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金融街證券股份有限公司
Financial Street Securities Co., Limited

(A joint stock company incorporated in the People's Republic of China with limited liability (formerly known as “恒泰证券股份有限公司”) and carrying on business in Hong Kong as “恒投證券” (in Chinese) and “HENGTOU SECURITIES” (in English) as formerly known)

(the “Company”)
(Stock Code: 01476)

**POLL RESULT OF THE EXTRAORDINARY GENERAL MEETING
HELD ON 23 OCTOBER 2026,
MEMBERS OF THE SIXTH SESSION OF THE BOARD
AND BOARD COMMITTEES
AND
APPOINTMENTS OF PRESIDENT AND OTHER SENIOR
MANAGEMENT**

References are made to the notice (the “**Notice**”) and the circular (the “**Circular**”) of the 2026 third extraordinary general meeting of the Company (the “**EGM**”) both dated 24 September 2026. Unless the context otherwise requires, capitalized terms used herein shall have the same meanings as those defined in the Notice and the Circular.

THE POLL RESULT OF THE EGM

The EGM was held at the meeting room of 12/F, Tower B, Desheng International Center, No. 83 Deshengmenwai Street, Xicheng District, Beijing, the PRC at 10:00 a.m. on Friday, 23 October 2026. The EGM was held in accordance with the requirements of the Company Law of the PRC and the Articles of Association.

The EGM was convened by the Board and was chaired by Mr. Zhu Yanhui, the Chairman. Mr. Xie Xin, a Director, was unable to attend the EGM, while all other Directors attended the EGM. Voting at the EGM was taken by poll.

As at the date of the EGM, the total number of issued share capital of the Company was 2,604,567,412 Shares (of which, 2,153,721,412 Shares were Domestic Shares and 450,846,000 Shares were H Shares), all of which entitle the holders to attend and vote for or against the resolutions proposed at the EGM (the “**Resolutions**”). As at the date of the EGM, no treasury Shares were held by the Company (including any treasury Shares held or deposited with the Central Clearing and Settlement System), and therefore, no treasury Shares should be excluded from the total number of issued Shares entitled to attend and vote on the Resolutions, and no voting rights attached to treasury Shares were exercised at the EGM. Shareholders holding an aggregate of 2,278,524,412 voting Shares, representing approximately 87.48% of the total number of issued voting Shares, attended the EGM either in person or by proxy.

No Shareholder was required to abstain from voting on any of the Resolutions in accordance with the requirements of the Listing Rules. There were no Shares entitling the holders to attend the EGM but requiring them to abstain from voting in favour of any of the Resolutions as set out in Rule 13.40 of the Listing Rules. None of the Shareholders has stated his/her/its intention to vote against or to abstain from voting on any of the Resolutions as set out in the Notice and the Circular. The Company was not aware of any party indicating its intention to vote only against any of the Resolutions or abstain from voting at the EGM. All Resolutions were put to vote by way of poll. Computershare Hong Kong Investor Services Limited, the Company’s H Share Registrar, was appointed as scrutineer of the EGM. Two Shareholders’ representatives and one employee representative Director were also appointed as vote counters and scrutineer of the EGM, respectively.

The poll results in respect of the Resolutions are as follows:

ORDINARY RESOLUTIONS		Votes (%)		
		For	Against	Abstain
1.	To consider and approve the election of Directors of the sixth session of the Board			
1.1	To consider and approve the election of Mr. Zhu Yanhui as an executive Director of the sixth session of the Board	2,153,721,412 (94.52%)	0 (0%)	124,803,000 (5.48%)
1.2	To consider and approve the election of Mr. Yin Guohong as an executive Director of the sixth session of the Board	2,153,721,412 (94.52%)	0 (0%)	124,803,000 (5.48%)
1.3	To consider and approve the election of Mr. Pang Jiemin as a non-executive Director of the sixth session of the Board	2,153,721,412 (94.52%)	0 (0%)	124,803,000 (5.48%)

ORDINARY RESOLUTIONS		Votes (%)		
		For	Against	Abstain
1.4	To consider and approve the election of Mr. Luo Guohua as a non-executive Director of the sixth session of the Board	2,153,721,412 (94.52%)	0 (0%)	124,803,000 (5.48%)
1.5	To consider and approve the election of Mr. Li Yanyong as a non-executive Director of the sixth session of the Board	2,153,721,412 (94.52%)	0 (0%)	124,803,000 (5.48%)
1.6	To consider and approve the election of Mr. Xie Xin as a non-executive Director of the sixth session of the Board	2,153,721,412 (94.52%)	0 (0%)	124,803,000 (5.48%)
1.7	To consider and approve the election of Ms. Cheng Zhuo as an independent non-executive Director of the sixth session of the Board	2,153,721,412 (94.52%)	0 (0%)	124,803,000 (5.48%)
1.8	To consider and approve the election of Mr. Fan Xiaoxin as an independent non-executive Director of the sixth session of the Board	2,153,721,412 (94.52%)	0 (0%)	124,803,000 (5.48%)
1.9	To consider and approve the election of Mr. Wang Jinyong as an independent non-executive Director of the sixth session of the Board	2,153,721,412 (94.52%)	0 (0%)	124,803,000 (5.48%)
1.10	To consider and approve the election of Mr. Cao Liang as an independent non-executive Director of the sixth session of the Board	2,153,721,412 (94.52%)	0 (0%)	124,803,000 (5.48%)
2	To consider and approve the allowance for Directors of the sixth session of the Board	2,153,721,412 (94.52%)	0 (0%)	124,803,000 (5.48%)

As more than half of the votes from the Shareholders (including their proxies) attending the EGM were cast in favor of the Resolutions 1 and 2, such Resolutions were duly passed as ordinary resolutions.

THE SIXTH SESSION OF THE BOARD AND BOARD COMMITTEES

Members of the Sixth Session of the Board

As approved by the Shareholders at the EGM, Mr. Zhu Yanhui and Mr. Yin Guohong were appointed as executive Directors of the sixth session of the Board, Mr. Pang Jiemin, Mr. Luo Guohua, Mr. Li Yanyong and Mr. Xie Xin were appointed as non-executive Directors of the sixth session of the Board, and Ms. Cheng Zhuo, Mr. Fan Xiaoxin, Mr. Wang Jinyong and Mr. Cao Liang were appointed as independent non-executive Directors of the sixth session of the Board. The appointments of the members of the sixth session of the Board shall be effective from the date of consideration and approval at the EGM for a term of three years. Please refer to the Circular for the biographical details of the above Directors.

Mr. Chen Xin, Mr. Qi Liang and Mr. Xu Hongcai have retired as Directors with effect from the date of the EGM.

The Board would like to express its sincere gratitude to Mr. Chen Xin, Mr. Qi Liang and Mr. Xu Hongcai for their valuable contributions to the development of the Company during their terms of office.

The Company convened the workers' congress on 22 October 2026, at which the election of Mr. Zhou Lijun ("**Mr. Zhou**") as the employee representative Director of the sixth session of the Board ("**Employee Representative Director**") (Non-executive Director) was considered and approved for a term the same as that of the sixth session of the Board. The Company will enter into a service contract with Mr. Zhou. Mr. Zhou will receive remuneration for his position as a management member of the Company in accordance with the relevant remuneration management systems of the Company, and will not receive Director's allowance from the Company during his term of office.

The biographical details of Mr. Zhou are set out below:

Mr. Zhou Lijun (周立軍), aged 48, has been the Employee Representative Director (Non-executive Director) since November 2025. Mr. Zhou has been serving as the general manager of the financial management department of the Company since December 2021, as a director of Hengtai Futures since July 2023, and as a director of Hengtai Changcai Securities Co., Ltd.* (恒泰長財證券有限責任公司) ("**Hengtai Changcai**") since January 2025. Mr. Zhou previously served as an audit project manager of Reanda Certified Public Accountants (利安達信隆會計師事務所), audit department manager of Hubei Huafeng Certified Public Accountants (湖北華豐會計師事務所), and on-site office head of the audit and inspection department of Union Life Insurance Co., Ltd. (合眾人壽保險股份有限公司). Mr. Zhou has successively held the positions of the audit responsible person, general manager of the audit department, employee supervisor, and a member of the discipline inspection

committee of Great Wall Life Insurance Co., Ltd. (長城人壽保險股份有限公司). Mr. Zhou also served as the business manager of the risk and audit department of Financial Street Investment. Mr. Zhou graduated from Jilin University of Finance and Economics with a bachelor's degree in economics in July 2001 and obtained a master's degree in accounting from Wuhan University in July 2007.

Save as disclosed above, Mr. Zhou has confirmed that he (i) did not hold any other positions in the Company or any of the subsidiaries of the Company, or hold any directorships in any other listed companies in the last three years; (ii) does not have any relationship with any Directors, senior management, substantial Shareholders or controlling Shareholders of the Company, and (iii) nor does he have or is deemed to have any interest or short positions in any Shares, underlying Shares or debentures of the Company or any of its associated corporation within the meaning of Part XV of the Securities and Futures Ordinance, Chapter 571 of the Laws of Hong Kong.

Save as disclosed above, the Board is not aware of any other matters in relation to the appointment of Mr. Zhou that need to be brought to the attention of the Shareholders or any information that needs to be disclosed pursuant to the requirement of Rules 13.51(2)(h) to 13.51(2)(v) of the Listing Rules.

Subsequent to the EGM, the Board convened the first meeting of the sixth session of the Board on the same day (the “**First Meeting of the Board**”), at which Mr. Zhu Yanhui was appointed as the Chairman of the sixth session of the Board, with his term of office same as that of the sixth session of the Board.

MEMBERS OF COMMITTEES OF THE SIXTH SESSION OF THE BOARD

At the First Meeting of the Board, the Board resolved to appoint the members of the strategy and investment committee of the sixth session of the Board (the “**Strategy and Investment Committee**”), the risk control and supervisory committee of the sixth session of the Board (the “**Risk Control and Supervisory Committee**”), the audit committee of the sixth session of the Board (the “**Audit Committee**”) and the remuneration and nomination committee of the sixth session of the Board (the “**Remuneration and Nomination Committee**”). The term of office of the Board committees shall be the same as that of the sixth session of the Board.

Strategy and Investment Committee

The Strategy and Investment Committee comprises Mr. Zhu Yanhui, Mr. Yin Guohong, Mr. Pang Jiemin, Mr. Wang Jinyong and Mr. Cao Liang. Mr. Zhu Yanhui has been appointed as the chairman of the committee.

Risk Control and Supervisory Committee

The Risk Control and Supervisory Committee comprises Mr. Zhu Yanhui, Mr. Luo Guohua and Mr. Li Yanyong. Mr. Zhu Yanhui has been appointed as the chairman of the committee.

Audit Committee

The Audit Committee comprises Ms. Cheng Zhuo, Mr. Luo Guohua, Mr. Fan Xiaoxin, Mr. Cao Liang and Mr. Zhou Lijun. Ms. Cheng Zhuo has been appointed as the chairlady of the committee.

Remuneration and Nomination Committee

The Remuneration and Nomination Committee comprises Mr. Wang Jinyong, Mr. Xie Xin and Ms. Cheng Zhuo. Mr. Wang Jinyong has been appointed as the chairman of the committee.

APPOINTMENTS OF PRESIDENT AND OTHER SENIOR MANAGEMENT

Appointment of President

At the First Meeting of the Board, the Board resolved to appoint Mr. Yin Guohong (“**Mr. Yin**”) as the president of the Company, with a term commencing from the date of consideration and approval at the First Meeting of the Board until the conclusion of the sixth session of the Board. Please refer to the Circular for the biographical details of Mr. Yin.

The Company will enter into a service contract with Mr. Yin. Mr. Yin will receive remuneration for his position as a management member of the Company in accordance with the relevant remuneration management systems of the Company.

Save as disclosed above, Mr. Yin has confirmed that he (i) did not hold any other positions in the Company or any of the subsidiaries of the Company, or hold any directorships in any other listed companies in the last three years; (ii) does not have any relationship with any Directors, senior management, substantial Shareholders or controlling Shareholders of the Company, and (iii) nor does he have or is deemed to have any interest or short positions in any Shares, underlying Shares or debentures of the Company or any of its associated corporation within the meaning of Part XV of the Securities and Futures Ordinance, Chapter 571 of the Laws of Hong Kong.

Save as disclosed above, the Board is not aware of any other matters in relation to the appointment of Mr. Yin that need to be brought to the attention of the Shareholders or any information that needs to be disclosed pursuant to the requirement of Rules 13.51(2)(h) to 13.51(2)(v) of the Listing Rules.

Appointments of Other Senior Management

At the First Meeting of the Board, the Board agreed to appoint Ms. Yu Lei as the vice president and chief financial officer of the Company, Mr. Zhang Wei, Ms. Yang Shufei, Mr. Tang Jun and Mr. Yang Jinliang as the vice presidents of the Company, Mr. Zhang Jingshun as the secretary of the Board of the Company, Mr. Liu Zhanjun as the chief compliance officer and chief risk officer of the Company, and Mr. Cheng Wendong as the chief information officer of the Company. The terms of office of the above senior management shall commence from the date of consideration and approval at the First Meeting of the Board until the conclusion of the sixth session of the Board.

The biographical details of the above senior management are set out below:

Ms. Yu Lei (于蕾) (“Ms. Yu”), aged 54, has been the vice president and chief financial officer of the Company since November 2025. Ms. Yu previously served as the project manager and a partner of Jilin Certified Public Accountants* (吉林會計師事務所), the deputy director and a partner of Jilin Reanda Certified Public Accountants* (吉林利安達會計師事務所), a department manager of Beijing Reanda Xinlong Certified Public Accounts Co., Ltd.* (北京利安達信隆會計師事務所). Ms. Yu previously served as the manager of the audit department of Greatwall Life Insurance Co., Ltd. (長城人壽保險股份有限公司). Ms. Yu successively served as the deputy general manager of the audit department, general manager of the risk audit department and chief risk officer of Financial Street Investment. Ms. Yu previously served as the chairlady of the board of supervisors of Greatwall Wealth Insurance Asset Management Co., Ltd. (長城財富保險資產管理股份有限公司), the chairlady of the board of supervisors of Beijing Financial Street Group Finance Company Limited (北京金融街集團財務有限公司), a director of Beijing Financial Assets Exchange Co., Ltd. (北京金融資產交易所有限公司) and the chairlady of the board of supervisors of Huarong Zonghe Investment. Ms. Yu served as a non-executive Director of the Company from December 2022 to September 2023. Ms. Yu was the chairlady of the Supervisory Committee of the Company from September 2023 to November 2025. Ms. Yu graduated from Changchun Taxation College (長春稅務學院) in July 1994 with a bachelor’s degree majoring in accounting. Ms. Yu possesses professional qualifications including Chinese certified public accountant, certified public valuer, certified tax accountant and international certified internal auditor.

Mr. Zhang Wei (張偉) (“Mr. Zhang”), aged 55, has been a vice president of the Company since September 2006. Mr. Zhang has served as a director of Hengtai Capital Investment Co., Ltd. (恒泰資本投資有限責任公司) (“**Hengtai Capital**”) since January 2025. Mr. Zhang served as the president assistant of the Company from January 2003 to October 2003 and the chairman assistant of the Company from October 2003 to December 2005. Mr. Zhang served as the secretary of the Board of the Company from November 2006 to April 2020, the legal representative, an executive director and general manager of Hengtai Changcai from June 2014 to August 2020, and one of the joint company secretaries of the Company from March 2015 to June 2020. Mr. Zhang served as a director and general

manager of Hengtai Changcai from August 2020 to January 2026. Mr. Zhang served as a manager of general management department in China National Heavy Duty Truck Finance Co., Ltd. (中國重汽財務有限公司) and a secretary of the Communist Youth League of China National Heavy Duty Truck Group Jinan Vehicle Manufacturing Plant (中國重汽集團濟南汽車製造廠). Mr. Zhang graduated from Shandong University (山東大學) with a bachelor's degree majoring in public finance in July 1994.

Ms. Yang Shufei (楊淑飛) (“Ms. Yang”), aged 53, has been the vice president of the Company since April 2020. Ms. Yang has served as a director of Hengtai Futures since September 2021. Ms. Yang has served as the chairman and legal representative of Hengtai Pioneer since April 2025. Ms. Yang served as the chief financial officer of the Company from October 2016 to April 2020. Ms. Yang has served as a supervisor of Hengtai Capital from October 2017 to March 2025. Ms. Yang worked at Aerospace Trust Investment Co., Ltd. (航天信託投資有限責任公司), and successively served as the manager of the clearing department, the manager of the risk management department, chief accountant, board secretary and deputy general manager of CASIC Finance Co., Ltd. (航天科工財務有限責任公司), and the chief financial officer of Huahao Xinlian (Beijing) Kemao Co., Ltd. (華浩信聯(北京)科貿有限公司). Ms. Yang graduated from Renmin University of China (中國人民大學) with a bachelor's degree in international finance in July 1995 and obtained a master's degree in economics from Peking University (北京大學) in November 2005 and an executive master's degree of business administration from Tsinghua University (清華大學) in July 2010.

Mr. Tang Jun (唐 軍) (“Mr. Tang”), aged 57, has been the vice president of the Company since May 2020. Mr. Tang served as the director of CNPC Xinjiang Petroleum Administration (中國石油新疆石油管理局). Mr. Tang served as the assistant dean of Research Institute of Chemical Industry in Beijing (北京市化學工業研究院), the chief executive officer of Jian Tong Investment Co., Ltd. (建通投資有限公司), the manager of finance and insurance department of Huarong Zonghe Investment, the manager of finance and insurance department of Financial Street Investment, and the post-doctor of Institute of Industrial Economics of CASS (中國社會科學院工業經濟研究所). Mr. Tang served successively as the secretary of the board of directors and the deputy general manager of Greatwall Life Insurance Co., Ltd. (長城人壽保險股份有限公司). Mr. Tang graduated from Southwest Petroleum University (西南石油學院) in July 1989 with a bachelor's degree, and obtained a master's degree and a doctor's degree from China University of Petroleum (中國石油大學) in July 2000 and July 2007, respectively.

Mr. Yang Jinliang (楊金亮) (“Mr. Yang”), aged 49, has been the vice president of the Company since March 2025. Mr. Yang has been serving as the chairman and legal representative of Hengtai Capital since January 2026, and as the general manager of Hengtai Capital since September 2026. Mr. Yang has also been serving as a director of Hengtai Changcai since January 2026. Mr. Yang previously served as the director of the public opinion department of the press office of the general office at the China Securities Regulatory Commission (“CSRC”), the deputy general manager and chief

risk officer at Huarong Securities Co., Ltd. (華融證券股份有限公司), a member of the Party Committee and the deputy general manager at Huarong Zhongguancun Distressed Assets Exchange Center Co., Ltd.* (華融中關村不良資產交易中心股份有限公司), and the chief risk officer concurrently serving as compliance director at China Post Securities Co., Ltd. (中郵證券有限責任公司). Mr. Yang has served as the general manager of Xin Hua Fund from September 2023 to February 2025. Mr. Yang graduated from Beijing Technology and Business University in July 1999 with a bachelor's degree, majoring in accounting. Mr. Yang obtained a master's degree in economics from Peking University in July 2010.

Mr. Zhang Jingshun (張景順) (“Mr. Zhang”), aged 42, has been the secretary of the Board and one of the joint company secretaries of the Company since April 2020 and June 2020, respectively. Mr. Zhang has been serving as the legal representative, chairman and general manager of Hengtai Changcai since January 2026. Mr. Zhang has been the legal representative, chairman and general manager of Hengtai Capital from January 2023 to January 2026. Mr. Zhang has served as the chairman of the supervisory committee of Hengtai Futures since August 2023 to June 2025. Mr. Zhang served as the auditor of Shenzhen Global Jingwei Enterprise Management Consulting Co., Ltd. (深圳環球經緯企業管理諮詢有限公司), the deputy director of the department of political and legal affairs of the National Population and Family Planning Commission (國家人口計生委), the director of the general office of CSRC, and the executive general manager of the investment banking department of Xinda Securities Co., Ltd. (信達證券股份有限公司). Mr. Zhang successively served as the deputy director of the secretariat of executive committee and the deputy general manager of the operation and management department of Tianfeng Securities. Mr. Zhang graduated from China University of Political Science and Law (中國政法大學) with a bachelor's degree in economics in June 2006. He obtained a master's degree in economics from China University of Political Science and Law in June 2009, and obtained a doctorate degree in economics from the Chinese Academy of Social Sciences (中國社會科學院) in June 2013 and obtained a master of business administration degree from Tsinghua University in December 2025.

Mr. Liu Zhanjun (劉占軍) (“Mr. Liu”), aged 49, has been the chief compliance officer of the Company since April 2020, and has been also the chief risk officer of the Company from September 2023. Mr. Liu successively served as the deputy director of the supervision department of listed companies, the director of the office, the director of the institutional supervision department and the director of the inspection department of Inner Mongolia Securities Regulatory Bureau of CSRC. Mr. Liu graduated from Inner Mongolia University of Finance and Economics (內蒙古財經大學) in July 2001 with a bachelor's degree majoring in finance and taxation. He obtained a master's degree in business administration from the School of Economics and Management of Inner Mongolia University of Technology (內蒙古工業大學) in January 2011.

Mr. Cheng Wendong (程文東) (“**Mr. Cheng**”), aged 56, has been the chief information officer of the Company since September 2023. Mr. Cheng served as manager of the computer engineering department, manager of the information technology department, deputy general manager of the brokerage department and general manager of the information technology center of the Company from July 1997 to September 2023. Mr. Cheng graduated from Inner Mongolia Normal University in July 1992 with a bachelor’s degree in Physics, from Inner Mongolia University in July 1997 with a master’s degree in Theoretical Physics and from Peking University in July 2006 with a master’s degree in Finance.

By order of the Board
Zhu Yanhui
Chairman

Beijing, the PRC
23 October 2026

As at the date of this announcement, the Board comprises Mr. Zhu Yanhui and Mr. Yin Guohong as executive Directors; Mr. Pang Jiemin, Mr. Luo Guohua, Mr. Li Yanyong, Mr. Xie Xin and Mr. Zhou Lijun (Employee Representative Director) as non-executive Directors; Ms. Cheng Zhuo, Mr. Fan Xiaoxin, Mr. Wang Jinyong and Mr. Cao Liang as independent non-executive Directors.

* *For identification purposes only*