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中信建投証券股份有限公司 CSC FINANCIAL CO., LTD.

(A joint stock company incorporated in the People's Republic of China with limited liability)
(Stock Code: 6066)

2026 THIRD QUARTERLY RESULTS

This announcement is made pursuant to the disclosure obligations under Rule 13.09 of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited (the “**Listing Rules**”) and the Inside Information Provisions (as defined under the Listing Rules) under Part XIVA of the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong).

Summary of the unaudited results of the Group for the third quarter ended September 30, 2026:

- In the third quarter of 2026, the Group recorded an operating revenue of RMB7,045,550,637.30. The net profit attributable to equity holders of the Company amounted to RMB2,617,900,622.74.
- This results report was prepared in accordance with the relevant disclosure requirements applicable to quarterly reports of listed companies issued by the Shanghai Stock Exchange.
- The financial information contained in this results report was prepared in accordance with the China Accounting Standards for Business Enterprises.
- Unless otherwise indicated, Renminbi is the recording currency in this report.

The contents of the results report are consistent with the main contents of the relevant announcement published by CSC Financial Co., Ltd. (the “**Company**”) on the Shanghai Stock Exchange. The above announcement is published simultaneously in Hong Kong and Mainland China.

IMPORTANT NOTICE

- (I) The board of directors, together with the directors and senior management of the Company warrant the truthfulness, accuracy and completeness of this results report and that there is no false representation, misleading statement contained herein or material omission from this results report, and for which they will assume joint and several legal liabilities.
- (II) Mr. LIU Cheng, chairman of the Company, Mr. JIN Jianhua, general manager and chief financial officer of the Company (acting), and Mr. ZHANG Hexin, head of the Company's accounting department (accounting officer), warrant that the financial information set out in the results report is true, accurate and complete.
- (III) The third quarterly financial statements have not been audited.
- (IV) The "Group" refers to the Company and its subsidiaries.

I. KEY FINANCIAL DATA

(I) Principal accounting data and financial indicators

In RMB Yuan

Items	The Reporting Period (July to September 2026)	Change as compared to the same period of last year (%)	From the beginning of the year to the end of the Reporting Period (January to September 2026)	Change from the beginning of the year to the end of the Reporting Period as compared to the same period of last year (%)
Operating revenue	7,045,550,637.30	7.58	23,274,358,341.71	34.62
Profit before income tax	3,233,861,350.42	1.36	13,055,030,805.09	53.33
Net profit attributable to equity holders of the Company	2,617,900,622.74	1.46	10,257,030,169.59	44.70
Net profit attributable to equity holders of the Company excluding extraordinary gains and losses	2,616,056,039.22	1.58	10,379,702,131.63	47.30
Net cash flows from operating activities	N/A	N/A	10,511,592,772.62	-81.86
Basic earnings per share (RMB/share)	0.30	–	1.22	48.78
Diluted earnings per share (RMB/share)	0.30	–	1.22	48.78
Return on weighted average equity (%)	2.66	Decreased by 0.22 percentage point	10.92	Increased by 2.93 percentage points
	As at the end of the Reporting Period (September 30, 2026)	As at the end of last year (December 31, 2025)	Change as at the end of the Reporting Period as compared to the end of last year (%)	
Total assets	775,488,624,278.18	676,815,790,802.90		14.58
Equity attributable to equity holders of the Company	129,541,246,660.02	119,101,657,048.68		8.77

(II) Net capital and risk control indicators of the Company

In RMB Yuan

Items	As at the end of the Reporting Period (September 30, 2026)	As at the end of last year (December 31, 2025)
Net capital	82,404,020,954.44	79,598,680,087.45
Net assets	119,240,450,616.57	111,880,641,196.99
Total risk capital reserves	41,147,844,463.53	33,657,623,563.37
Risk coverage ratio (%)	200.26	236.50
Capital leverage ratio (%)	14.18	15.46
Liquidity coverage ratio (%)	252.08	266.44
Net stable funding ratio (%)	193.42	190.75
Net capital/net assets (%)	69.11	71.15
Net capital/liabilities (%)	21.94	24.49
Net assets/liabilities (%)	31.74	34.42
Proprietary equity securities and securities derivatives/net capital (%)	27.91	22.43
Proprietary non-equity securities and securities derivatives/net capital (%)	337.30	306.44

Note: During the Reporting Period, the net capital and other risk control indicators of the Company comply with the relevant provisions of the Administrative Measures for the Risk Control Indicators of Securities Companies issued by the China Securities Regulatory Commission.

(III) Items and amounts of extraordinary gains and losses

In RMB Yuan

Items of extraordinary gains and losses	Amount for the period (July to September 2026)	Amount from the beginning of the year to the end of the Reporting Period (January to September 2026)	Note
Profits and losses from disposal of non-current assets (including the write-off of the asset impairment provision)	56,331.59	94,980.77	–
Government subsidies through profit or loss except for government subsidies closely related to the Company's ordinary business, which are in line with national policies, calculated according to determined standards, and have a lasting impact on the Company's profit or loss	4,723,082.25	80,497,044.62	–
Other non-operating income and expenses apart from the aforesaid items	-2,245,639.89	-181,709,514.45	–
Less: Effect of income tax	719,306.31	21,584,616.22	–
Effect of minority interest (after tax)	-30,115.88	-30,143.24	–
Total	<u>1,844,583.52</u>	<u>-122,671,962.04</u>	<u>–</u>

(IV) Changes in major accounting data and financial indicators and reasons thereof

1. Changes in major accounting data and the reasons thereof

Items	Change in percentage (%)	Main reason
Operating revenue_From the beginning of the year to the end of the Reporting Period	34.62	
Profit before income tax_From the beginning of the year to the end of the Reporting Period	53.33	
Net profit attributable to equity holders of the Company_From the beginning of the year to the end of the Reporting Period	44.70	Mainly attributable to the increase in gain or loss arising from changes in the fair value, net fee and commission income.
Net profit attributable to equity holders of the Company excluding extraordinary gains and losses_From the beginning of the year to the end of the Reporting Period	47.30	
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Basic earnings per share_From the beginning of the year to the end of the Reporting Period	48.78	
Diluted earnings per share_From the beginning of the year to the end of the Reporting Period	48.78	Mainly attributable to the increase in net profit attributable to equity holders of the Company.
Return on weighted average equity_From the beginning of the year to the end of the Reporting Period	Increased by 2.93 percentage points	

2. *Changes in primary statement items of the Company and the reasons*

In RMB Yuan

Items	Amount at the end of the Reporting Period (September 30, 2026)	Amount at the end of last year (December 31, 2025)	Change in percentage (%)	Main reason
Settlement deposits	24,139,135,956.08	40,618,815,306.02	-40.57	Mainly due to the decrease in settlement deposits held on behalf of customers.
Derivative financial assets	4,130,582,009.54	2,282,312,427.00	80.98	Mainly due to the increase in equity derivative financial assets.
Other investments in equity instruments	73,625,023,185.80	52,222,808,703.51	40.98	Mainly due to the increase in scale of the other investments in equity instruments.
Deferred tax assets	340,273,101.52	557,082,969.74	-38.92	Mainly due to the decrease in deductible temporary differences.
Other assets	1,904,234,487.44	699,490,396.39	172.23	Mainly due to the increase in the ending balance of prepaid taxes.
Placements from banks and other financial institutions	9,251,273,372.22	6,560,613,694.44	41.01	Mainly due to the increase in placements from banks.
Financial liabilities held for trading	21,977,006,753.72	11,610,255,713.52	89.29	Mainly due to the increase in financial liabilities held for trading arising from sale of bond borrowings and issuance of revenue certificates.
Accounts payable	37,363,918,049.15	22,651,439,752.59	64.95	Mainly due to the increase in derivative business payable.
Deferred tax liabilities	855,282,089.11	124,244,767.51	588.38	Mainly due to the increase in taxable temporary differences.
Other comprehensive income	807,727,986.03	1,951,974,321.65	-58.62	Mainly due to the impact of changes in fair value of other investments in equity instruments and foreign currency translation differences.

In RMB Yuan

Items	From the beginning of the year to the end of the Reporting Period (January to September 2026)	The same period of last year (January to September 2025)	Change in percentage (%)	Main reason
Net interest income	1,030,852,473.08	673,071,222.03	53.16	Mainly due to the increase in interest income from margin financing and securities lending.
Gains or losses arising from changes in the fair value	3,322,115,197.06	-604,876,519.64	N/A	Mainly due to the impact of changes in fair value of derivative financial instruments.
Gains or losses arising from foreign exchange	96,662,774.90	6,153,413.28	1,470.88	Mainly due to the increase in the gains from foreign exchange business.
Other operating income	236,926,450.14	573,860,802.93	-58.71	Mainly due to the decrease in the other operating income.
Gains or losses from disposal of assets	94,980.77	884,375.01	-89.26	Mainly due to the decrease in gains from disposal of non-current assets.
Other income	145,380,709.12	92,254,900.13	57.59	Mainly due to the increase in refunds of withholding tax fees and government grants received in the period.
Tax and surcharges	179,817,391.19	102,200,413.72	75.95	Mainly due to the increase in urban construction tax and education surcharge as a result of higher value-added tax payment.
Credit impairment losses	110,313,374.24	182,358,863.92	-39.51	Mainly due to the impact of the reversal of credit impairment losses on other debt investments and financial assets held under resale agreements.
Impairment losses on other assets	–	3,730,610.76	-100.00	Mainly due to the provision of impairment losses on other assets in the same period of last year.
Other operating costs	4,134,021.30	421,377,826.82	-99.02	Mainly due to the decrease in other operating costs.
Non-operating income	1,665,449.73	3,557,051.77	-53.18	Mainly due to the decrease in other non-operating income.
Non-operating expenses	183,374,964.18	14,230,349.94	1,188.62	Mainly due to the increase in other non-operating expenses.
Income tax expenses	2,795,173,594.71	1,415,285,365.76	97.50	Mainly due to the increase in profit before income tax.
Other comprehensive income, net of tax	-1,161,402,744.44	148,262,863.77	-883.34	Mainly due to the impact of changes in fair value of other investments in equity instruments and foreign currency translation differences.
Net cash flows from operating activities	10,511,592,772.62	57,951,521,124.99	-81.86	Mainly due to changes in financial instruments held for trading and funds from repurchase business.
Net cash flows from investing activities	-6,404,957,762.95	-40,963,886,551.58	N/A	Mainly due to the decrease in cash outflows paid for investments.
Net cash flows from financing activities	26,789,129,815.25	16,687,786,246.02	60.53	Mainly due to the increase in cash inflows received from issuing bonds.

II. TOTAL NUMBER OF SHAREHOLDERS OF ORDINARY SHARES AND PREFERRED SHARES WITH VOTING RIGHTS RESUMED AND INFORMATION ON SHAREHOLDINGS OF THE TOP 10 SHAREHOLDERS

Unit: shares

Total number of holders of ordinary shares as at the end of the Reporting Period	128,213	Total number of holders of preferred shares with voting rights resumed as at the end of the Reporting Period (if any)	–
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Shareholdings of Top 10 Shareholders (excluding shares loaned through China Securities Finance Corporation Limited)

Name of shareholder	Nature of shareholder	Number of shares	Percentage of shares held (%)	Number of shares held subject to trading moratorium	Pledged, tagged or frozen	
					Status	Number
Beijing Financial Holdings Group Limited <i>(Note 1)</i>	State-owned legal person	2,777,389,017	35.81	–	Nil	–
Central Huijin Investment Ltd.	State	2,386,052,459	30.76	–	Nil	–
Other holding shares of HKSCC Nominees Limited <i>(Note 2)</i>	Foreign legal person	816,041,628	10.52	–	Unknown	–
CITIC Securities Company Limited	State-owned legal person	382,849,268	4.94	–	Pledged	189,901,200
CITIC Financial Holdings Co., Ltd.	State-owned legal person	351,647,000	4.53	–	Nil	–
Hong Kong Securities Clearing Company Limited <i>(Note 3)</i>	Foreign legal person	101,122,994	1.30	–	Nil	–
China Construction Bank Corporation – Guotai CSI All Share Securities Company Trading Index Securities Investment Open-ended Fund	Others	43,050,477	0.56	–	Nil	–
Century Golden Resources Investment Group Co., Ltd.	Domestic non-state owned legal person	28,527,616	0.37	–	Nil	–
China Construction Bank Corporation – Huabao CSI All Share Securities Company Trading Index Securities Investment Open-ended Fund	Others	26,097,835	0.34	–	Nil	–
Fujian Gui'an Xintiandi Tourism Culture Investment Co., Ltd.	Domestic non-state owned legal person	25,506,400	0.33	–	Nil	–

Shareholdings of the top 10 shareholders whose shares are not subject to trading moratorium (excluding shares loaned through China Securities Finance Corporation Limited)

Name of shareholder	Number of tradable shares not subject to trading moratoriums	Class and number of shares	
		Class	Number
Beijing Financial Holdings Group Limited	2,777,389,017	RMB denominated ordinary shares	2,684,309,017
		Overseas listed foreign shares	93,080,000
Central Huijin Investment Ltd.	2,386,052,459	RMB denominated ordinary shares	2,386,052,459
Other holding shares of HKSCC Nominees Limited	816,041,628	Overseas listed foreign shares	816,041,628
CITIC Securities Company Limited	382,849,268	RMB denominated ordinary shares	382,849,268
CITIC Financial Holdings Co., Ltd.	351,647,000	Overseas listed foreign shares	351,647,000
Hong Kong Securities Clearing Company Limited	101,122,994	RMB denominated ordinary shares	101,122,994
China Construction Bank Corporation – Guotai CSI All Share Securities Company Trading Index Securities Investment Open-ended Fund	43,050,477	RMB denominated ordinary shares	43,050,477
Century Golden Resources Investment Group Co., Ltd.	28,527,616	RMB denominated ordinary shares	28,527,616
China Construction Bank Corporation –Huabao CSI All Share Securities Company Trading Index Securities Investment Open-ended Fund	26,097,835	RMB denominated ordinary shares	26,097,835
Fujian Gui'an Xintiandi Tourism Culture Investment Co., Ltd.	25,506,400	RMB denominated ordinary shares	25,506,400
Explanation on related relationship or concerted action among the above shareholders	<ol style="list-style-type: none"> 1. According to the 2026 Interim Report of CITIC Securities Company Limited, CITIC Financial Holdings Co., Ltd. holds over 5% equity interest in CITIC Securities Company Limited, and therefore the two are related parties. 2. The Company has been informed by its Shareholders, that Century Golden Resources Investment Group Co., Ltd. and Fujian Gui'an Xintiandi Tourism Culture Investment Co., Ltd. are related parties. 		
Description of top 10 shareholders and top 10 shareholders not subject to trading moratorium participating in margin financing and securities lending and refinancing business (if any)	Century Golden Resources Investment Group Co., Ltd. holds 19,987,616 A Shares of the Company through credit securities account.		

Note 1: The “Number of shares” involved includes the 2,684,309,017 A Shares and 93,080,000 H Shares held by Beijing Financial Holdings Group Limited (“**Beijing Financial Holdings Group**”).

Note 2: HKSCC Nominees Limited is the nominee holder of the H Shares held by non-registered shareholders, and the shares indicated in the above table are other H Shares held by HKSCC Nominees Limited other than Beijing Financial Holdings Group.

Note 3: The shares held by Hong Kong Securities Clearing Company Limited are A Shares held by non-registered shareholders under Shanghai Stock Connect.

Note 4: The identification of the nature of shareholders is mainly based on the types of holders registered in the China Securities Depository and Clearing Corporation Limited Shanghai Branch, with reference to other publicly disclosed information.

III. OTHER REMINDERS

There was no other important information about the Company’s operating conditions that needs to be brought to the attention of investors during the Reporting Period.

IV. QUARTERLY FINANCIAL STATEMENTS

Consolidated Statement of Financial Position

September 30, 2026

Prepared by: CSC Financial Co., Ltd.

In RMB Yuan (unaudited)

Items	September 30, 2026	December 31, 2025
ASSETS:		
Cash and bank balances	224,223,152,736.31	176,697,854,471.55
Including: Cash held on behalf of customers	174,053,294,691.51	133,715,710,556.94
Settlement deposits	24,139,135,956.08	40,618,815,306.02
Including: Deposits held on behalf of customers	12,093,362,316.26	28,914,613,700.01
Margin accounts	91,137,561,790.77	84,384,373,129.14
Derivative financial assets	4,130,582,009.54	2,282,312,427.00
Financial assets held under resale agreements	7,290,492,724.27	6,494,291,116.03
Accounts receivable	11,661,679,772.16	9,807,003,570.12
Refundable deposits	25,024,914,038.36	20,552,894,995.31
FINANCIAL INVESTMENT:		
Financial assets held for trading	230,491,717,771.69	186,664,850,677.89
Other debt investments	79,079,942,221.20	93,348,016,982.59
Other investments in equity instruments	73,625,023,185.80	52,222,808,703.51
Long-term equity investment	109,245,198.47	102,588,404.27
Investment properties	38,993,750.68	40,778,017.47
Property, plant and equipment	551,071,122.71	595,080,026.54
Intangible assets	798,846,152.82	867,268,927.32
Including: Data resources	927,038.92	1,618,302.10
Right-of-use assets	941,758,258.36	880,280,682.01
Deferred tax assets	340,273,101.52	557,082,969.74
Other assets	1,904,234,487.44	699,490,396.39
Total assets	775,488,624,278.18	676,815,790,802.90

Items	September 30, 2026	December 31, 2025
LIABILITIES:		
Short-term borrowings	7,297,898,671.46	7,978,408,468.48
Short-term financing instruments payable	50,659,526,465.04	53,860,415,002.92
Placements from banks and other financial institutions	9,251,273,372.22	6,560,613,694.44
Financial liabilities held for trading	21,977,006,753.72	11,610,255,713.52
Derivative financial liabilities	6,184,588,864.22	6,529,374,280.35
Financial assets sold under repurchase agreements	139,696,211,172.05	135,495,884,092.28
Accounts payable to brokerage clients	203,205,710,740.36	175,840,030,922.98
Accounts payable to underwriting clients	86,407,032.18	95,295,591.44
Salaries, bonuses and allowances payable	3,471,460,208.36	3,872,962,027.24
Taxes payable	1,189,191,042.60	1,499,752,889.11
Accounts payable	37,363,918,049.15	22,651,439,752.59
Provision	137,239,178.89	149,234,459.96
Bonds payable	155,629,501,141.62	122,585,709,193.73
Lease liabilities	896,191,743.94	896,402,903.98
Deferred tax liabilities	855,282,089.11	124,244,767.51
Other liabilities	8,002,770,061.49	7,915,455,002.73
Total liabilities	645,904,176,586.41	557,665,478,763.26
Owners' equity (or shareholders' equity):		
Paid-in capital (or share capital)	7,756,694,797.00	7,756,694,797.00
Other equity instruments	39,669,028,301.89	36,145,018,867.93
Including: Perpetual bonds	39,669,028,301.89	36,145,018,867.93
Capital reserve	12,299,559,910.08	12,328,252,362.90
Other comprehensive income	807,727,986.03	1,951,974,321.65
Surplus reserves	8,766,876,416.88	8,766,876,416.88
General risk reserve	18,632,995,908.25	18,581,794,825.09
Retained earnings	41,608,363,339.89	33,571,045,457.23
Equity attributable to the owners (or shareholders) of the Company	129,541,246,660.02	119,101,657,048.68
Non-controlling interests	43,201,031.75	48,654,990.96
Total equity of the owners (or shareholders)	129,584,447,691.77	119,150,312,039.64
Liabilities and total equity of the owners (or shareholders)	775,488,624,278.18	676,815,790,802.90

*Person-in-charge
of the Company:*
LIU Cheng

Chief Financial Officer:
JIN Jianhua (acting)

*Person-in-charge of
accounting department:*
ZHANG Hexin

Consolidated Income Statement

From January to September 2026

Prepared by: CSC Financial Co., Ltd.

In RMB Yuan (unaudited)

Items	First three quarters of 2026 (From January to September)	First three quarters of 2025 (From January to September)
I. Total operating revenue	23,274,358,341.71	17,289,286,297.97
Net fee and commission income	11,718,506,740.98	9,088,499,755.04
Including: Net fee income from brokerage business	7,704,859,473.03	5,757,054,839.75
Net fee income from investment banking business	2,245,320,968.08	1,846,263,553.82
Net fee income from asset management and fund management businesses	1,121,502,580.28	950,872,049.22
Net interest income	1,030,852,473.08	673,071,222.03
Including: Interest income	7,417,979,168.94	6,458,751,157.48
Interest expenses	6,387,126,695.86	5,785,679,935.45
Investment income (loss denoted by “-”)	6,723,819,015.66	7,459,438,349.19
Including: Investment income from associates	7,375,329.70	3,395,335.77
Gains arising from changes in the fair value (loss denoted by “-”)	3,322,115,197.06	-604,876,519.64
Foreign exchange gains (loss denoted by “-”)	96,662,774.90	6,153,413.28
Other operating income	236,926,450.14	573,860,802.93
Gains from disposal of assets (loss denoted by “-”)	94,980.77	884,375.01
Other income	145,380,709.12	92,254,900.13
II. Total operating expenses	10,037,618,022.17	8,764,126,310.70
Tax and surcharges	179,817,391.19	102,200,413.72
Operating and administrative expenses	9,743,353,235.44	8,054,458,595.48
Credit impairment losses	110,313,374.24	182,358,863.92
Impairment losses on other assets	–	3,730,610.76
Other operating costs	4,134,021.30	421,377,826.82
III. Operating profit (loss denoted by “-”)	13,236,740,319.54	8,525,159,987.27
Add: Non-operating income	1,665,449.73	3,557,051.77
Less: Non-operating expenses	183,374,964.18	14,230,349.94

Items	First three quarters of 2026 (From January to September)	First three quarters of 2025 (From January to September)
IV. Profit before income tax (gross loss denoted by “-”)	13,055,030,805.09	8,514,486,689.10
Less: Income tax expenses	2,795,173,594.71	1,415,285,365.76
V. Net profit (net loss denoted by “-”)	10,259,857,210.38	7,099,201,323.34
(I) Classified by business continuity		
1. Net profit from continuing operations (net loss denoted by “-”)	10,259,857,210.38	7,099,201,323.34
2. Net profit from discontinued operations (net loss denoted by “-”)	—	—
(II) Classified by ownership		
1. Net profit attributable to equity holders of the Company (net loss denoted by “-”)	10,257,030,169.59	7,088,639,471.04
2. Non-controlling interests (net loss denoted by “-”)	2,827,040.79	10,561,852.30
VI. Other comprehensive income, net of tax	-1,161,402,744.44	148,262,863.77
Other comprehensive income attributable to owners of the Company, net of tax	-1,161,402,744.44	148,262,863.77
(I) Other comprehensive income not to be reclassified to profit or loss	-480,752,063.80	472,561,086.01
1. Changes in fair value of other investments in equity instruments	-480,752,063.80	472,561,086.01
(II) Other comprehensive income to be reclassified to profit or loss	-680,650,680.64	-324,298,222.24
1. Other comprehensive income reclassifiable to profit or loss under equity method	-10,252.06	5,127.82
2. Changes in fair value of other debt investments	-244,706,269.66	-348,578,020.70
3. Credit loss provisions for other debt investments	-127,426,285.39	86,494,673.17
4. Foreign currency translation differences	-308,507,873.53	-62,220,002.53
Other comprehensive income attributable to non-controlling interests, net of tax	—	—

Items	First three quarters of 2026 (From January to September)	First three quarters of 2025 (From January to September)
VII. Total comprehensive income	9,098,454,465.94	7,247,464,187.11
Comprehensive income attributable to the owners of the Company	9,095,627,425.15	7,236,902,334.81
Comprehensive income attributable to non-controlling interests	<u>2,827,040.79</u>	<u>10,561,852.30</u>
VIII. Earnings per share:		
(I) Basic earnings per share (RMB/share)	1.22	0.82
(II) Diluted earnings per share (RMB/share)	<u>1.22</u>	<u>0.82</u>
<i>Person-in-charge of the Company:</i> LIU Cheng	<i>Chief Financial Officer:</i> JIN Jianhua (acting)	<i>Person-in-charge of accounting department:</i> ZHANG Hexin

Consolidated Cash Flow Statement
From January to September 2026

Prepared by: CSC Financial Co., Ltd.

In RMB Yuan (unaudited)

Items	First three quarters of 2026 (From January to September)	First three quarters of 2025 (From January to September)
I. Cash flows from operating activities:		
Net decrease in financial instruments held for trading	–	4,679,334,091.85
Cash received from interests, fees and commissions	24,718,591,138.13	20,458,336,206.25
Net increase in placements from banks and other financial institutions	2,690,000,000.00	5,300,000,000.00
Net increase in funds from repurchase business	3,977,804,265.06	35,329,292,626.44
Net cash received from accounts payable to brokerage clients	27,495,319,665.64	28,962,732,982.61
Cash received from other operating activities	20,592,258,810.75	10,495,631,435.62
Sub-total of cash inflows from operating activities	79,473,973,879.58	105,225,327,342.77
Net increase in financial instruments held for trading	30,163,344,915.51	–
Cash payment of interests, fees and commissions	6,714,624,496.20	5,241,707,143.72
Net increase in margin accounts	6,951,482,054.17	15,920,991,176.68
Cash paid to and on behalf of employees	6,807,457,118.46	5,173,942,254.57
Cash payments of taxes and rates	4,691,409,971.56	2,763,615,361.96
Cash paid for other operating activities	13,634,062,551.06	18,173,550,280.85
Sub-total of cash outflows from operating activities	68,962,381,106.96	47,273,806,217.78
Net cash flows from operating activities	10,511,592,772.62	57,951,521,124.99

Items	First three quarters of 2026 (From January to September)	First three quarters of 2025 (From January to September)
II. Cash flows from investing activities:		
Cash received from investment income	708,283.44	261,050.97
Cash received from other investing activities	603,815.42	1,874,322.07
	<hr/>	<hr/>
Sub-total of cash inflows from investing activities	1,312,098.86	2,135,373.04
	<hr/>	<hr/>
Cash paid for investments	6,051,466,947.83	40,747,601,805.06
Cash paid for purchase of property, plant and equipment, intangible assets and other long-term assets	354,802,913.98	218,420,119.56
	<hr/>	<hr/>
Sub-total of cash outflows from investing activities	6,406,269,861.81	40,966,021,924.62
	<hr/>	<hr/>
Net cash flows from investing activities	<u>-6,404,957,762.95</u>	<u>-40,963,886,551.58</u>
III. Cash flows from financing activities:		
Cash received from capital injection	8,500,000,000.00	9,100,000,000.00
Including: Cash received from issuing perpetual bonds	8,500,000,000.00	9,100,000,000.00
Cash received from borrowing activities	75,562,298,330.70	43,870,544,743.48
Cash received from issuing bonds and short-term financing instruments	129,114,808,839.48	73,753,519,108.01
Cash received from other financing activities	125,085,231.60	–
	<hr/>	<hr/>
Sub-total of cash inflows from financing activities	213,302,192,401.78	126,724,063,851.49
	<hr/>	<hr/>
Payments of debts	174,578,843,513.98	99,614,263,127.38
Cash outflows due to payment of dividends, profit or interests	6,427,347,810.83	4,909,355,091.99
Including: Dividends and profits paid by subsidiaries to minority shareholders	8,281,000.00	13,210,416.30
Cash paid to redeem perpetual bonds	5,000,000,000.00	5,000,000,000.00
Cash paid for other financing activities	506,871,261.72	512,659,386.10
	<hr/>	<hr/>
Sub-total of cash outflows from financing activities	186,513,062,586.53	110,036,277,605.47
	<hr/>	<hr/>
Net cash flows from financing activities	<u>26,789,129,815.25</u>	<u>16,687,786,246.02</u>

Items	First three quarters of 2026 (From January to September)	First three quarters of 2025 (From January to September)
IV. Effect of exchange rate changes on cash and cash equivalents	14,206,129.62	-182,588,662.58
V. Net increase in cash and cash equivalents	30,909,970,954.54	33,492,832,156.85
Add: Cash and cash equivalents at the beginning of the period	216,644,969,805.95	167,975,957,959.17
VI. Cash and cash equivalents at the end of the period	<u>247,554,940,760.49</u>	<u>201,468,790,116.02</u>

*Person-in-charge
of the Company:*
LIU Cheng

Chief Financial Officer:
JIN Jianhua (acting)

*Person-in-charge of
accounting department:*
ZHANG Hexin

Statement of Financial Position of the Company*September 30, 2026*

Prepared by: CSC Financial Co., Ltd.

In RMB Yuan (unaudited)

Items	September 30, 2026	December 31, 2025
ASSETS:		
Cash and bank balances	183,763,111,726.63	151,079,422,094.10
Including: Cash held on behalf of customers	139,016,586,697.68	110,784,066,973.63
Settlement deposits	22,715,000,646.64	38,002,611,022.20
Including: Deposits held on behalf of customers	11,995,194,133.11	28,642,311,790.89
Margin accounts	90,611,685,453.95	84,128,744,338.80
Derivative financial assets	3,031,038,687.01	1,966,492,194.83
Financial assets held under resale agreements	4,205,168,869.13	4,994,007,128.03
Accounts receivable	5,459,375,416.53	6,787,598,072.55
Refundable deposits	8,830,823,917.31	7,713,991,788.79
FINANCIAL INVESTMENT:		
Financial assets held for trading	174,210,742,042.68	140,977,999,912.08
Other debt investments	59,899,593,723.82	73,262,440,757.50
Other investments in equity instruments	73,569,821,060.24	52,160,755,132.50
Long-term equity investment	13,595,813,298.49	11,762,819,173.73
Investment properties	76,395,023.30	40,778,017.47
Property, plant and equipment	482,838,075.09	568,637,311.47
Intangible assets	763,643,923.24	828,783,759.44
Including: Data resources	927,038.92	1,618,302.10
Right-of-use assets	833,710,812.48	748,301,371.23
Deferred tax assets	208,877,254.52	460,676,704.16
Other assets	4,356,105,327.37	1,127,949,805.48
Total assets	<u>646,613,745,258.43</u>	<u>576,612,008,584.36</u>

Items	September 30, 2026	December 31, 2025
LIABILITIES:		
Short-term financing instruments payable	43,190,923,072.31	45,960,737,572.22
Placements from banks and other financial institutions	9,251,273,372.22	6,560,613,694.44
Financial liabilities held for trading	20,057,376,635.75	9,771,399,939.10
Derivative financial liabilities	5,230,562,253.76	5,782,107,053.34
Financial assets sold under repurchase agreements	124,571,386,209.30	121,967,725,724.57
Accounts payable to brokerage clients	151,629,114,431.47	139,586,768,546.33
Accounts payable to underwriting clients	86,407,032.18	95,295,591.44
Salaries, bonuses and allowances payable	3,069,628,894.93	3,303,618,964.80
Taxes payable	824,609,513.30	1,132,598,215.32
Accounts payable	27,934,181,964.59	16,727,916,669.24
Provision	137,239,178.89	149,234,459.96
Bonds payable	134,823,018,941.74	106,787,075,180.80
Lease liabilities	790,300,268.98	768,368,742.51
Other liabilities	5,777,272,872.44	6,137,907,033.30
Total liabilities	527,373,294,641.86	464,731,367,387.37
Owners' equity (or shareholders' equity):		
Paid-in capital (or share capital)	7,756,694,797.00	7,756,694,797.00
Other equity instruments	39,669,028,301.89	36,145,018,867.93
Including: Perpetual bonds	39,669,028,301.89	36,145,018,867.93
Capital reserve	12,310,974,993.05	12,339,667,445.87
Other comprehensive income	1,061,730,182.10	1,405,410,311.85
Surplus reserves	7,988,622,318.32	7,988,622,318.32
General risk reserve	17,830,292,369.26	17,826,468,907.92
Retained earnings	32,623,107,654.95	28,418,758,548.10
Total equity of the owners (or shareholders)	119,240,450,616.57	111,880,641,196.99
Liabilities and total equity of the owners (or shareholders)	646,613,745,258.43	576,612,008,584.36

*Person-in-charge
of the Company:*
LIU Cheng

Chief Financial Officer:
JIN Jianhua (acting)

*Person-in-charge of
accounting department:*
ZHANG Hexin

Income Statement of the Company
From January to September 2026

Prepared by: CSC Financial Co., Ltd.

Items	<i>In RMB Yuan (unaudited)</i>	
	First three quarters of 2026 (From January to September)	First three quarters of 2025 (From January to September)
I. Total operating revenue	16,825,681,713.17	14,284,442,311.61
Net fee and commission income	9,849,335,740.85	7,667,505,537.21
Including: Net fee income from brokerage business	6,570,551,302.19	4,931,722,756.02
Net fee income from investment banking business	2,000,725,905.49	1,634,615,103.75
Net fee income from asset management and fund management businesses	606,811,841.95	554,635,669.81
Net interest income	1,261,809,363.86	978,379,620.39
Including: Interest income	6,054,667,303.34	5,600,202,768.00
Interest expenses	4,792,857,939.48	4,621,823,147.61
Investment income (loss denoted by “-”)	4,198,218,519.45	7,674,204,982.96
Including: Investment income from associates	2,537,660.26	3,606,022.20
Gains arising from changes in the fair value (loss denoted by “-”)	1,200,576,643.62	-2,208,310,201.75
Foreign exchange gains (loss denoted by “-”)	-29,298,881.71	-28,823,772.50
Other operating income	210,586,680.03	128,793,161.38
Gains from disposal of assets (loss denoted by “-”)	300,054.40	758,155.91
Other income	134,153,592.67	71,934,828.01
II. Total operating expenses	8,933,432,949.95	7,406,943,405.82
Tax and surcharges	158,095,837.47	89,390,475.07
Operating and administrative expenses	8,661,496,980.77	7,132,292,035.39
Credit impairment losses	110,500,528.60	183,682,591.40
Other operating costs	3,339,603.11	1,578,303.96
III. Operating profit (loss denoted by “-”)	7,892,248,763.22	6,877,498,905.79
Add: Non-operating income	1,403,503.25	3,527,990.82
Less: Non-operating expenses	121,373,555.24	12,782,596.20

Items	First three quarters of 2026 (From January to September)	First three quarters of 2025 (From January to September)
IV. Profit before income tax (gross loss denoted by “-”)	<u>7,772,278,711.23</u>	<u>6,868,244,300.41</u>
Less: Income tax expenses	<u>1,395,594,939.27</u>	<u>1,033,825,300.90</u>
V. Net profit (net loss denoted by “-”)	<u>6,376,683,771.96</u>	<u>5,834,418,999.51</u>
(I) Net profit from continuing operations (net loss denoted by “-”)	6,376,683,771.96	5,834,418,999.51
(II) Net profit from discontinued operations (net loss denoted by “-”)	<u>—</u>	<u>—</u>
VI. Other comprehensive income, net of tax	<u>-360,836,538.57</u>	<u>126,883,851.35</u>
(I) Other comprehensive income not to be reclassified to profit or loss	-476,847,792.98	488,672,371.37
1. Changes in fair value of other investments in equity instruments	-476,847,792.98	488,672,371.37
(II) Other comprehensive income to be reclassified to profit or loss	116,011,254.41	-361,788,520.02
1. Other comprehensive income reclassifiable to profit or loss under equity method	-10,252.06	5,127.82
2. Changes in fair value of other debt investments	243,348,941.54	-448,768,473.06
3. Credit loss provisions for other debt investments	<u>-127,327,435.07</u>	<u>86,974,825.22</u>
VII. Total comprehensive income	<u><u>6,015,847,233.39</u></u>	<u><u>5,961,302,850.86</u></u>

*Person-in-charge
of the Company:*
LIU Cheng

Chief Financial Officer:
JIN Jianhua (acting)

*Person-in-charge of
accounting department:*
ZHANG Hexin

Statement of Cash Flows of the Company
From January to September 2026

Prepared by: CSC Financial Co., Ltd.

In RMB Yuan (unaudited)

Items	First three quarters of 2026 (From January to September)	First three quarters of 2025 (From January to September)
I. Cash flows from operating activities:		
Net decrease in financial instruments held for trading	–	10,819,595,866.56
Cash received from interests, fees and commissions	19,719,449,942.82	16,754,160,563.33
Net increase in placements from banks and other financial institutions	2,690,000,000.00	5,300,000,000.00
Net increase in funds from repurchase business	3,444,533,056.80	32,239,799,937.50
Net cash received from accounts payable to brokerage clients	12,042,345,885.14	22,227,313,857.20
Cash received from other operating activities	14,014,491,891.76	3,785,792,143.85
Sub-total of cash inflows from operating activities	51,910,820,776.52	91,126,662,368.44
Net increase in financial instruments held for trading	21,433,127,303.67	–
Cash payment of interests, fees and commissions	4,228,052,732.81	3,479,693,580.03
Net increase in margin accounts	6,664,515,947.67	16,257,446,536.24
Cash paid to and on behalf of employees	5,961,172,040.31	4,606,836,814.03
Cash payments of taxes and rates	3,288,146,082.09	2,417,203,798.10
Cash paid for other operating activities	6,811,522,071.19	11,983,918,194.74
Sub-total of cash outflows from operating activities	48,386,536,177.74	38,745,098,923.14
Net cash flows from operating activities	3,524,284,598.78	52,381,563,445.30

Items	First three quarters of 2026 (From January to September)	First three quarters of 2025 (From January to September)
II. Cash flows from investing activities:		
Cash received from investment income	708,283.44	1,500,261,050.97
Cash received from other investing activities	316,948.23	735,031.81
	<hr/>	<hr/>
Sub-total of cash inflows from investing activities	1,025,231.67	1,500,996,082.78
	<hr/>	<hr/>
Cash paid for investments	7,970,897,029.08	35,467,310,087.47
Cash paid for purchase of property, plant and equipment, intangible assets and other long-term assets	322,168,069.27	205,811,998.12
	<hr/>	<hr/>
Sub-total of cash outflows from investing activities	8,293,065,098.35	35,673,122,085.59
	<hr/>	<hr/>
Net cash flows from investing activities	<u>-8,292,039,866.68</u>	<u>-34,172,126,002.81</u>
III. Cash flows from financing activities:		
Cash received from capital injection	8,500,000,000.00	9,100,000,000.00
Including: Cash received from issuing perpetual bonds	8,500,000,000.00	9,100,000,000.00
Cash received from issuing bonds and short-term financing instruments	111,827,437,700.00	59,764,876,000.00
	<hr/>	<hr/>
Sub-total of cash inflows from financing activities	120,327,437,700.00	68,864,876,000.00
	<hr/>	<hr/>
Payments of debts	87,241,563,500.00	50,108,147,900.00
Cash outflows due to payment of dividends, profit or interests	5,581,108,905.76	4,307,957,355.05
Cash paid to redeem perpetual bonds	5,000,000,000.00	5,000,000,000.00
Cash paid for other financing activities	351,303,002.03	476,088,025.47
	<hr/>	<hr/>
Sub-total of cash outflows from financing activities	98,173,975,407.79	59,892,193,280.52
	<hr/>	<hr/>
Net cash flows from financing activities	<u>22,153,462,292.21</u>	<u>8,972,682,719.48</u>

Items	First three quarters of 2026 (From January to September)	First three quarters of 2025 (From January to September)
IV. Effect of exchange rate changes on cash and cash equivalents	-3,061,891.50	-12,841,881.80
V. Net increase in cash and cash equivalents	17,382,645,132.81	27,169,278,280.17
Add: Cash and cash equivalents at the beginning of the period	188,882,224,741.64	146,247,707,189.11
VI. Cash and cash equivalents at the end of the period	<u>206,264,869,874.45</u>	<u>173,416,985,469.28</u>

*Person-in-charge
of the Company:*
LIU Cheng

Chief Financial Officer:
JIN Jianhua (acting)

*Person-in-charge of
accounting department:*
ZHANG Hexin

The announcement is hereby given.

By order of the Board
CSC Financial Co., Ltd.
Liu Cheng
Chairman

Beijing, the PRC
October 30, 2026

As at the date of this announcement, the Executive Directors of the Company are Mr. LIU Cheng and Mr. JIN Jianhua; the Non-executive Directors of the Company are Mr. LI Min, Mr. ZHU Yong, Mr. DONG Hongfu, Mr. WANG Guanglong, Ms. HUA Shurui and Ms. WANG Hua; the Employee Representative Director of the Company is Mr. DAI Bo; and the Independent Non-executive Directors of the Company are Mr. PO Wai Kwong, Mr. LAI Guanrong, Mr. ZHANG Zheng, Mr. WU Xi and Mr. ZHENG Wei.