招商证券股份有限公司
China Merchants Securities Co., Ltd.

*(A joint stock company incorporated in the People's Republic of China with limited liability)*

**(Stock Code: 6099)**

# LIST OF DIRECTORS AND THEIR ROLE AND FUNCTION

The members of the board of directors (the "**Board**") of China Merchants Securities Co., Ltd. (the "**Company**") are set out below:

**Executive Directors**
Mr. ZHU Jiangtao *(Chairman)*
Mr. LIU Bo *(President)*

**Non-executive Directors**
Ms. LUO Li
Mr. TAO Wu
Mr. GAO Hong
Mr. HUANG Jian
Mr. ZHANG Mingwen
Ms. DING Lusha

**Independent Non-executive Directors**
Mr. YIP, Ying Chi Benjamin
Ms. ZHANG Ruijun
Ms. CHEN Xin
Mr. CAO Xiao
Mr. FENG Jinhua

**Employee Representative Director**
Mr. XIONG Zhigang

There are five Board committees of the Company. The table below provides membership information of the committees:

| Committee / Director | Strategy and Sustainability Committee | Risk Management Committee | Audit Committee | Remuneration and Appraisal Committee | Nomination Committee |
|---|---|---|---|---|---|
| Mr. ZHU Jiangtao | C | | | | M |
| Mr. LIU Bo | M | M | | | |
| Ms. LUO Li | | M | M | | |
| Mr. TAO Wu | | | M | M | |
| Mr. GAO Hong | M | | | | M |
| Mr. HUANG Jian | | | M | | |
| Mr. ZHANG Mingwen | M | M | | | |
| Ms. DING Lusha | M | M | | | |
| Mr. YIP, Ying Chi Benjamin | | | M | M | C |
| Ms. ZHANG Ruijun | | | C | | M |
| Ms. CHEN Xin | M | M | | C | |
| Mr. CAO Xiao | | | M | | M |
| Mr. FENG Jinhua | | | M | M | |
| Mr. XIONG Zhigang | | M | | | |

*Notes:*

C: Chairperson of the relevant Board committees
M: Member of the relevant Board committees

Shenzhen, the PRC
October 29, 2026