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The Manager accepts full responsibility for the accuracy of the information contained in this Announcement and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading.



**CSOP ETF SERIES\***

**(\*This includes synthetic ETFs)**

*(a Hong Kong umbrella unit trust authorized under  
Section 104 of the Securities and Futures Ordinance (Cap. 571) of Hong Kong)  
(the “Trust”)*

**CSOP FTSE Asia Tech Index ETF**

*Stock Code: 03473 (HKD counter)  
(the “Sub-Fund”)*

**Announcement**

**Change of Underlying Index Name, Index Methodology and Name and  
Stock Short Name of the Sub-Fund**

CSOP Asset Management Limited 南方東英資產管理有限公司 (the “**Manager**”) wishes to notify the Unitholders of CSOP FTSE Asia Tech Index ETF (the “**Sub-Fund**”) that FTSE International Limited (the “**Index Provider**”) has announced index ground rule updates to the underlying index of the Sub-Fund, FTSE ETF Connect Asia Tech+ Index (the “**Underlying Index**”), effective from 21 September 2026 (the “**Effective Date**”). As a result of these updates, the Underlying Index will be renamed the “FTSE ETF Connect Asia Tech & Succession Index”. Accordingly, the name of the Sub-Fund will be changed to “CSOP FTSE Asia Tech and Dividend Index ETF” with effect from the Effective Date.

Investors should exercise caution in dealing with the units of the Sub-Fund. Unless otherwise defined, all capitalised terms shall have the same meaning as those in the Prospectus of the Sub-Fund.

## 1. Change of Underlying Index Name and Index Methodology

As outlined in the announcements dated 30 June 2026 and 5 August 2026 from the Index Provider, the Index Provider has announced that the Underlying Index will be renamed from “FTSE ETF Connect Asia Tech+ Index” to “FTSE ETF Connect Asia Tech & Succession Index” with effect from the Effective Date. In addition, one of the component indices for the Underlying Index will be updated from the Effective Date, as outlined below:

| As at the date of this Announcement                      |                                                                         |           |
|----------------------------------------------------------|-------------------------------------------------------------------------|-----------|
| Underlying Index Name                                    | Component Indices                                                       | Weighting |
| FTSE ETF Connect Asia Tech+ Index                        | FTSE Asia ex China ex Hong Kong Tech+ 20 Capped Net Tax Index           | 35%       |
|                                                          | FTSE Southbound Stock Connect Tech+ 20 Capped Index                     | 65%       |
| From the Effective Date onwards                          |                                                                         |           |
| Underlying Index Name                                    | Component Indices                                                       | Weighting |
| <b>FTSE ETF Connect Asia Tech &amp; Succession Index</b> | FTSE Asia ex China ex Hong Kong Tech+ 20 Capped Net Tax Index           | 35%       |
|                                                          | <b>FTSE Custom Southbound Stock Connect High Dividend Net Tax Index</b> | 65%       |

A comparison of the key features of the current version of the Underlying Index and the updated version of the Underlying Index from the Effective Date onwards is summarised in the table below:

|                              | <b>FTSE ETF Connect Asia Tech+ Index<br/>(current version as at the date of this Announcement)</b>                                                                                                                                                                                                                                                                                                                                                                                            | <b>FTSE ETF Connect Asia Tech &amp; Succession Index (updated version effective from 21 September 2026)</b>                                                                                                                                                                                                                                                                                                                                                                                                |
|------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Index Composition</b>     | A maximum of the top 20 technology-focused Stock Connect Southbound eligible SEHK listed securities (“HK Listed Securities”) and the top 20 technology-focused securities listed in Taiwan, Japan, Singapore and/or South Korea (“Non-HK Listed Securities”) in terms of market capitalization are selected as index constituents                                                                                                                                                             | The top 23 high dividend Stock Connect Southbound eligible SEHK listed securities ranked by full market capitalization (“HK Listed Securities”) and the top 20 technology-focused securities listed in Taiwan, Japan, Singapore and/or South Korea (“Non-HK Listed Securities”) in terms of market capitalization are selected as index constituents                                                                                                                                                       |
| <b>Constituent Selection</b> | <p>For HK Listed Securities:</p> <ul style="list-style-type: none"> <li>- All constituents of FTSE Southbound Stock Connect Tech+ 20 Capped Index are selected</li> <li>- Eligible constituents are weighted in proportion to investable (i.e. free-float adjusted) market capitalization</li> <li>- the aggregate weight of HK Listed Securities will be maintained at 65% when combined with Non-HK Listed Securities upon each rebalancing</li> </ul> <p>For Non-HK Listed Securities:</p> | <p>For HK Listed Securities:</p> <ul style="list-style-type: none"> <li>- All constituents of FTSE Custom Southbound Stock Connect High Dividend Net Tax Index are selected</li> <li>- Eligible constituents are weighted in proportion to investable (i.e. free-float adjusted) market capitalization</li> <li>- the aggregate weight of HK Listed Securities will be maintained at 65% when combined with Non-HK Listed Securities upon each rebalancing</li> </ul> <p>For Non-HK Listed Securities:</p> |

|                               |                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|-------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                               | <ul style="list-style-type: none"> <li>- All constituents of FTSE Asia ex China ex Hong Kong Tech+ 20 Capped Net Tax Index are selected</li> <li>- Eligible constituents are weighted in proportion to investable (i.e. free-float adjusted) market capitalization</li> <li>- the aggregate weight of Non-HK Listed Securities will be maintained at 35% when combined with HK Listed Securities upon each rebalancing</li> </ul> | <ul style="list-style-type: none"> <li>- All constituents of FTSE Asia ex China ex Hong Kong Tech+ 20 Capped Net Tax Index are selected</li> <li>- Eligible constituents are weighted in proportion to investable (i.e. free-float adjusted) market capitalization</li> <li>- the aggregate weight of Non-HK Listed Securities will be maintained at 35% when combined with HK Listed Securities upon each rebalancing</li> </ul> |
| <b>Number of Constituents</b> | 40 (as of 6 August 2026)                                                                                                                                                                                                                                                                                                                                                                                                          | 43                                                                                                                                                                                                                                                                                                                                                                                                                                |

For the avoidance of doubt, the Sub-Fund will continue to track the same Underlying Index.

For details (including details of the updated index methodology, latest index information and other important news), please refer to the website of the Index Provider at <https://www.lseg.com/en/ftse-russell/indices/ftse-etf-connect-index-series#t-factsheets> (the website has not been reviewed by the SFC).

## **2. Change of Investment Objective of the Sub-Fund**

The investment objective of the Sub-Fund will be amended to reflect the change of name of the Underlying Index. In particular, the Sub-Fund's investment objective will be changed to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FTSE ETF Connect Asia Tech & Succession Index (net total return version).

Save for the change mentioned above, there will be no change to the investment strategy of the Sub-Fund

## **3. Change of Name and Stock Short Name of Sub-Fund**

To reflect the change of investment objective, the name and the stock short name of the Sub-Fund will be changed as follows:

|                          | <b>As at the date of this Announcement</b> | <b>From the Effective Date onwards</b>     |
|--------------------------|--------------------------------------------|--------------------------------------------|
| English name             | CSOP FTSE Asia Tech Index ETF              | CSOP FTSE Asia Tech and Dividend Index ETF |
| Chinese name             | 南方東英富時亞洲科技指數 ETF                           | 南方東英富時亞洲科技及股息指數 ETF                        |
| English stock short name | CSOP ASIA TECH                             | CSOP A TEC&DIV                             |
| Chinese stock short name | 南方亞洲科技                                     | 南方亞洲科技股息                                   |

## **4. Rebalancing process**

The rebalancing of assets held by the Sub-Fund from constituents of the current version of the Underlying Index to constituents of the updated version of the Underlying Index is anticipated to take place on 18 September 2026 (the "**Rebalancing Period**").

During the Rebalancing Period, the tracking error and tracking difference of the Sub-Fund may be higher than its historical level. Investors should note that after the Rebalancing Period, there is no guarantee that the tracking error and tracking difference of the Sub-Fund will be similar to that before the change of index methodology of the Underlying Index. Furthermore, although there is a high degree of correlation between current version and the updated version of the Underlying Index, the Manager

considers there is a risk that the tracking error and tracking difference of the Sub-Fund during the Rebalancing Period may increase. Investors who deal with units of the Sub-Fund during the Rebalancing Period should exercise caution.

The Manager does not expect that the rebalancing will have any material impact on the market and will disrupt the normal operation of the Sub-Fund on or around the Effective date.

## **5. Risk Factors**

As mentioned above, there may be risks associated with the rebalancing process. In addition, as a result of the changes, the Sub-Fund will be subject to concentration in high yield stocks and the associated risk (described below).

### *Concentration in high yield stocks and the associated risk*

The Sub-Fund focuses on high dividend stocks listed on the SEHK which subjects it to greater concentration risk. High dividend stocks often belong to specific sectors (including the financial, energy, property and construction sector), which may not be as diversified as the broader market potentially leading to greater exposure to sector-specific risks and market fluctuations. The Sub-Fund may focus its investments in other sectors from time to time. This concentration may lead to increased volatility and risk, particularly if these sectors experience downturns or regulatory changes. Additionally, companies that offer high dividends may, in challenging economic environments, might reduce or suspend dividend payments, in turn impacting the Sub-Fund's performance. There is no assurance that dividends will be declared and paid in respect of the securities comprising the Underlying Index, and dividend payment rates in respect of such securities will depend on the performance of the constituent securities of the Underlying Index as well as factors beyond the control of the Manager. Whether or not distributions will be made by the Sub-Fund is at the discretion of the Manager taking into account various factors and its own distribution policy. There can be no assurance that the distribution yield of the Sub-Fund is the same as that of the Underlying Index.

Save for the above, the Sub-Fund will generally be subject to the same risks to which it is currently exposed.

## **6. Impact on the Sub-Fund**

The changes described in this Announcement will not materially prejudice the existing investors' rights or interests. There will not be any material changes or increase in the overall risk profile of the Sub-Fund following the change. The changes will not affect the acceptability of the Underlying Index under the SFC's Code on Unit Trusts and Mutual Funds. Other than the changes as described above, there is no change to the operation and/or manner in which the Sub-Fund is being managed. There are no costs and/or expenses that will be incurred in connection with the changes.

## **7. General**

The above changes and consequential amendments will be reflected in the revised Prospectus and product key facts statements of the Sub-Fund, which will be published on the Manager's website at [www.csopasset.com](http://www.csopasset.com) (this website has not been reviewed by the SFC) and the HKEX's website at [www.hkexnews.hk](http://www.hkexnews.hk) on or around the Effective Date.

If you have any queries or require further information in relation to any aspect of this Announcement, please contact our Customer Service Hotline at (852) 3406 5688 or at 2801-2803, Two Exchange Square, 8 Connaught Place, Central, Hong Kong.

By Order of the Board  
**CSOP Asset Management Limited**  
Chief Executive Officer  
Ding Chen

18 August 2026

As of the date of this Announcement, the board of directors of the Manager comprises 7 Directors namely, Mr. Zhou Yi, Ms. Chen Li, Mr. Yang Xiaosong, Mr. Cai Zhongping, Ms. Ding Chen, Mr. Wang Qin and Mr. Zhang Huachen.