advancements, leveraging digital tools to improve work efficiency and institutionalize knowledge assets.

Implementing internal control training for full compliance and risk control, integrity and honesty in practice, information security and other internal control training for steady and sustainable development of the Company on the basis of prompt interpretation of industry policies and corporate systems. A total of 213 training sessions of various types were conducted throughout the year, with an accumulative 188 courses with an average training satisfaction score of 98.8.

Our training performance during the Year is as follows:

	Percentage of Employees Trained	Average Training Hours
By Gender		
Female	100%	21
Male	100%	21
By Employee Category		
Junior Staff	100%	20
Middle Management	100%	70
Senior Management	100%	72

Besides, we have developed career paths for our employees. Employees can choose to be promoted from low level to high-level, or they can choose to develop across different tracks or departments according to their own wishes, professional and management tracks.

5.4 Occupational Health and Safety Protection

The Company strictly complies with the Law of the People's Republic of China on the Prevention and Control of Occupational Diseases (《中華人民共和國職業病防治法》), the Workplace Occupational Health Supervision and Administration Regulations (《工作場所職業衛生監督管理規定》), the Work Injury Insurance Regulations (《工傷保險條例》) and Occupational Safety and Health Ordinance (《職業安全及健康條例》) of Hong Kong Special Administrative Region, and other laws and regulations in the operating areas, with an aim to provide a healthy and safe working environment to employees.

We have established a series of emergency measures and a safety early warning system to provide employees with necessary protection. We have formulated the Welfare Management Scheme (《福利管理辦法》) in order to provide group commercial insurance, accidental injury protection and accident, illness hospitalization allowance and annual physical examination for employees. With a view to raise employees' safety awareness and escape ability, we also regularly hold rescue and fire drills, and occupational safety education and trainings.

During the Year, the Company did not violate any relevant laws and regulations regarding the provision of a safe working environment and protection of employees from occupational hazards, and there was no loss of working days due to work-related injuries. From 2019 to the Year, the Company has had no deaths of employees due to work-related accidents.

6. Finance for Good: Demonstrating the Responsibility of a State-Owned Enterprise

Giving Back to Society with Gratitude. As a state-owned financial institution, Financial Street Securities adheres to its founding principle of "Finance for the People," actively responding to national calls by directing financial resources toward key development areas prioritized by the country. Leveraging the pairing assistance program, the Company has taken root at the grassroots level, empowering rural revitalization through industrial development. Simultaneously, we extensively mobilized employees to participate in public welfare activities such as flood prevention and disaster relief, as well as ecological conservation, demonstrating the warmth of finance for good through concrete actions.

6.1 Deepening the “One Branch for One County” Pairing Assistance Program to Establish a Long-Term Mechanism for Rural Revitalization

As a state-owned financial institution, the Company consistently regards serving national strategies as its fundamental mission, thoroughly implementing the CPC Central Committee's major decisions and plans on consolidating and expanding achievements in poverty alleviation and effectively connecting them with rural revitalization. In 2025, the Company, based on the "One Branch for One County" pairing assistance mechanism, focused on five regions—Chahar Right Middle Banner, Taibus Banner, Oroqen Autonomous Banner, Harqin Banner and Arxan City, in Inner Mongolia—implementing a targeted assistance strategy of "precision measures + comprehensive empowerment." Throughout the year, the Company allocated over RMB1.23 million in special assistance funds, executing 20 key projects covering industrial upgrading, livelihood security, and ecological governance. The Company has worked closely with local governments to explore development paths tailored to local conditions, showcasing the political commitment and social responsibility of a state-owned financial enterprise.

6.2 Advancing Regional Coordinated Development to Write a New Chapter in Common Prosperity

During the year, The Company deeply integrated into local development frameworks, carrying out multidimensional collaborations in Alxa Left Banner, Huimin District and New Urban District of Hohhot, forming a distinctive practice path of "Party-building leadership + industrial empowerment + livelihood improvement" to promote balanced regional development through concrete actions.

In Barunhuud Gacha, Wenduerlele Town of Alxa Left Banner, the Company continued to dispatch

Party member cadres as first secretaries for village residency, significantly strengthening grassroots Party organization development during their tenure; the Party Committee leadership team visited and assisted disadvantaged groups by distributing daily necessities; donated Party literature and signed Party-building cooperation agreements, effectively consolidating the theoretical foundation. Concurrently conducting specialized financial literacy training and providing in-depth analysis of policies supporting the real economy through financial means.

For Huimin District of Hohhot, the Company innovatively implemented the “Healthy Exercise for Kids (“健康童行”)” Party-building public welfare initiative, donating paperless health check-up mini-programs and Ruibao 3D child growth detection pods to benefit the Maternal and Child Health Center (婦幼保健中心); jointly organized intangible cultural heritage preservation activities with neighborhood Party branches and conducted anti-illegal fundraising training, effectively enhancing grassroots financial risk awareness; procured over RMB130,000 worth of specialty agricultural products through labor unions, driving upgrades in local agricultural and pastoral specialty industry chains.

In Haidong Road Community of the New Urban District, the Company entered into a tripartite co-construction agreement with the local police station and community, organizing anti-fraud awareness campaigns and joint public security initiatives. It procured specialty agricultural products worth over RMB210,000 for employee welfare, thereby supporting the development of distinctive agricultural and pastoral industries in the region.

6.3 Actively Engaged in a Series of Public Welfare Activities to Fulfill the Original Aspiration of "Finance for the People"

Demonstrating responsibility in flood prevention and disaster relief. From July to August 2025, multiple regions in northern China were hit by continuous heavy rainfall, posing severe challenges to flood prevention and disaster relief. In response, the Party Committee of the Company urgently launched a special initiative titled “Flood Prevention and Disaster Relief, Party Members Taking the Lead.” All Party members voluntarily donated more than RMB80,000, which was directed to disaster-affected areas including Xincheng District and Huimin District of Hohhot, as well as Miyun District of Beijing, to support grassroots flood prevention and disaster relief efforts.

Supporting children and aiding the needy warmed people's hearts. In response to the call of Inner Mongolia Autonomous Region Charity Federation, the Company participated in the public welfare activities “Donating Caring Schoolbags to Support Children in Need.” A total of 132 schoolbags were donated to children facing difficulties in Taibus Banner and Chahar Right Middle Banner, with a total value exceeding RMB50,000.

Dual-driven by public welfare and ecology. Through a “public welfare donation + volunteer action”

model, the Company donated RMB18,000 to the Hongyan Wetland Ecological Protection Association of Hohhot to support tree planting and greening in the core area of the Hongyan Wetland, as well as the construction of science popularization facilities for a nature education base. In addition, employee volunteers were organized to participate in afforestation activities, contributing to the ecological restoration of the Hongyan Wetland and the improvement of habitats for local wildlife. These initiatives vividly demonstrate the Company's commitment to the principle of "finance for the people" and highlight the strong sense of social responsibility of a state-owned enterprise.



Donation Ceremony in Oroqen Autonomous Banner



Flood Prevention and Disaster Relief Donation in Miyun District, Beijing



Signing Ceremony of Party Building Co-construction Agreement



Village-based Cadres Conduct Household Visits



Donation and Plaque Unveiling Ceremony at Wangyedian School in Harqin Banner



Shiren Bay Biodiversity Conservation Initiative

6.4 Employee-Related Activities

In 2025, the Company adopted the "Purchase-for-Donation" model to procure RMB213,000 worth of beef and lamb products from Xincheng District, Hohhot, and RMB135,000 worth of beef from Huimin District as festive gifts for employees. This initiative achieved deep integration between staff welfare and the Company's social responsibilities and classification evaluation work. Concurrently, the Company actively carried out hardship assistance programs, providing support to one employee in 2025, and collaborated with higher-level trade unions to deliver warmth and care to five additional employees facing difficulties.

The Company proactively fulfills its social responsibilities by guiding various trade union groups in organizing diverse public welfare activities. On 27 May 2025, the Company visited Qingshan District Special Education School in Baotou City to conduct a themed charitable donation campaign. The event delivered festive blessings and essential supplies to the children while fostering a caring social environment for special-needs youth through interactive exchanges. Conducted a wetland conservation donation initiative at Shiren Bay in Hohhot, contributing to local biodiversity protection.



Donation to Qingshan District Special Education School in Baotou



Donation to Shiren Bay Wetland in Hohhot

On 8 March 2025, the trade union of the Company guided each subcommittee thereof to launch a thematic series of activities to celebrate International Women's Day, and organized thematic activities at centralized offices in Beijing, Hohhot, Shenzhen and other locations.







Photos of International Women's Day Activities

From May to June 2025, the Company organized a company-wide online sports meet. On June 28, it also planned and held the “Heng Tai: Conquering Mountains and Seas, Breaking Through Challenges” Warrior Obstacle Race, aiming to inspire employees’ vitality and enthusiasm for health, strengthen team cohesion, and create a vibrant sporting event that combined passion with warmth.



Online Sports Gala



Warrior Obstacle Challenge

In September 2025, the "Building Dreams in Finance Street, Marching Forward Together" fun sports meet was organized in the Jilin branch region.



Staff Badminton Tournament

On 6 December 2025, the Guangdong regional outdoor orienteering competition titled "United in Dreams, Co-creating a Golden Future" was held in Shenzhen.



Orienteering Race





Photo of Honor of Kings Competition

From October to December 2024, the "Financial Street Securities 2025 Honor of Kings Competition" was organized company-wide.

Throughout 2025, the Company further deepened the implementation of the “Summer Cooling” and “Winter Warmth” care initiatives. These activities covered 22 business departments across 14 cities, including Shenzhen, Shanghai, Beijing and Changchun, as well as the call center and the Small and Micro Customer Service Center, benefiting a total of 429 employees.







Photo of Employee Visits and Consolations

Throughout 2025, the Company successfully convened five sessions of the Fourth Employee Representative Assembly, deliberating and approving 18 corporate policies and management plans, including the " Measures for the Selection and Appointment of Management Personnel" and "Detailed Rules for Risk Management Assessment," while abolishing two outdated regulations. The Company organized the election of one employee director for the Fifth Board of Directors. The entire democratic process was conducted in strict compliance with standardized procedures, with complete documentation retained. The review of proposals and voting procedures adhered to legal and regulatory requirements, ensuring traceability, transparency, and full protection of employees' rights to information and participation.

7. Excellence in Governance: Strengthening the Compliance and Risk Control Framework

7.1 Upholding Party Leadership

In 2025, the Party Committee of the Company adhered to the guidance of Xi Jinping Thought on Socialism with Chinese Characteristics for a New Era, thoroughly implemented the guiding principles of the 20th National Congress of the Communist Party of China and the Fourth Plenary Session of the 20th Central Committee, and fully carried out the decisions and arrangements of higher-level Party organizations. By deeply integrating Party leadership into all aspects of corporate governance and business development, the Company continued to promote the coordinated advancement of Party building and business operations. High-quality Party building effectively guided the Company's high-quality development and provided strong political and organizational guarantees for its steady operation and sustainable development.

1. Strengthening Political Guidance and Consolidating Ideological Foundations

The Party Committee of the Company consistently placed political development as its top priority and strictly implemented the "First Agenda" mechanism and the Party Committee Theoretical Study Group system. An annual study plan was formulated, under which the Party Committee conducted 26 "First Agenda" study sessions and six Theoretical Study Group sessions throughout the year. These sessions systematically studied Xi Jinping's economic thought and important statements on financial work, continuously enhancing the political judgment, political comprehension, and political execution capabilities of leading officials. The Company also carried out in-depth education on implementing the spirit of the Central Eight-Point Decision, strengthening discipline awareness through various forms such as themed reading sessions, warning education conferences, and case analysis. In addition, mechanisms including pre-appointment integrity talks and regular supervision were established to guide Party members and officials to maintain a strong sense of discipline and integrity, thereby fostering a clean and upright development environment.

2. Refining Governance Mechanisms and Enhancing Decision-Making Efficiency

The Party Committee of the Company fully leveraged its role in setting direction, overseeing the broader picture, and ensuring implementation. It continuously optimized the decision-making mechanism for "Three Major and One Large" matters and the authorization management system, clearly delineating the boundaries of authority and responsibility among the Party Committee, Board of Directors, and executive management. The Party Committee's pre-research procedure for major matters was strictly enforced. Throughout 2025, the Party Committee convened 26 meetings, deliberating on 129 major matters with standardized and efficient decision-making processes, thereby advancing the Company's governance system to become more scientific, collaborative, and standardized. The advantages of Party building have been consistently translated into governance effectiveness.

3. Promoting Integrated Development of Party Building and Business Operations

Adhering to the principle of "Party-building leadership with business development following in step," the Party Committee explored new pathways for integrating Party building with business operations through initiatives such as joint Party-building activities, client collaboration programs, and public welfare practices. By leveraging Party-building platforms, the Company promoted the coordinated advancement of brand influence and business expansion. These efforts supported the growth of client asset scale and the continued improvement of investment advisory and digital finance business models, facilitating phased progress in the Company's asset-light transformation and ensuring that Party-building initiatives and business development were planned, implemented, and enhanced in tandem.

4. Strengthening Grassroots Foundations to Enhance Organizational Vitality

The Party Committee of the Company continued to advance the standardized development of grassroots Party organizations by promoting standardized and digitalized management of the "Three

Meetings and One Lesson" system. It also established a Party branch evaluation and star-rating mechanism and strengthened the system under which members of the Party Committee maintain regular contact with grassroots Party branches, further extending Party-building work to the grassroots level. Throughout the year, Party branches organized more than 50 activities, including collective study sessions, themed Party Day events, and warning education activities, involving over a thousand participants among Party members and officials. As a result, the role of grassroots Party organizations as strong fighting fortresses was further strengthened.

5. Implementing the Responsibility for Full and Rigorous Party Self-Governance and Strengthening Supervisory Safeguards

The Party Committee of the Company strictly fulfilled its primary responsibility for full and rigorous Party self-governance, while the Discipline Inspection Commission carried out special inspections on integrity and professional conduct, focusing on key positions and critical business areas. These inspections covered multiple first-level departments and more than 40 branch offices, extending supervision to the front line of business operations. Throughout the year, integrity reviews, pre-appointment integrity talks, and risk assessments were conducted, forming a closed-loop supervision mechanism. Through parallel efforts in problem rectification and institutional improvement, the Company continuously strengthened its compliance management and risk prevention capabilities.

6. Fulfilling Social Responsibilities and Promoting the Spillover Value of Party Building

The Party Committee of the Company actively fulfills the social responsibilities of a state-owned enterprise by deepening the "One Company, One County" paired assistance mechanism. Throughout the year, over RMB1 million was invested in support initiatives, contributing to rural revitalization and coordinated regional development. A series of activities were carried out focusing on public welfare, investor education, and ecological protection, establishing Party-building brands such as "Healthy Exercise for Kids," "High-Net-Worth Philanthropy Journey," and "Investor Education." These efforts synergize Party-building work, social responsibility, and brand development, further enhancing the Company's social influence and public trust.

7.2 Strengthening Compliance Culture and Standardizing Professional Conduct

In 2025, the Company earnestly implemented regulatory requirements, consistently prioritizing compliance culture as a key driver for enhancing governance capabilities and solidifying the foundation for high-quality development. Through multi-dimensional efforts encompassing "cultural guidance, training empowerment, behavioral standards, and risk prevention," the Company integrated compliance principles into all aspects of management and operational processes, covering the entire workflow of employees. This approach continuously reinforces awareness of compliance, rules, and ethical boundaries among all staff, providing a robust safeguard for the Company's stable operations and standardized business development.

1. Improving the Tiered and Categorized Training Mechanism to Enhance the Effectiveness of Compliance Promotion

Taking into account the responsibilities of employees at different levels, positions, and business lines, the Company further improved its tiered and categorized compliance training system. A total of 15 thematic training sessions were organized for employees across the Company. Training content closely aligned with regulatory requirements and operational needs, covering key topics such as analysis of violation cases, interpretation of new anti-money laundering regulations, classified regulatory evaluation analysis, and business risk prevention. The sessions attracted more than ten thousand participant attendances. Meanwhile, the Company also made full use of regular learning mechanisms such as weekly meetings to conduct internal thematic learning on the Company's strategic priorities, new business directions, and emerging risks in key areas. These initiatives strengthened forward-looking research and professional capability development, enhancing the ability of compliance functions to support business development and serve overall corporate growth.

2. Strengthening Warning Education and Company-Wide Advocacy to Foster a Culture of Compliance

To further enhance employees' awareness of compliance risks and strengthen the Company's

internal control management, the Company convened a company-wide compliance conference and carried out warning education activities. These activities analyzed the underlying causes, management loopholes, and risk consequences of violations in depth. Clear requirements were put forward to strengthen accountability, regulate professional conduct, and reinforce risk prevention mechanisms. Following the conference, all employees signed compliance commitment letters, further reinforcing their compliance responsibilities. These efforts promoted the transformation of compliance requirements from institutional constraints into conscious behavioral standards, enabling the principles of lawful, compliant, and prudent operations to take deeper root within the organization.

3．Strengthening Oversight of Employees' Professional Conduct and Advancing Risk Prevention in Key Areas

Focusing on employee behavior management and professional practice requirements, the Compliance Management Department coordinated several key initiatives, including employee self-inspections, optimization of monitoring systems, internet access management for Xinchuang computers, and investment information reporting. Clear operational guidelines were formulated to refine employee behavior management standards. Cross-departmental collaboration was strengthened to ensure the closed-loop rectification of identified issues. Meanwhile, monitoring systems were upgraded to enhance the identification, early warning, and handling of abnormal behaviors. A tiered supervision mechanism was also established to reinforce management accountability. These measures effectively strengthened constraints on employee conduct and further mitigated risks related to illegal or non-compliant investments, conflicts of interest, and other professional practice risks.

4．Establishing a Comprehensive Compliance Question Bank to Support Training and Assessment

To further enhance the systematic, standardized, and long-term effectiveness of compliance education and training, the Company compiled and established a compliance question bank based on regulatory laws and regulations, internal business policies, and regulatory cases. The question bank focuses on regulatory priorities and the Company's operational realities, covering both fundamental rule mastery and practical risk identification. It provides strong support for organizing company-wide examinations and compliance officer assessments, while also serving as an important tool for evaluating training outcomes and promoting learning through assessment. This initiative has further facilitated the normalization and institutionalization of compliance knowledge learning.

5．Leveraging Online Communication Platforms to Expand the Reach of Compliance Culture

Aligning with the "short, frequent, and fast" trend of information dissemination, the Company has consistently published over 100 compliance-related articles across multiple platforms, including WeCom. Through timely, precise, and accessible content delivery, the Company has further enhanced the timeliness, coverage, and readability of compliance communications. This has effectively strengthened employees' understanding and grasp of regulatory developments, policy requirements, and risk points, fostering deeper integration of compliance culture into daily operations and management. The increasing annual readership and click-through rates on these platforms reflect employees' growing initiative in learning compliance knowledge and staying updated on compliance trends.

Overall, in 2025, the Compliance Management Department effectively enhanced employees' compliance awareness and risk prevention capabilities by continuously strengthening compliance culture, innovating training approaches, reinforcing oversight of professional conduct, and improving publicity and assessment mechanisms. These efforts contributed to the formation of a coordinated, company-wide, and long-term compliance management framework. Looking ahead, the Company will continue to integrate compliance culture throughout the entire process of business development, further improving the refinement and professionalism of compliance management and providing stronger compliance support for the Company's high-quality development.

7.3 Strengthening the Anti-Money Laundering Defense Line

1. Fully Implementing the Requirements of the New Anti-Money Laundering Law

In response to the new requirements of national anti-money laundering efforts, the Company has conducted a comprehensive review and revision of its anti-money laundering framework. In accordance

with the provisions of the new Anti-Money Laundering Law, the Company has amended 10 internal policies, including the "Anti-Money Laundering Management Measures of Financial Street Securities Co., Ltd.," ensuring the internalization of external regulations and facilitating a smooth transition between old and new legal provisions. All departments and branches have refined their respective policies by incorporating the Company's anti-money laundering requirements into operational management, ensuring seamless integration between policies and actual business practices.

The Company launched an Anti-Money Laundering Law training course via its online academy, mandating comprehensive study by board members, supervisors, senior management, and all employees to ensure full compliance with the new legal requirements in daily operations. The Company procured anti-money laundering reference materials for senior management to enhance their expertise, while incorporating AML training as a mandatory component of onboarding programs for new hires. Furthermore, the Company developed tiered training modules tailored to different employee levels, conducting six internal training sessions throughout the year and engaging AML specialists to deliver professional development programs for compliance officers. The Company actively participated in regulatory AML workshops and industry exchanges to translate evolving requirements into operational practices.

In proactive response to regulatory directives, the Company established a sustained AML awareness campaign, including participation in the online knowledge assessment and new law promotion initiatives organized by the People's Bank of China Inner Mongolia Branch. The Company also conducted a week-long on-site promotional campaign at Inner Mongolia Normal University and carried out diversified anti-money laundering publicity through communities, campuses, pastoral areas, and other channels. In conjunction with the "May 15 Publicity Day for Combating Economic Crimes", these efforts further enhanced public awareness of anti-money laundering.

2. Underwent on-site anti-money laundering inspection by the Inner Mongolia Branch of the People's Bank of China

To identify weaknesses in anti-money laundering work in advance, the Company conducted three rounds of self-inspection and rectification, focusing on money laundering risk prevention, anti-money laundering compliance, and suspicious transaction reporting. The Company rectified issues identified during the self-inspections and supervised various departments in implementing corrective measures. In accordance with the requirements of the People's Bank of China, the Company also conducted a self-inspection on the implementation of special anti-money laundering preventive measures, with no issues identified. In 2025, the Company conducted four self-inspection and rectification exercises.

Upon receiving the enforcement inspection notice from the People's Bank of China Inner Mongolia Autonomous Region Branch, the Company organized relevant departments to prepare inspection materials and timely submitted over 50 categories of documents and 21 data field tables to the inspection team. The Company maintained smooth communication with the inspection team to ensure the orderly progress of the inspection work. Following the inspection, the Company actively advanced rectification efforts in accordance with the "Enforcement Inspection Fact Confirmation Letter" and submitted the rectification report and related materials on schedule.

7.4 Adhering Strictly to Integrity in Professional Conduct

The Company strictly guards against corrupt and illegal behaviors such as corruption, bribery, extortion, fraud and money laundering, and adopts a zero-tolerance policy towards such actions. We consistently adhere to compliant operations and integrity in professional conduct, and actively fulfil the anti-money laundering and financial stability obligations of financial institutions. We strictly abide by laws, regulations and industry norms, including the Supervision Law of the People’s Republic of China, Securities Law of the People’s Republic of China, Company Law of the People’s Republic of China, Securities Investment Fund Law of the People’s Republic of China, Criminal Law of the People’s Republic of China, Regulations on the Supervision and Administration of Securities Companies, Anti-Money Laundering Law of the People’s Republic of China, Regulations on the Integrity Practice of Securities and Futures Business Operators and Their Staff, Implementation Rules for the Integrity

Practice of Securities Business Operators and Their Staff, Opinions on Strengthening the Risk Prevention and Control of Integrity Practice in Securities Companies' Investment Banking Business Involving the Engagement of Third Parties, Regulations on the Administration of Futures Trading, Measures for the Compliance Management of Securities Companies and Securities Investment Fund Management Companies, Guidelines for the Implementation of Compliance Management of Securities Companies, and Guidelines for the Assessment of Money Laundering and Terrorist Financing Risks and Categorized Management of Customers of Financial Institutions. The Company closely monitors changes in regulatory policies, integrates integrity practice, anti-fraud and anti-money laundering requirements into all aspects of corporate governance, and continuously enhances its compliance management capabilities.

In 2025, the Company further improved its policy framework and implementation mechanisms surrounding the core tasks of strengthening Party conduct and integrity construction and preventing integrity risks in professional conduct. Supporting systems for integrity in professional conduct were refined, requirements for the integrity management of external personnel were clarified, and a full-chain prevention and control mechanism was enhanced. Relying on the Leading Group for Integrity in Professional Conduct, the Company strengthened multi-departmental coordination and joint prevention efforts, further consolidating responsibilities for risk prevention and control.

The Company kept open channels for handling letters and visits and standardized the process for handling leads and reports. Integrity self-inspections were carried out across all employees, together with special inspections targeting key business areas. At the same time, the Company strengthened education, training and personnel management, organized integrity training covering all employees, and strictly implemented integrity assessments at all stages of personnel management, thereby reinforcing the defence line for risk prevention and control.

In 2025, the Company continued to keep open channels for supervision and whistle-blowing through letters, visits, telephone calls and emails, further optimizing the functions of the "Lianfeng Jiyu (廉风纪语)" platform for handling letters and visits and standardizing the procedures for handling petitions and reports. Stakeholders were encouraged to report any fraud or potential fraud within the Company to the Company's Commission for Discipline Inspection through the above-mentioned channels. Upon receiving any report, the Company's Commission for Discipline Inspection will strictly keep the whistleblower's personal information and the content of the report confidential, safeguard the legitimate rights and interests of the whistleblower, and conduct timely and impartial verification and handling of the reported clues in accordance with the Measures for the Commission for Discipline Inspection of the CPC Financial Street Securities Co., Ltd. and the Administrative Measures (Trial) for Handling Petition and Whistleblowing Reports of the Commission for Discipline Inspection of the CPC Financial Street Securities Co., Ltd.

During the Year, the Company continued to strengthen the management of integrity in professional conduct and further advanced the development of a culture of integrity. All departments and subsidiaries were organized to conduct comprehensive self-inspections on integrity in professional conduct. On-site inspections were carried out for three key business lines characterized by high capital intensity, concentrated resources and elevated risk exposure. In addition, special "look-back" inspections on integrity in professional conduct were conducted at selected branches across different regions to ensure that identified issues were promptly rectified. The Company also leveraged the combined efforts of the disciplinary inspection, auditing, compliance and risk management departments to strengthen supervision and inspections across business lines and branches through measures including the improvement of institutional systems, identification and assessment of risk points, and the implementation of integrity training and evaluation for all employees. Meanwhile, integrity requirements were fully incorporated into the entire human resources management process. The Company strictly implemented integrity verification for potential hires, ethical evaluations of current employees, and post-employment integrity reviews for departing employees, while establishing integrity records for management personnel, thereby providing solid disciplinary safeguards for the development of the Company's workforce.

In 2025, the Company continued to place ideological education at the forefront, adhering to the principle of combining positive guidance with negative warnings, and firmly promoted publicity and education on clean practices and the construction of a culture of integrity. Systematic anti-corruption training was provided to directors and all employees to strengthen the collective awareness of integrity and compliance. During the Year, the Company carried out targeted and tiered training programs tailored to different groups. Activities advocating integrity in professional conduct were organized for shareholders and directors. Senior management and Party member cadres were organized to participate in special warning education programs on anti-corruption in the financial sector to strengthen their awareness of integrity in performing duties and their sense of responsibility. Special study sessions were also conducted for Party member cadres to analyze the root causes behind typical industry violation cases and deepen their understanding of the seriousness and urgency of anti-corruption efforts in the financial sector. In addition, the Company held two collective integrity talks for newly appointed management personnel to strengthen pre-appointment education and ensure that newly appointed managers uphold integrity from the outset of their new roles. Key regulatory requirements and typical violation cases relating to integrity in professional conduct were systematically reviewed, and four differentiated training courses were developed. Annual company-wide integrity training and online assessments were conducted, while ten specialized regulatory training sessions and tests were organized for branches and business departments to help frontline personnel clearly understand the "red lines" and "bottom lines", achieving full coverage of integrity education and training. At the same time, the Company fully leveraged its internal Network Academy, the "Lianfeng Jiyu (廉风纪语)" platform and other internal communication channels to continuously disseminate laws and regulations, regulatory policies, typical violation cases and warning materials. A total of 22 issues of related materials were released throughout the Year. Through multiple channels, including short promotional videos and case analysis, the Company cultivated employees' awareness of integrity and strengthened the prevention, control and supervision of corruption, improper transfer of benefits and other misconduct.

During the reporting period, the Company did not receive any letters of report concerning corruption, bribery, extortion or fraud, nor did it receive any information on lawsuits related to corruption.

7.5 Intellectual Property Protection

The Company attaches great importance to intellectual property protection and complies with the Patent Law of the People's Republic of China, the Implementation Rules of the Patent Law of the People's Republic of China, and other laws and regulations in the operating region. We will continuously improve the intellectual property management system and procedures, safeguard the intellectual property rights of the Company and others, such as patent rights, trademark rights, copyrights, etc. We will also evaluate potential infringement risks and formulate corresponding countermeasures

During the Year, the Company did not violate any laws or regulations related to advertising or labeling for the services provided.

7.6 Supply Chain Management

We comply with the Tendering and Bidding Law of the People's Republic of China and other laws and regulations. We also have revised the Management Measures for Centralized Procurement, Management Measures for Engineering Projects and Provisions on the Procurement Management of Sporadic and Low-Value Consumables(《零星及低值易耗品採購管理規定》), standardizing the procurement process and risk prevention, which constantly improve the Company's sustainable supply chain management. We require the tenderer to sign the Tenderer Commitment to Integrity and Confidentiality and Informed Consent. Based on the principles of fairness, impartiality, openness, economy, efficiency and effectiveness, the Company reviews the reputation, finance status, compliance

and social responsibility of the suppliers. We will also give priority to green products and services that are efficient in terms of resource use with eco-friendly labels. Our audit department will regularly check the procurement workflow and evaluate suppliers to ensure the selected suppliers meet various business management requirements. Due to the nature of the Company's business, we had no major suppliers during the Year.

8. Customer First: Safeguarding Investors' Legitimate Rights and Interests

8.1 Safeguarding the Rights and Interests of Customers

In order to standardize the complaint handling mechanism of the Company, timely solve the problems arising in the brokerage business, and enhance the brokerage service, we have formulated the Regulations on Handling of Reports of Investor Complaints in Brokerage Business, which sets out the basic requirements for complaint handling, the scope of complaint and report acceptance, and the complaint handling process. Customers may express their views and suggestions on our services in person or by telephone, fax, letter or e-mail or via our website. Upon the receipt of customer's complaints, complaints would be categorized according to their type, nature, scope, frequency and severity, then the complaint acceptance staff will need to fill in the Investor Complaints and Whistle-blowing Receipt Form, and the corresponding handling of the task will be followed. The complaint acceptance staff must track the whole process of complaint handling and conduct a special follow-up with the complainant as soon as possible after the process is completed, so as to understand the customers' satisfaction with the complaint handling, keep proper follow-up records and fill in the Investor Complaints and Whistle-blowing Follow-up Feedback Form. All materials in the process will be archived for future reference.

The Company received a total of 317 complaint cases in 2025. Among them, there were 90 complaints received through the 956088 system and 227 complaints received through the 12386 transfer system. Except for 3 complaints received through the 956088 system and 5 complaints received through the 12386 transfer system that are still being handled, all the other complaints have been handled.

The Company also comply with the Advertising Law of the People's Republic of China, Trademark Law of the People's Republic of China, and other related regulations and laws, to ensure that the truth and accuracy of our publicly disclosed information.

8.2 Safeguarding Privacy of Customers

The Company strictly abides by the laws and regulations in the operating region, including the Law of the People's Republic of China on Guarding State Secrets, the Implementation Measures of the Law of the People's Republic of China on Guarding State Secrets, and the Detailed Rules for the Implementation of the Law on Guarding State Secrets of the Inner Mongolia Autonomous Region, etc. We have formulated policies including the Measures for the Administration of Brokerage Business Branches of Financial Street Securities Co., Ltd., Standardization Management Regulations for the Business Departments of Financial Street Securities Co., Ltd., File Management Regulations for Brokerage Business Branches of Financial Street Securities Co., Ltd., Information Inquiry Management Regulations for Securities Business Departments of Financial Street Securities Co., Ltd., so as to protect customers' privacy and business data.

We require the managers of each branch to educate employees on above regulations in a planned manner, and require employees to consciously abide by the Company's confidentiality regulations and conduct all business activities in accordance with relevant laws, regulations, and institutional requirements. We strictly control employees' application for various system permissions, with the principle of minimizing permissions, to ensure that employees obtain customer privacy and business information within a reasonable and controllable range. For employees who violate the Company's confidentiality regulations, we will impose corresponding penalties according to the situation.

To prevent improper use of customer information, the Company has revoked counter system inquiry privileges for all branch marketing personnel. As WeCom cannot restrict copying or screenshot operations on customer inquiry interfaces, which may easily lead to customer information leakage, the

Company has currently hidden critical customer information in WeCom.

During the Year, we have no major violations like breaches of customer privacy or loss of customer data, and no major risk incidents involving cybersecurity.

8.3 Improving Customer Experience

1. Customer Service Hotline

In 2025, customer service operations were conducted in an orderly manner. Customer service includes a range of services such as account inquiry, fund information inquiry, assistance with trading software, requests for mobile app support, clarification of trading regulations, and guidance on account closure processes. Over the course of the Year, a total of 132,741 calls were directed to the customer service team from the 956088 hotline, with an answering rate of 93.28%. Furthermore, the team handled 3,268 messages through the customer service system, resolved 38,413 customer concerns via the online service platform, and processed 33,200 account closure requests.

2. Customer Follow-up

In 2025, the Company effectively carried out follow-up tasks related to new account openings as per business requirements. Additionally, staff were assigned to provide regular follow-up services to existing customers, such as conducting suitability license, private equity, investment advisor contract and the confirmation of brokerage relationship change follow-ups. A total of 571,515 telephone follow-up tasks were completed by the Company. Specifically: 149,162 follow-ups were done for new account openings with a success rate of 82.2%; 16,515 new follow-ups were completed for suitability license clients with a success rate of 46.79%; 3,678 follow-ups were performed for investment advisory contract clients with a success rate of 34.96%; 2,025 follow-ups were carried out for private equity clients with a 100% success rate; 398,645 regular follow-ups were handled for existing customers with a success rate of 14.06%; 1,076 spot-check follow-ups were completed for key and specialized customers with a success rate of 48.7%; 59 follow-ups were executed for the confirmation of brokerage relationship change with a success rate of 93.22%; 35 follow-ups were executed for the confirmation of brokerage relationship linkage with a success rate of 85.71%; 115 follow-ups were executed for R5 product customers with a success rate of 67.83%; and 205 other follow-ups were completed.

8.4 Investor Education

In 2025, the Company continued to deepen investor education and protection initiatives, focusing on serving the healthy development of capital markets and enhancing investors' financial literacy. It systematically advanced the operation of investor education bases, regulatory coordination, activity innovation, and internal management system development, achieving positive results.

1. Closely Aligning with Regulatory Requirements to Efficiently Conduct Investor Education Activities

The Company actively implemented investor education initiatives mandated by regulatory authorities and exchanges. Throughout the Year, it closely collaborated with various regulatory and self-regulatory organizations to successfully complete multiple systematic investor education tasks: It successfully concluded the SSE's "I Am a Shareholder" series of events visiting listed companies, covering firms such as Biology Co., Ltd. and Baotou Steel Co., Ltd.; it continued to advance the "Investor-Centric" Shanghai Stock Exchange ETF special campaign and member cooperative investor education programs. In active response to the Shenzhen Stock Exchange's annual key campaign "Striving for a New Journey, Investor Education Services Forge Ahead," the Company jointly organized four events in Baotou and Hohhot, while independently conducting 29 related activities throughout the Year. Meanwhile, the "Rational Investment Companion" initiative implemented categorized and targeted investor education based on account opening timelines, trading behaviors, and risk preferences,

achieving 100% coverage through branch networks. The Company rigorously advanced the Beijing Stock Exchange's thematic series "Extending Services Across Miles, Delivering Rational Investment to Households," co-hosted four events and independently executed over 120 activities annually. Fully implementing the Securities Association of China's "Investor Education Enters 100 Schools" initiative, the Company conducted 37 public welfare activities including financial literacy lectures, courses, and competitions. The "Four-in-One" mechanism was further deepened through the "Wealth Adventure Chronicles" and "Versatile Little Tai" children's financial literacy courses at Ulanhu School in Tumed Left Banner, delivering 64 class hours cumulatively. Online knowledge competitions organized across six partner universities attracted 1,035 student participants, while internship opportunities were provided to 13 students from collaborating institutions during the Year.

Branch-Specific Sessions: Knowledge Empowerment with Precision Focus



Community Classes: Anti-Fraud Promotion for Daily Life



Pastoral Outreach: Services Delivered Deep into the Grasslands



Collaborated with the Shanghai Stock Exchange to host a specialized training session on the "Investor-Centric, Returns-Driven Development" thematic ETF event for the Shanghai market



Partnered with the SSE to engage urban squares, enhancing the inclusive value of ETF







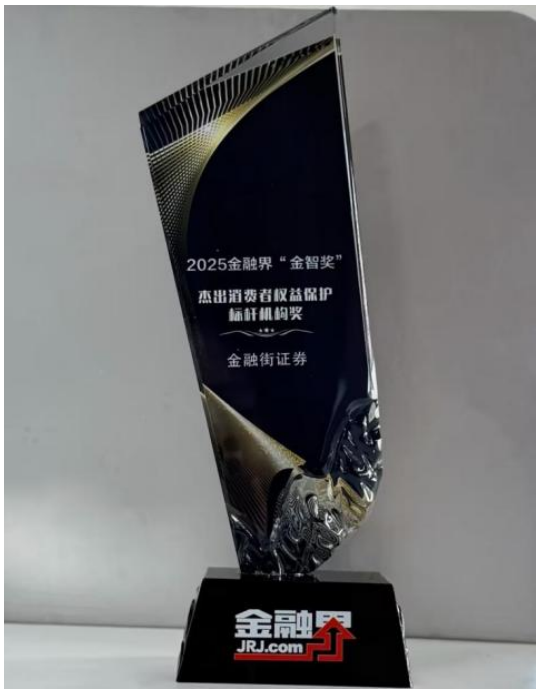
These initiatives received recognition from regulatory and self-regulatory organizations, with multiple outcomes officially published on authoritative platforms, effectively disseminating regulatory policies and investment knowledge.

2. Strengthening Internal-External Synergy to Build a Diversified Investor Education Network

Actively expanded collaboration with external entities to enhance the breadth and depth of investor education initiatives. First, strengthened coordination with regulatory and self-regulatory organizations, efficiently responding to and completing various notifications for investor protection and education activities. Proactively partnered with industry associations and other institutions to organize experience-sharing sessions for investor relations departments of listed companies, fostering a platform for exchange. Second, innovated the "police-enterprise cooperation" model by collaborating with the Economic Investigation Division of Hohhot Public Security Bureau to conduct specialized anti-fraud training for community grid workers, empowering grassroots financial governance. Third, promoted the normalization of the "Seven Entrances" initiative, engaging diverse settings such as universities (e.g., Inner Mongolia University of Finance and Economics), communities (e.g., Hailar Road Subdistrict), public squares, and pastoral areas. Jointly organized innovative events like the "Grassland Park Investor Education Music Market," directly reaching nearly 10,000 participants and significantly expanding the coverage of anti-fraud awareness and financial literacy initiatives.

3. Strengthening Operational Foundations and Exploring New Models for Investor Education

Continued to strengthen the physical and online operations of the investor education base. During the Year, the base successfully completed the software and hardware updates and debugging required due to the Company's name change, and hosted visits from regulatory authorities, government agencies, financial peers, universities, and communities, fully demonstrating the exemplary role of a national-level investor education base. In terms of internal management, the base was fully responsible for the assessment and supervision of investor education work at branch offices. Through standardized management, monthly inspections, and incentive measures, the compliance rate of investor education work at branches significantly improved. Meanwhile, the development and testing of the Company's investor education backend management system have commenced, aiming to optimize processes and enhance management efficiency through digital tools, thereby supporting the construction of a more robust investor education framework.











Photos of Awards and Events

Appendix I: ESG Data Sheet

Environmental Aspects

	Unit	2025
Emission of greenhouse gases		
Direct greenhouse gas emission (scope 1)	tonnes	58.70
Indirect greenhouse gas emission (scope 2)	tonnes	3282.81
Total greenhouse gas emission (scope 1 and 2)	tonnes	3341.51
Greenhouse gas emission density (per square meter)	tonnes/m^2	0.238
Energy consumption		
Natural gas consumption	m^3	15,990
Diesel consumption	Litre	0
Gasoline consumption	Litre	10,480
Purchased electricity consumption	kWh	4,827,673
Purchased power consumption density (per square meter)	kWh/m^2	344.8
Water consumption		
Total water consumption	m^3	6,756
Water density (per square meter)	m^3/m^2	0.482
Waste generation		
Total non-hazardous waste generation	kg	2,400
Per capita total non-hazardous waste generation	kg/capita	6.85
Hazardous waste generation (ink cartridge and toner box)	piece	185

Social Aspects

Social Aspects	Unit	Quantized Value of 2025
Total number of employees		
Total number of employees	Number of people	2,068
Number of employees (by gender)		
Female	Number of people	1,086
Male	Number of people	982
Number of employees (by employee category)		
Full time junior staff	Number of people	1,814
Full time middle	Number of people	241

management		
Full time senior management	Number of people	13
Number of employees (by age group)		
Aged 30 or below	Number of people	223
Aged between 31 and 40	Number of people	961
Aged 41 or above	Number of people	884
Number of employees (by geographical region)		
Inner Mongolia	Number of people	825
Beijing	Number of people	373
Shanghai	Number of people	249
Jilin	Number of people	240
Guangdong	Number of people	125
Zhejiang	Number of people	76
Sichuan	Number of people	10
Shandong	Number of people	39
Liaoning	Number of people	34
Jiangsu	Number of people	28
Hubei	Number of people	5
Fujian	Number of people	8
Tianjin	Number of people	10
Shaanxi	Number of people	8
Guangxi	Number of people	8
Henan	Number of people	6
Chongqing	Number of people	6
Shanxi	Number of people	7
Hunan	Number of people	6
Hebei	Number of people	5
Other (including Hong Kong, Macau and Taiwan)	Number of people	0
Employee turnover rate[1]		
Number of employees	%	8.0
Employee turnover rate (by gender)[2]		
Female	%	7.0
Male	%	9.1
Employee turnover rate (by Age)[2]		
Aged 30 below	%	12.6
Aged between 30 and 40	%	7.9
Aged 40 above	%	6.9
Employee turnover rate (by geographical region)[2]		
Inner Mongolia	%	4.1
Beijing	%	7.2
Shanghai	%	14.5
Guangdong	%	7.2
Zhejiang	%	21.1
Jilin	%	7.9
Sichuan	%	20.0
Shandong	%	2.6
Liaoning	%	11.8

Jiangsu		%	10.7
Hubei		%	0
Fujian		%	37.5
Tianjin		%	20.0
Shaanxi		%	0
Guangxi		%	0
Henan		%	16.7
Chongqing		%	33.3
Shanxi		%	42.9
Hunan		%	33.3
Hebei		%	20.0
Other (including Hong Kong, Macau and Taiwan)		%	0
Occupational Health and Safety			
Work-related fatalities and rates	2023	Number of people	0
		%	0
	2024	Number of people	0
		%	0
	2025	Number of people	0
		%	0
Lost days due to work injury		days	0
Development and Training			
Percentage of employees participating in training (by gender)			
Female		%	100
Male		%	100
Percentage of employees participating in training (by employment category)			
Full time junior staff		%	100
Full time middle management		%	100
Full time senior management		%	100
Average training hours per employee (by gender)			
Female		hours	33
Male		hours	33
Average Training Hours per Employee (by employee category)			
full time junior staff		hours	35
Full time middle management		hours	30
Full time senior management		hours	20

[1] Employee turnover rate: turnover of employees / year-end employees

[2] The turnover rate of this category: the number of employees who have resigned in this category / the total number of employees in this category

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A1.5	4.2 Emissions Management
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A2.5	Not applicable, the Company's business does not involve packaging materials
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