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Holly Futures

(a joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name 蘇豪弘業期貨股份有限公司 and carrying on business in Hong Kong as Holly Futures)
*(the "**Company**")*
(Stock Code: 3678)

2026 THIRD QUARTERLY REPORT OF SOHO HOLLY FUTURES CO., LTD.

The Company and all members of the Board hereby confirm that the information disclosed herein is true, accurate and complete and does not contain any false information, misleading statements or material omissions.

IMPORTANT NOTICE:

1. The Board, directors and the senior management warrant the truthfulness, accuracy and completeness of the quarterly report, in which there is no false representation, misleading statement or material omission and for which they will assume joint and several legal liabilities.

2. The person-in-charge of the Company, the person-in-charge of accounting work, and the person-in-charge of accounting body (head of accounting) declare that they warrant the truthfulness, accuracy and completeness of the financial information contained in this quarterly report.

3. Whether the third quarterly financial accounting report has been audited

   ☐Yes ☑No

I. KEY FINANCIAL INFORMATION

(I) Key accounting data and financial indicators

Whether the Company is required to make retrospective adjustments to or restatements of the accounting data of the previous years

☐Yes ☑No

	The Reporting Period	Increase/Decrease comparing the Reporting Period with the same period of last year	From the beginning of year to the end of the Reporting Period	Increase/Decrease from the beginning of the year to end of the Reporting Period as compared with the same period of last year
Total income from operations (RMB)	64,911,289.17	-7.17%	230,619,949.57	12.21%
Net profit attributable to shareholders of listed company (RMB)	4,121,783.70	-27.63%	28,531,089.02	1,265.30%
Net profit attributable to shareholders of the listed company after extraordinary profit and loss items (RMB)	3,630,359.11	-34.22%	28,540,127.41	1,347.14%
Net cash flow from operating activities (RMB)	–	–	-24,497,543.86	-102.80%
Basic earnings per share (RMB/share)	0.0041	-27.63%	0.0283	1,247.62%
Diluted earnings per share (RMB/share)	0.0041	-27.63%	0.0283	1,247.62%
Weighted average return on net assets	0.22%	-29.17%	1.52%	1,281.26%

	At the end of the Reporting Period	At the end of last year	Increase/Decrease comparing the end of the Reporting Period with the end of last year
Total assets (RMB)	12,840,506,788.72	12,662,294,207.57	1.41%
Equity attributable to shareholders of listed company (RMB)	1,876,892,373.75	1,864,885,825.77	0.64%

(II) Extraordinary profit and loss items and amount

☑ Applicable ☐Not applicable

Unit: RMB

Items	Amount for the Reporting Period	Amount from the beginning of the year to the end of the Reporting Period	Description
Profit or loss on disposal of non-current assets (including the write-off of the asset impairment provision)	-14,980.79	-28,090.47	
Government grants recognised through profit or loss for the period (except for those closely associated with the normal operations of the Company, granted in accordance with national policies, enjoyed according to predetermined standards and having a sustained impact on the Company's profits and losses)	0.00	22,023.46	
Other non-operating income and expenses other than the foregoing item	500,998.02	-5,984.18	
Less: effect of income tax	-5,407.36	-3,012.80	
Total	491,424.59	-9,038.39	–

Details of other extraordinary profit and loss items falling within the meaning of extraordinary profit and loss:

☐Applicable ☑ Not applicable

The Company does not have any other extraordinary profit and loss items falling within the meaning of extraordinary profit and loss.

Explanation on the definition of extraordinary profit or loss items as recurring profit or loss items as set out in the "Explanatory Announcement No. 1 on Information Disclosure for Companies Offering their Securities to the Public – Extraordinary Profit or Loss"

☐Applicable ☑ Not applicable

The Company does not define the extraordinary profit or loss items as recurring profit and loss items listed in "Explanatory Announcement No. 1 on Information Disclosure for Companies Offering their Securities to the Public – Extraordinary Profit or Loss".

(III) The changes in key accounting data and financial indicators and the reason

☐Applicable ☑ Not applicable

Items on balance sheets	30 September 2026	31 December 2025	Changes	Reason for the change
Advance to customers	5,673,915.20	2,640,072.17	114.92%	Mainly due to the increase in the scale of the securities brokerage and financing business of a subsidiary, Holly International Financial at the end of the period.
Derivative financial assets	27,925,403.13	9,154,289.53	205.05%	Mainly due to the increase in the size of futures contracts on hand of a subsidiary, Holly Capital at the end of the period.
Financial assets purchased under resale agreements	0.00	93,966,000.00	-100.00%	Mainly due to the disposal of treasury bond reverse repurchase at the end of the period.
Other equity instruments investment	1,829,210.00	27,809,985.27	-93.42%	Mainly due to the Company's disposal of part of publicly traded REITs during the period.
Deferred income tax assets	24,845,476.84	17,393,665.78	42.84%	Mainly due to the deferred income tax assets arising from the fair value changes of derivative financial instruments of a subsidiary, Holly Capital.
Other assets	86,958,509.71	532,286,019.73	-83.66%	Mainly due to the decrease in standard warehouse receipts and spot goods of a subsidiary, Holly Capital at the end of the period.
Short-term borrowings	3,001,582.50	1,000,383.33	200.04%	Mainly due to the increase in the bank credit borrowings of a subsidiary, Holly Capital during the Reporting Period.
Pledged deposits payable	62,450,800.00	1,346,400.00	4538.35%	Mainly due to the increase in the scale for the continuing standard warehouse receipt pledges of the Company (excluding the subsidiary, Holly Capital) at the end of the period.
Derivative financial liabilities	5,455,705.00	9,999,149.77	-45.44%	Mainly due to the changes in the market value of a subsidiary, Holly Capital's options at the end of the period.
Taxes payable	8,260,709.52	2,064,980.88	300.04%	Mainly due to the corporate income tax payable accrued during the period.
Notes payable	122,622,955.00	542,583,467.06	-77.40%	Mainly due to the decrease in the bank acceptance notes of a subsidiary, Holly Capital at the end of the period.
Deferred income tax liabilities	10,006,199.93	2,449,248.50	308.54%	Mainly due to the fair value changes of the financial assets held for trading.
Other liabilities	0.00	11,560,500.00	-100.00%	Mainly due to the decrease in the advance from customers of a subsidiary, Holly Capital.
Other comprehensive income	-2,629,055.84	4,329,217.47	-160.73%	Mainly due to the decrease in the net publicly traded REITs during the period.

Items on income statements	January-September 2026	January-September 2025	Changes	Reason for the change
Net fee income from assets management operations	973,807.57	2,397,359.45	-59.38%	Mainly due to the decrease in the scale of the assets management business.
Net interest income	74,922,900.94	48,065,924.02	55.88%	Mainly due to the increase in the customer interests and the increase in the scale of fixed-term deposits during the period.
Investment gains	69,525,418.65	24,032,796.58	189.29%	Mainly due to the increase in the investment gain from financial assets of the parent company during the period.
Investment gains of associates and joint ventures	422,296.98	59,060.79	615.02%	Mainly due to the increase in the net profit of the associate, Hong Rui New Era in this year.
Gains on change in fair value	-52,510,957.70	3,408,899.66	-1640.41%	Mainly due to the decrease in the fair value of the Company's trading financial assets such as stocks, bonds, funds, and asset management plans, as well as other liquid assets related to risk management business.
Foreign exchange gains and losses	100,285.58	53,753.70	86.56%	Mainly due to the impact of exchange rate fluctuations on the foreign currencies held by the Company.
Asset disposal gain	-28,090.47	-337,824.63	91.68%	Mainly due to the Company's disposal of long-term assets such as fixed assets.
Taxes and surcharges	6,949,443.55	3,016,948.95	130.35%	Mainly due to the Company's supplementary payment of enterprise property tax during the period.
Credit impairment loss	683,067.78	-424,705.60	260.83%	Mainly due to the Company's recognition of credit impairment losses based on expected credit losses.
Non-operating income	769,917.41	504,089.61	52.73%	Mainly due to the exchange refunding futures membership fees during the period.
Non-operating expenses	775,901.59	81,556.27	851.37%	Mainly due to the Company's supplementary payment of the late payment penalty for enterprise property tax during the period.
Income tax expenses	10,291,450.48	-602,215.89	1808.93%	Mainly due to increased profits of the Company during the period.
Other comprehensive income, net of tax	-12,493,429.93	-1,889,183.62	-561.31%	Mainly due to changes in fair value of other equity instruments and foreign currency financial statement translation differences during the period.

II. SHAREHOLDER INFORMATION

(I) Total number of ordinary shareholders and preferred shareholders of which voting rights have resumed and shareholding of top ten shareholders

Unit: share

Total number of ordinary shareholders as at the end of the Reporting Period	43,632	**Total number of preferred shareholders of which voting rights have resumed as at the end of the Reporting Period (if any)**	0

Shareholding of Top Ten Shareholders (excluding shares lent through the margin refinancing transfer)

Name of shareholder	Nature of shareholder	Proportion	Shareholding	Number of shares subject to selling restriction	Pledged, marked or frozen	
					Status	Number of shares
Jiangsu SOHO Holdings Group Co., Ltd. (江蘇省蘇豪控股集團有限公司)	State-owned legal-person	27.33%	275,456,777	0	Not applicable	0
HKSCC Nominees Limited	Foreign legal-person	24.78%	249,681,179	0	Not applicable	0
Soho Holly Corporation (蘇豪弘業股份有限公司)	State-owned legal-person	14.33%	144,400,000	0	Not applicable	0
Jiangsu Soho High Hope Group Corporation (江蘇蘇豪匯鴻集團股份有限公司)	State-owned legal-person	5.75%	57,964,734	0	Not applicable	0
Hong Kong Securities Clearing Company Limited	Foreign legal-person	0.73%	7,339,850	0	Not applicable	0
Jiangsu Holly International Logistics Corporation (江蘇弘業國際物流有限公司)	State-owned legal-person	0.41%	4,153,498	0	Not applicable	0
Goldman Sachs International – Own Funds (高盛國際－自有資金)	Foreign legal-person	0.20%	1,990,316	0	Not applicable	0
Shanghai Pudong Development Bank Co., Ltd. – CCB CSI 1000 Index Enhanced Initiating Securities Investment Fund (上海浦東發展銀行股份有限公司－建信中證1000指數增強型發起式證券投資基金)	Others	0.18%	1,810,600	0	Not applicable	0
China Construction Bank Corporation – CUAM CSI 1000 Index Enhanced Securities Investment Fund (中國建設銀行股份有限公司－匯添富中證1000指數增強型證券投資基金)	Others	0.15%	1,524,100	0	Not applicable	0

CITIC Securities Asset Management (Hong Kong) Limited – Client Funds (中信證券資產管理(香港)有限公司－客戶資金)	Others	0.13%	1,288,587	0	Not applicable	0

Shareholding of Top Ten Shareholders Not Subject to Trading Moratorium (excluding shares lent through the margin refinancing transfer and locked shares held by the senior management)

Name of shareholder	Number of circulating shares not subject to trading moratorium	Class and number of shares	
		Class of shares	Number of shares
Jiangsu SOHO Holdings Group Co., Ltd. (江蘇省蘇豪控股集團有限公司)	275,456,777	RMB ordinary shares	275,456,777
HKSCC Nominees Limited	249,681,179	Foreign shares listed overseas	249,681,179
Soho Holly Corporation (蘇豪弘業股份有限公司)	144,400,000	RMB ordinary shares	144,400,000
Jiangsu Soho High Hope Group Corporation (江蘇蘇豪匯鴻集團股份有限公司)	57,964,734	RMB ordinary shares	57,964,734
Hong Kong Securities Clearing Company Limited	7,339,850	RMB ordinary shares	7,339,850
Jiangsu Holly International Logistics Corporation (江蘇弘業國際物流有限公司)	4,153,498	RMB ordinary shares	4,153,498
Goldman Sachs International – Own Funds (高盛國際－自有資金)	1,990,316	RMB ordinary shares	1,990,316
Shanghai Pudong Development Bank Co., Ltd. – CCB CSI 1000 Index Enhanced Initiating Securities Investment Fund (上海浦東發展銀行股份有限公司－建信中證1000指數增強型發起式證券投資基金)	1,810,600	RMB ordinary shares	1,810,600
China Construction Bank Corporation – CUAM CSI 1000 Index Enhanced Securities Investment Fund (中國建設銀行股份有限公司－匯添富中證1000指數增強型證券投資基金)	1,524,100	RMB ordinary shares	1,524,100
CITIC Securities Asset Management (Hong Kong) Limited – Client Funds (中信證券資產管理(香港)有限公司－客戶資金)	1,288,587	RMB ordinary shares	1,288,587

Explanation on the connected relationship of the shareholders and action in concert among the aforesaid shareholders	Soho Holly Corporation (hereinafter referred to as "**Soho Holly**"), Jiangsu High Hope International Group Corporation (hereinafter referred to as "**Soho High Hope**"), Jiangsu Holly International Logistics Corporation (hereinafter referred to as "**Holly Logistics**") and Soho Holdings Group Zhong Shan Company Limited (hereinafter referred to as "**Soho Zhong Shan**", under HKSCC Nominees Limited) are controlled by Jiangsu SOHO Holdings Group Co., Ltd. (hereinafter referred to as "**SOHO Holdings**"), and Holly Logistics is an equity subsidiary of SOHO Holly; the de facto controller of SOHO Holdings, Soho Holly, Holly Logistics, Soho High Hope and Soho Zhong Shan is Jiangsu SASAC. Save as disclosed above, the Company is not aware of any connected relationship nor action in concert among the aforesaid shareholders.
Explanation (if any) of the participation of the top ten shareholders in the securities financing business	Nil

Participation of shareholders holding more than 5% of shares, top ten shareholders and top ten shareholders not subject to trading moratorium in lending of shares through refinancing

☐Applicable ☑ Not applicable

Change in the top ten shareholders and top ten shareholders not subject to trading moratorium due to lending/returning of shares through refinancing compared to the previous period

☐Applicable ☑ Not applicable

(II) Total number of preferred shareholders and the shareholding of top ten preferred shareholders

☐Applicable ☑ Not applicable

III. OTHER IMPORTANT MATTERS

☑ Applicable ☐ Not applicable

(I) Matters relating to changes in directors and senior management of the Company

1. On 13 July 2026, Mr. Zhang Hongfa resigned as an independent non-executive director of the Company. As Mr. Zhang Hongfa's resignation would result in the number of independent directors of the Company falling below one-third of the members of the Board, his resignation report shall take effect upon the election of a new independent director by the general meetings of the Company. During this period, Mr. Zhang Hongfa will continue to perform the relevant duties of an independent director and a member of the Special Committees of the Board. The Board of the Company will nominate a new candidate for independent director as soon as practicable and submit the same to the general meetings of the Company for consideration.

2. On 8 September 2026, the Company convened the seventeenth meeting of the fifth session of the Board, at which the "Resolution on the Election of Independent Non-Executive Director of the Fifth Session of the Board of the Company《關於選舉公司第五屆董事會獨立非執行董事的議案》" was considered and approved, and it was proposed to elect Mr. Liu Xiaoxing as an independent non-executive director of the fifth session of the Board of the Company, with his term of office commencing from the date of approval by the general meeting until the expiry of the term of office of the fifth session of the Board of the Company. Prior to the completion of the election at the general meeting, Mr. Zhang Hongfa will continue to perform his duties. Mr. Liu Xiaoxing is still required to supplement the filing materials in respect of his appointment, and his appointment may only be submitted to the general meeting for consideration after such supplementation is completed.

(II) Matters relating to the distribution of equity interests

On 26 June 2026, the Company convened its 2025 annual general meeting, which approved the "Proposal on the 2025 Profit Distribution Plan of the Company". Cash dividends for A-share shareholders, distributed by the Company through CSDC Shenzhen Branch, were entrusted to be directly credited to shareholders' fund accounts via their depository securities companies (or other depository institutions) on 7 August 2026.

IV. QUARTERLY FINANCIAL STATEMENTS

(I) Financial Statements

1. Consolidated Balance Sheet

Prepared by: Soho Holly Futures Co., Ltd.

30 September 2026

Unit: RMB

Items	Closing balance	Opening balance
Assets:		
Monetary funds	8,122,878,977.93	7,990,458,034.88
Including: Customer deposits		
Futures margin deposits	7,692,820,392.13	7,480,683,642.32
Settlement deposits		
Including: Deposits held on behalf of customers		
Precious metal		
Due from bank and other financial institutions		
Currency deposits receivable	3,790,632,626.65	3,187,227,426.50
Pledged deposits receivable	92,908,952.00	77,608,800.00
Advance to customers	5,673,915.20	2,640,072.17
Derivative financial assets	27,925,403.13	9,154,289.53
Settlement deposits receivable	20,000,000.00	20,000,000.00
Refundable deposits		
Trade receivables		
Trade receivables financing		
Contract assets		
Other receivables	14,029,996.23	15,585,757.45
Financial assets purchased under resale agreements		93,966,000.00
Inventories		
Held-for-sale assets		
Financial investment:	306,737,455.13	359,407,296.64
Financial assets held for trading	304,908,245.13	331,597,311.37
Debt investments		
Other debt investments		
Other equity instruments investment	1,829,210.00	27,809,985.27
Long-term equity investments	9,189,086.59	8,766,789.61
Investment properties	48,068,400.00	48,068,400.00
Fixed assets	275,979,466.88	287,729,667.48
Work in progress		

Items	Closing balance	Opening balance
Right-of-use assets	13,703,916.16	11,116,509.34
Intangible assets	974,606.27	885,478.46
Including: Data resource		
Goodwill		
Deferred income tax assets	24,845,476.84	17,393,665.78
Other assets	86,958,509.71	532,286,019.73
Total assets	12,840,506,788.72	12,662,294,207.57
Liabilities:		
Short-term borrowings	3,001,582.50	1,000,383.33
Currency deposits payable	10,325,900,699.20	9,725,943,497.66
Pledged deposits payable	62,450,800.00	1,346,400.00
Short-term financing instrument payables		
Due to bank and other financial institutions		
Financial liabilities held for trading	7,030,984.02	
Derivative financial liabilities	5,455,705.00	9,999,149.77
Financial assets sold under agreements to repurchase		
Futures risk reserve	189,968,208.71	184,158,983.39
Futures investors protection funds payable	338,623.10	344,904.00
Securities brokering		
Securities underwriting		
Employee benefits payable	2,944,757.89	3,361,824.50
Taxes payable	8,260,709.52	2,064,980.88
Trade Payable		
Notes payable	122,622,955.00	542,583,467.06
Contract liabilities		
Other payables	218,867,427.38	294,367,868.19
Held-for-sale liabilities		
Estimated liabilities		
Long-term borrowings		
Bonds payable		
Including: Preferred shares		
Perpetual bonds		
Lease liabilities	13,796,746.74	11,196,190.50
Deferred incomes		
Deferred income tax liabilities	10,006,199.93	2,449,248.50
Other liabilities		11,560,500.00
Total liabilities	10,963,614,414.97	10,797,408,381.80
Owners' equity:		
Share capital	1,007,777,778.00	1,007,777,778.00
Other equity instruments		
Including: Preferred shares		
Perpetual bonds		

Items	Closing balance	Opening balance
Capital reserve	598,402,999.72	598,402,999.72
Less: Treasury shares		
Other comprehensive income	-2,629,055.84	4,329,217.47
Surplus reserve	75,811,464.31	76,364,979.96
General risk reserve	102,244,557.33	102,244,557.33
Retained earnings	95,284,630.23	75,766,293.29
Total equity attributable to owners of the parent company	1,876,892,373.75	1,864,885,825.77
Minority interests		
Total owners' equity	1,876,892,373.75	1,864,885,825.77
Total liabilities and owners' equity	12,840,506,788.72	12,662,294,207.57

Legal representative:	Person-in-charge of accounting work:	Person-in-charge of accounting body:
Chu Kairong	Jia Fuhua	Jia Fuhua

2. Consolidated Income Statements from the Beginning of the Year to the End of the Reporting Period

Unit: RMB

Items	Amount for the period	Amount for the same period of last year
I. Total income from operations	230,619,949.57	205,519,695.81
Net interest income	74,922,900.94	48,065,924.02
Interest income	74,922,900.94	48,079,880.60
Interest expense		13,956.58
Net fee and commission income	128,780,267.96	118,386,015.23
Including: Net fee income from brokerage operations	127,806,460.39	115,988,655.78
Net fee income from investment banking operations		
Net fee income from assets management operations	973,807.57	2,397,359.45
Investment gains (loss denoted by "-")	69,525,418.65	24,032,796.58
Including: Investment gains of associates and joint ventures	422,296.98	59,060.79
Derecognised income of financial assets measured at amortised cost (loss denoted by "-")		

Items	Amount for the period	Amount for the same period of last year
Net exposure hedging income (loss denoted by "-")		
Other income	116,908.41	159,270.48
Gains on change in fair value (loss denoted by "-"	-52,510,957.70	3,408,899.66
Exchange gains (loss denoted by "-")	100,285.58	53,753.70
Income from other business activities	9,713,216.20	11,750,860.77
Asset disposal gain (loss denoted by "-")	-28,090.47	-337,824.63
II. Total operating expense	191,791,425.89	204,454,718.63
Taxes and surcharges	6,949,443.55	3,016,948.95
General and administrative expenses	174,988,089.57	193,592,655.27
Asset impairment loss		
Credit impairment loss	683,067.78	-424,705.60
Other assets impairment loss		
Other operating cost	3,361,599.67	3,350,238.00
Futures risk reserve expenses	5,809,225.32	4,919,582.01
III. Profit from operations (loss denoted by "-")	38,828,523.68	1,064,977.18
Add: Non-operating income	769,917.41	504,089.61
Less: Non-operating expenses	775,901.59	81,556.27
IV. Total profit (total loss denoted by "-")	38,822,539.50	1,487,510.52
Less: Income tax expenses	10,291,450.48	-602,215.89
V. Net profit (net loss denoted by "-")	28,531,089.02	2,089,726.41
(I) Classification by continuity of operations		
1. Net profit of operation on a going concern basis (net loss denoted by "-")	28,531,089.02	2,089,726.41
2. Net profit of operation on a terminated operation (net loss denoted by "-")		
(II) Classification by ownership		
1. Net income attributable to shareholders of the parent company (net loss denoted by "-")	28,531,089.02	2,089,726.41
2. Profit and loss of minority shareholders (net loss denoted by "-")		
VI. Other comprehensive income, net of tax	-12,493,429.93	-1,889,183.62
Net other comprehensive income after tax attributable to owners of the parent company	-12,493,429.93	-1,889,183.62
(I) Other comprehensive income that cannot be reclassified into profits or losses	-3,886,325.88	605,616.84

Items	Amount for the period	Amount for the same period of last year
1. Changes arising from re-measurement of defined benefit plan		
2. Other comprehensive income that cannot be reclassified into profits/losses under the equity method		
3. Changes in fair value of other equity instruments investment	-3,886,325.88	605,616.84
4. Change in fair value of the enterprise's own credit risk		
5. Others		
(II) Other comprehensive income that will be reclassified into profit or loss	-8,607,104.05	-2,494,800.46
1. Other comprehensive income that can be reclassified into profit or loss under the equity method		
2. Change in fair value of other debt investments		-15,627.06
3. Financial assets reclassified into other comprehensive income		
4. Credit impairment provisions for other debt investments		
5. Reserve for cash flow hedging		
6. Exchange rate differences of financial statements denominated in foreign currency	-8,607,104.05	-2,479,173.40
7. Others		
Net other comprehensive income after tax attributable to minority shareholders		
VII. Total comprehensive income	16,037,659.09	200,542.79
(I) Total comprehensive income attributable to owners of the parent company	16,037,659.09	200,542.79
(II) Total comprehensive income attributable to minority shareholders		
VIII. Earnings per share	–	–
(I) Basic earnings per share	0.0283	0.0021
(II) Diluted earnings per share	0.0283	0.0021

For the business combination under common control effected in the period, the net profit recognized by the merged party before the combination was RMB0.00, and the net profit recognized by the merged party in the previous period was RMB0.00.

Legal representative:	Person-in-charge of accounting work:	Person-in-charge of accounting body:
Chu Kairong	Jia Fuhua	Jia Fuhua

3. Consolidated Statement of Cash Flows from the Beginning of the Year to the End of the Reporting Period

Unit: RMB

Items	Amount for the period	Amount for the same period of last year
I. Cash flows from operating activities:		
Cash received from sale of goods and rendering of services		
Net increase in placement from other financial institutions		
Cash received from interests, fees and commissions	255,708,144.78	228,314,960.16
Net decrease in currency deposits receivable and pledged deposits receivable		
Net increase in currency deposits payable and pledged deposits payable	863,233,682.01	1,408,112,943.78
Net increase from disposal of financial assets relating to risk management business		15,757,140.47
Net decrease in restricted monetary funds		
Net increase in due to banks and other financial institutions		
Net increase in assets of repurchasing business		
Net cash received from securities brokering		
Cash received from other operating activities	1,621,539,374.21	2,939,523,373.95
Subtotal of cash inflows from operating activities	2,740,481,201.00	4,591,708,418.36
Net increase in held-for-trading financial assets		
Net increase in due from banks and other financial institutions		
Net increase in financial assets acquired under resale agreements		
Cash paid for goods and services		

Items	Amount for the period	Amount for the same period of last year
Cash paid for interest, fees and commissions	21,171,547.83	4,306,606.02
Net increase in currency deposits receivable and pledged deposits receivable	804,946,483.29	640,121,157.41
Net decrease in currency deposits payable and pledged deposits payable		
Net increase from disposal of financial assets relating to risk management business	85,939,282.28	
Net increase in restricted monetary funds		
Cash paid to and for employees	123,382,954.12	128,334,834.80
Cash paid for general and administrative expenses	37,524,504.43	55,381,946.65
Payments of various taxes	36,093,122.76	11,527,209.86
Cash paid for other operating activities	1,655,920,850.15	2,877,782,637.11
Subtotal of cash outflows from operating activities	2,764,978,744.86	3,717,454,391.85
Net cash flow from operating activities	-24,497,543.86	874,254,026.51
II. Cash flow from investing activities:		
Cash received from disposal of investments	20,057,155,606.05	16,279,510,787.30
Cash received from investment income	23,912,188.38	4,791,987.61
Net cash received from disposal of fixed assets, intangible assets and other long-term assets	1,050.00	27,100.00
Net cash received from disposal of subsidiaries and other business units		
Other cash received relating to investing activities	15,085.17	
Subtotal of cash inflows from investing activities	20,081,083,929.60	16,284,329,874.91
Cash paid for investment	19,413,752,740.71	16,353,460,273.63
Cash paid for acquisition of fixed assets, intangible assets and other long-term assets	298,716.74	1,571,271.30
Net cash paid for acquisition of subsidiaries and other business units		
Other cash paid for relating to investing activities		527.59

Items	Amount for the period	Amount for the same period of last year
Subtotal of cash outflows from investing activities	19,414,051,457.45	16,355,032,072.52
Net cash flow from investing activities	667,032,472.15	-70,702,197.61
III. Cash flow from financing activities:		
Cash received from introducing investment		
Including: Cash received by subsidiaries from investment absorption of minority shareholders		
Cash received from borrowings	88,975,400.00	110,721,640.00
Net cash received from sales of repurchase business		
Cash received from issuance of bonds		
Other cash received relating to financing activities		
Subtotal of cash inflows from financing activities	88,975,400.00	110,721,640.00
Cash paid for repayment of debts	86,975,400.00	139,721,640.00
Cash paid for distribution of dividend and profit or repayment of interest	3,921,822.56	9,695,038.14
Including: Dividends and profits paid to minority shareholders by subsidiaries		
Cash paid for repayment of principal and interest of lease liabilities		803,836.69
Net cash paid for sales of repurchase business		4,298,780.94
Other cash paid relating to financial activities		
Subtotal of cash outflows from financing activities	90,897,222.56	154,519,295.77
Net cash flow from financing activities	-1,921,822.56	-43,797,655.77
IV. Effect of change in foreign exchange rate on cash and cash equivalents	-15,421,430.25	56,517.06
V. Net increase in cash and cash equivalents	625,191,675.48	759,810,690.19
Add: Beginning balance of cash and cash equivalents	3,412,479,712.74	6,936,420,164.87
VI. Ending balance of cash and cash equivalents	4,037,671,388.22	7,696,230,855.06

(II) Initial implementation of new accounting standards to adjust the related items in the financial statements at the beginning of the year from 2026 onward

☐Applicable ☑ Not applicable

(III) Audit report

Whether the third quarterly financial accounting report has been audited

☑ Yes ☐No

The Company's third quarterly financial accounting report is unaudited

The Board of Soho Holly Futures Co., Ltd.

28 October 2026